



## **2003 Annual Report**

# ***“Readiness of the Commonwealth to Respond to Acts of War or Terrorism”***

Prepared by the Department of Military Affairs

– The Adjutant General –

Dedicated to the memory of:

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Cabinet Secretary, Natural Resources and  
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“Soldier – Statesman – Public Servant”

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## INTRODUCTION

This Report, on *“The Readiness of the Commonwealth to Respond to Acts of War or Terrorism”*, is in accordance with the requirements established in KRS 39A, Section 5 (2), also known as the Antiterrorism Act of 2002. This section requires the Adjutant General, as the executive head of the Department of Military Affairs and the Division of Emergency Management to “....submit a written report prior to September 15 each year, to the Governor, the Legislative Research Commission and the Interim Joint Committee on Seniors, Military Affairs, and Public Safety regarding the findings of the assessment of the preparedness of the Commonwealth to respond to acts of war or terrorism...”.

The Secretary of the Executive Cabinet and the Adjutant General directed key members of the ‘Governor’s Security Working Group’ to prepare agency summaries for the development of this report. An in-depth review was conducted of agency activities, existing reports, briefings, training evaluations and on-going capability and needs assessments, to provide the information outlined in this report.

The information and data cut-off date was established at 30 June 2003.

## PREFACE

*"When you see the multiple attacks that you've seen occur around the world, from Bali to Kuwait, the number of failed attacks that have been attempted, the various messages that have been issued by senior Al-Qaeda leaders, you must make the assumption that Al-Qaeda is in an execution phase and intends to strike us both here and overseas; that's unambiguous as far as I am concerned."*

-- George Tenet, Director of Central Intelligence, Testimony before the Senate Select Committee on Intelligence, October 17, 2002

Two years after September 11, 2001, the Commonwealth of Kentucky remains susceptible to terrorist attack; although we are far better prepared to respond to a catastrophic terrorist attack. The efforts of the past two years, and in particular the last year, have been instrumental in the 'Readiness of the Commonwealth'. The agency reports you are about to read, will identify the initiatives, issues and concerns as they relate to the 'Readiness of the Commonwealth' as well as the Homeland Security environment we all reside in.

The imperatives that have guided these efforts are Cooperation – Coordination & Communication. These imperatives have significantly strengthened the relationships between federal, state and local governments and the private sector.

Yet, there is still cause for concern. After two years without another attack, there are already signs that Americans and Kentuckians are lapsing back into complacency. The perception that Kentucky is not a likely target of a terrorist attack, or that support of terrorist activity could not possibly be occurring here in Kentucky; is wishful thinking. The reality is that Kentucky is not immune from an attack and investigations continue through the Joint Terrorism Task Force to ensure that terrorist support operations cannot gain a foothold here either.

'Readiness and Preparedness' planning is not a one time event with an end state. Rather, it is an evolving and ongoing process that must become a way of 'doing business'. Much as safety has been integrated into our business practices, so must security. We must remember that preparedness at home plays a critical role in combating terrorism by reducing its appeal as an effective means of warfare.

In the upcoming months and years, the collaborative efforts of federal, state and local governments and the private sector, will play an ever-increasing role in institutionalizing 'security efforts' and Homeland Security initiatives into our daily business practices. Therefore, we must maintain the momentum we have gained in the Commonwealth and remember that preparing for the worst is an act of prudence, not fatalism.

*"We are all soldiers in the 21st century war on terrorism. It is every person's responsibility to do whatever can be done to secure our freedom and preserve our way of life. General Eisenhower asked a young soldier if he was scared to go into battle on D-Day. The young man replied, "No Sir, I'm not scared. It's Hitler's turn to be scared now." It's the terrorists' turn to be concerned, to worry about what we're doing—and we surely are advancing our front line."*

-- C. Stewart Verdery, Jr., assistant secretary for policy and planning, Border and Transportation Security, 9 September 2003.



## **Agency Reports**

- **Office for Security Coordination**
- **State Police**
- **Department of Criminal Justice training**
- **Department of Public Health**
- **Department of Agriculture**
- **National Guard**
- **Governor's Office for Technology**
- **Division of Emergency Management**
- **Transportation Cabinet**



## **Office for Security Coordination**

In accordance with KRS 36.224, the Office for Security Coordination (OSC) is the primary agency assigned the task of coordinating the homeland security efforts of the Executive Cabinet as well as guiding the development of a coherent security strategy for the Commonwealth. OSC provides the single point of contact for the various levels of Government within the Commonwealth and links them to the many national programs designed to assist the State in identifying vulnerabilities, implementing security procedures and enhancing the response by security forces to a terrorist event.

These homeland security efforts advocate several interlocking components. A critical point to remember is our security is not enhanced by creating a single heavily fortified layer of protection; rather by building concentric rings of security. Therefore the need is for a reasonably complex set of systems that works together to make life more difficult for anyone who would seek to harm Kentuckians; whether physically or economically.

Listed below are the ongoing activities and issues OSC is directly involved in. As circumstances require, position papers will be prepared, staffed, and forwarded through the Adjutant General, to the Governor's office for review.

### Recurring meetings/working groups:

- Dept. of Homeland Security Bi-weekly conference calls with the OS&LGC
- Dept. of Homeland Security Quarterly meetings of HS Directors
- U.S. Attorney sponsored, Anti-terrorism Task Force – East and West districts
- FBI sponsored, Joint-terrorism Task Force – as required
- USCG Port Security Working Groups – Louisville and Huntington districts
- Ky Wireless Interoperability Executive Committee
- Ky Bio-terrorism Committee
- NGA Bi-weekly conference calls among the state HS Directors
- CSG quarterly conference calls – serve as HS Adviser
- NEMA Homeland Security Committee - member
- NEMA Information Analysis and Infrastructure Protection sub-committee chairman
- INFRAGARD – member
- State Citizen Corps Council member
- KCCVS 'Commissioner'
- Ky 2-1-1 Executive Committee member

### Working issues:

- Protection of Sensitive Homeland Security Information – amending the Ky Open Records Act
- State Homeland Security Assessment and Strategy Process – Threat assessment and Strategy development
- Interoperability – Communications, Equipment, Command and Control, and procedures

- Reprogramming of 'Liberty Shield' funding - \$3.1M
- Review and Comment on the National Incident Management System
- Review and Comment on the National Response Plan
- Development of a detailed listing of all critical infrastructure and key assets
- Refinement of our model on 'developing protective measures'
- Refinement of the 'means of communication' for sensitive homeland security information and alert notifications
- Further refinement of the Ky Driver's License program
- Undocumented/Illegal Workers/Aliens
- Ohio River Vulnerability Assessment project
- U.S. Secret Service – Key Asset Protection Partnership
- Critical Infrastructure protection and contingency planning
- State-wide vulnerability assessments
- Web-based 'Vulnerability Assessment Tools' for Critical/Key sites within Ky
- Tracking of Federal Homeland Security 'related' grants in Ky.
- Standardization of 'regions/districts' within the Commonwealth
- School security assessments and 'digitizing' of floor plans
- ECU NESSI 'New Economy' initiative
- Campus security efforts and it's affects on the education environment
- Integration of Homeland Security into academic institutions – Graduate, Undergraduate and Technical programs.
- USNORTHCOM 2005 'Preparedness Exercise'
- DHS/ODP national level exercise participation in 2005
- Working with KCMA to bring Homeland Security related business to Ky
- Tracking and monitoring DHS/Science and Technology Directorate 'Research, Fellowships and Scholarships' opportunities.
- Designation as RISS-ATX coordinator/integrator for Ky
- The integration of Homeland Security principles into multiple volunteer programs, and the encouragement of all Kentuckians to 'Volunteer'
- The daily review of vendor and technology literature
- Routinely meet with community leaders to discuss Homeland Security efforts and the development of protective measures that support the HS Advisory System.
- An active 'Outreach' program on Homeland Security

OSC has kept a continuous focus on the implementation of preventive security measures by city, county and state agencies and the private sector through the innovative use of technology and information as a "collaborative" effort to disrupt the terrorist planning and execution cycle. A comprehensive planning model has been developed and made available.

OSC will continue to work with the various agencies of the local, county, state and federal government plus the private sector to ensure a coordinated and effective effort to fight terrorism and protect the citizens of the Commonwealth.





## **State Police**

During the Calendar year 2003, the Kentucky State Police (KSP) has continued a vigorous and determined fight on the 'War on Terrorism'. With strong leadership not only at the top but also among the ranks of the Troopers and Detectives, the Kentucky State Police has enhanced partnerships with federal and local law enforcement in educating the public on terrorism issues. The three areas of preparedness continue to be **Prevention, Crisis Response and Consequence Management**.

**Preventing** a terrorist act is the first goal of law enforcement. The Kentucky State Police has exerted a great deal of effort in 2003 responding to numerous suspicious activity reports throughout the Commonwealth. The majority of these reports are found to be non-terrorist related. A few have raised questions that have not yet been resolved, and finally a handful has proven to be most worthy of investigation. The Kentucky State Police would not have succeeded in obtaining pertinent information had it not been for the public making the call to report things that 'just don't look right'. Since the last report for 2002, the Kentucky State Police has assigned two additional Detectives to the FBI Joint Terrorism Task Force for a total of 3 investigators and a counter terrorism coordinator to address terrorism issues full time. Of the 66 Joint Terrorism Task Forces throughout the nation, Kentucky has 3. The Kentucky State Police Intelligence Branch has complemented the Task Force efforts in an invaluable way. The Intelligence Branch is continuing to seek the best technology, systems, networks and programs available to enhance their abilities. Law enforcement entities of the newly developed Federal Homeland Security Cabinet have also bolstered Kentucky's ability to deter potential terrorist activity in this state. An example of that is evident on the Ohio River with the establishment of the Coast Guards' Port Security Committees. The KSP along with a host of other law enforcement agencies serve on these committees to provide resources for prevention and response initiatives of a potential terrorist attack on the Ohio river waterway.

Effective **Response** to an act of terrorism is the next issue that continues to evolve as Emergency responders train and acquire necessary equipment to deal with the possibility of Weapons of Mass Destruction. Throughout 2003, the Counter-Terrorism Coordinator for the KSP has conducted numerous training programs on terrorism awareness to a variety of law enforcement, public works, fire departments, emergency medical personnel, academic and civic groups throughout Kentucky. The Kentucky State Police has received some Homeland Security funding to at least provide some personal protection equipment for Troopers throughout the state. Other funding has allowed KSP to bolster their response capabilities with robots and remote hazardous material sensors utilized by the Special Operations Branch. Inter-operable Communications capabilities have advanced since last year. Coordination among the KSP, KyEM, GOT, Local Emergency Responders and a host of others, have been key to developing strategies to address our states ability for communicating efficiently and effectively.

**Consequence Management** is the final area of preparedness the Kentucky State Police continues to address. Each State Police Post has a detailed contingency plan for responding to all types of events. Within these plans are local resources available to

recover from a crisis. Although many of these resources and recovery initiatives are available through Local and State Emergency Management, the Kentucky State Police as a matter of routine, has an integral partnership with the entire emergency response community.

The ability of the Kentucky State Police to address Homeland Security issues in the future requires foresight and initiative. Obtaining sufficient resources to prevent or respond to terrorist attack is the political challenge. Manpower, communications, technology, public awareness and the tenacity to remain vigilant (Leadership), are not only the challenge but the key to providing a product of safety that the public demands



## **Department of Criminal Justice Training**

### **Anti-Terrorism Training for Law Enforcement**

The Kentucky Department of Criminal Justice Training (DOCJT) teaches a variety of courses to better prepare the law enforcement community to deal with today's terrorist threat.

#### **Domestic Biological Incidents**

This 3-day operations-level course is designed to help command-level first responders and local government officials develop a plan of action in preparation for a possible bioterrorism attack. The course provides a view of risks and threats and highlights some methods to counter the problem. An overview of the biological agents most likely to be used in a terrorist attack, their effects and treatment, the fundamental principles of biological warfare, and the evolution of biological weapons are covered. In addition, the course gives participants a basic understanding of the procedures and constraints of the microbiological laboratory. A major portion of the course is devoted to procedures for making the response to a bioterrorism incident safe for the responders, minimizing harm to the public dealing with casualties, addressing the psychological aspects of the incident, and information management. The first course was taught in Richmond in March for 18 Students.

#### **Law Enforcement Response to Weapons of Mass Destruction**

This course was designed to prepare law enforcement officers to respond safely and effectively during weapons of mass destruction (WMD) incidents. Topics include identifying potential terrorist targets in their communities, coordinated response to WMD, definition of the term WMD, personal safety protection and tactics for law enforcement in responding to these incidents. The course has been taught six times in 2003 to 121 Students with an additional four classes to train another 120 Students scheduled by the end of the year.

#### **Special Response Team Tactics**

The objective of this course is to provide tactical training for Special Response Teams and their members. The basic 80-hour course prepares the officer mentally and physically to become a member of a tactical team.

Training is provided in physical fitness and Ropes course to build teamwork and confidence in their abilities to perform the specialized training utilized by tactical teams. The officer is familiarized with different techniques and tactics to make entries into buildings using special weapons and to complete a successful mission. Special emphasis is on officer safety. The officer is introduced to less than lethal training and the use of chemical agents and distraction devices to subdue suspects when lethal force is not

warranted. The officer receives firearms training while using a gas mask and a ballistic shield while incorporating team movement techniques. To successfully complete the course, the officer must pass a written test and range qualifications. The course has been taught three times in 2003 for 38 officers and an additional class is scheduled in November.

### Terrorism: What Patrol Officers Need to Know

This course is intended to provide patrol officers with information related to anti-terrorism. Much of the material is directed towards pre-incident activities. Among subjects that are taught are: threat and vulnerability assessments, identification of fraudulent documents, identifying domestic terrorist groups and their backgrounds, and opportunities to obtain, share, and collect information on possible terrorist activity. The course has already been conducted twenty times at locations around the State for 612 officers and is scheduled for an additional seven times for as many as another 196 officers.

### **Community Vulnerability Assessments**

The Kentucky League of Cities (KLC) in partnership with the Kentucky Law Enforcement Council (KLEC)<sup>1</sup> is sponsoring a program to assist communities committed to improving security. Communities may participate in a structured security improvement program led by their law enforcement officials. Upon meeting standards, communities will be awarded a KLC/KLEC Community Preparedness Certification and may qualify for reduced municipal insurance premiums.

While this initiative is derived from the national emphasis on Homeland Security efforts, the improvements have broad applicability for better public safety and crime prevention. The program focuses on those improvements that would reduce vulnerability to a hostile act with anti-terrorism defensive measures. The program marshals the State's law enforcement community to lead required security improvements.

The ultimate objective of Homeland Security is to prevent an attack. Anti-terrorism efforts depend on "Red Team" threat and vulnerability assessments led by trained peer assessors from the Kentucky law enforcement community. Department of Criminal Justice (DOCJT) instructors will train experienced volunteer officers in Risk Assessment Methodology - Community (RAM-C) through the National Institute of Justice Center for Civil Force Protection, Sandia National Lab. Sandia instructors conducted a "train the trainer" course for DOCJT instructors and state law enforcement CADRE in Richmond in June 2003. The Kentucky Law Enforcement Council will accredit the training and certify instructors. The community assessments are accomplished on site with administrative support from DOCJT. Upon completion, DOCJT documents the findings and recommendations.

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<sup>1</sup> KLEC is a 20-member independent administrative body whose members are appointed by the Governor or named by statute. The KLEC derives authority through KRS 15.310 to 15.404. The Council is responsible for oversight and certification of law enforcement curricula, instructors, schools, and administration of the Police Officer Professional Standards Certification Process.

The purpose of the assessment is to identify security deficiencies in the jurisdiction as a terrorist or criminal might exploit. The team recommends security improvement measures to harden potential targets. Some measures can be taken immediately with a small amount of resources. Others require longer term planning and resources. The results of the assessment will serve as documentation for jurisdictions to compete for Federal and State funding for security improvements.

Upon completion of initial pilot programs to validate the threat and vulnerability assessment process, the Kentucky Law Enforcement Council will certify a community has taken measures to reduce its vulnerability to terrorist and criminal acts.

Following the June training session, the team members did a series of mock assessments in Alexandria and Campbellsville to refine the process. The first of the week long on site assessments was conducted in Alexandria July 28 – August 1, 2003. Additional assessments were conducted in Williamsburg, August 11 – 15; Eddyville, August 25 – 29; and Campbellsville, September 8 – 12. Assessments are scheduled for Morehead, November 17 – 21 and Nicholasville, December 8 – 12.

DOCJT is developing a formal course of instruction designed for public safety officials in the vulnerability assessment methodology so standardized assessments can be accomplished throughout the state.



## **Department of Public Health**

The Cabinet for Health Services is currently administering two primary grants programs specifically focused on enhancing the ability of the Commonwealth to prepare for, react to and recover from acts of Bio-Terrorism directed against our citizens. These grants have totaled over \$16m (increased to over \$20 m for next federal fiscal year) and are directly focused on the bioterrorism preparedness needs of the Commonwealth's public and private health care systems.

The Health Resources and Services Administration Hospital Planning Grant (\$1,815,805.00) (\$7,156,894 for next federal fiscal year) provides fund for regional planning as well as hospital and community level planning. This Grant is in direct support of the effort of the Cabinet to develop and implement regional plans to improve Health Care systems ability to respond to a bio-terrorism event. Approximately 97% of these funds have been obligated, with all but 130K going to local providers or statewide assessments of local providers. The first Phase consisted of assessing the current level of preparedness in Kentucky's hospitals to respond to a bio-terrorism incident, and developing a plan of action in response to the identified needs. The needs assessment resulted in identification of preparedness gaps that are being addressed in phase Two. The second phase focused on the implementation of their response plans. Hospitals were organized into 14 regions co terminus with the KyEm regions. Regional preparedness plans for handling large numbers of acutely ill patients have been drafted in all 14 regions. The second wave of efforts are now focused on implementing those plans and acquiring equipment, training, or other resources to make them viable. Testing of these plans is also underway in several districts, notably Purchase, Green River and Hazard. All plans will be tested at least once during the next funding cycle.

The hospital response plans will assist the Kentucky Department for Public Health in turning the identified needs of our health care system into action. This means upgrading existing disaster and response training, equipment and communication systems in our communities. It means to develop a tiered system and plans in which local health care entities are prepared to triage, isolate, treat, stabilize and refer multiple casualties of a bioterrorist incident to identified centers of excellence. The implementation and testing of these regional plans will include our contiguous state partners.

Other plans for these funds include:

- Support recurring costs from phase 1
- More personal protective equipment for health providers
- More isolation and security for hospital ER departments
- More laboratory capacity for detecting threats
- More decontamination for chemical exposures
- More on site medications to handle BT threats before federal stockpile arrives
- Adding primary care centers to the planning process as a special population
- Expanding mental health planning to include posttraumatic services through Comprehensive Care Centers

Adding money to develop methods to track infectious threats through EMS transport services  
Continued training and testing at all levels

The Centers for Disease Control and Prevention (CDC) Public Health Preparedness Grant (\$14,482,941) (\$13,245,815 beginning 8/31/03 plus \$1,404,081 one time money for smallpox, and \$899,000 for pharmaceutical stockpile (Total is \$15,548,896). This money is designed to allow the state to prepare for bio-terrorism, other infectious disease outbreaks, and other public health threats and emergencies. These funds will build infrastructure at the local and state level to achieve the critical capacities in each of the six focus areas and meet the 14 benchmarks outlined in the program guidance. The guiding principal in developing these activities and expenditures is - events occur within communities, and, except for events involving multiple communities or delivery systems (e.g., postal systems), the communities will be strengthened through this grant.

This past year the Kentucky Department for Public Health (KDPH) devoted its efforts to building capacity in the local health departments, either directly, through use of funds to perform specific activities within their community (e.g., bio-terrorism plan development) to enhance local resources, or indirectly, through support or resource development (e.g., training, communication and computer systems, laboratory capacity and equipment). The KDPH committed substantial funds for the local health departments to use to meet specific and unique needs of their communities in the designated Focus areas at an approximate 60% local 40% state split. These funds are dedicated to the local effort to build bio-terrorism response and surveillance for infectious diseases, and are designed to go beyond systemic and uniform efforts to build capacity in all Local Health Departments in collaboration with the KDPH. These activities will improve the overall ability of the local health departments to respond to other public health threats and emergencies not specifically associated with a bio-terrorism attack.

Activities planned for this next year of CDC funding include:

- Continuing the same emphasis in all focus areas but changes the specifics based on new information (assessments)
- Carrying forward unspent dollars to the new fiscal year
- Adding requirement for laboratory support of chemical attacks Adds local staff to coordinate linkages with other agency BT-WMD preparedness
- Adding funds to carry out practice sessions to test the plans
- Adding security to the laboratory facility
- Additional infrastructure capital equipment and systems for local health departments
- Continues Year 1 recurring costs
- \$1.4 million (non-recurring) to reimburse for state costs related to the smallpox initiative
- Medications - Strategic National Stockpile planning and testing.
- Continue training in all areas
- Health Alert Network system and hardware ("weather channel" for information needed to respond to public health, BT, and WMD scenarios)



## **Department of Agriculture**

The Kentucky Agriculture Emergency Management Plan is designed to mitigate the effects of a natural or imposed disaster on the agricultural community of the Commonwealth. It is structured to implement the principles of mitigation (awareness and prevention), preparedness, response, and recovery.

A multi-layered system has been maintained to integrate KDA personnel and local first responders into an Incident Command System protocol. This Emergency Response Plan has been updated and disseminated to stakeholders within the Commonwealth's agricultural community for their review and use. This plan is further supported by KDA's participation in the seven states Regional Coordinating Council on Agriculture Security and in the National Association of State Departments of Agriculture monthly agri-terrorism conference calls. The Regional Council is working to standardize state plans and to provide exchange of contact points among member states. This interaction along with regional, national, and international participation allow for a more coordinated, timely, and informed response to an agri-terrorism attack here in the Commonwealth. KDA's support of recent outbreaks of FMD in England, Avian Influenza in Virginia, and Exotic Newcastle Disease in California has provided practical hands-on experience that will be of great value should a disease outbreak occur in Kentucky.

The University Support Alliance continues to leverage the vast amount of technical expertise and knowledge available within the state's university system. This alliance is working to identify areas of cooperation, mutual response, and education available to agriculture and animal care professionals throughout the commonwealth. The incorporation of these resources into response and recovery efforts will positively impact the outcome of a natural disaster or disease outbreak.

The KDA has sought to integrate its local command and control efforts into the KEMA system and continues to train staff and local stakeholders in the intricacies of the Incident Command System. Animal Health supervisors have been received mitigation and response training. Local veterinarians and first responders have been provided classes on their respective roles for response and recovery efforts in the event of an agri-terrorism attack.

The department has increased its emphasis in the past year on awareness and preparedness training. KDA personnel have conducted seminars and training exercises to more than 1700 professionals and first responders at 20 locations throughout the state. A tabletop exercise was conducted in cooperation with the statewide Bio-terrorism Advisory Committee. This mock exercise of a food and mouth disease outbreak served as a measure of our capability to respond and afforded much insight into direction of future efforts.

The KDA has been awarded a grant from the Department of Homeland Security to increase our capacity to respond to bio-terrorism. An additional veterinarian has been added to act as Homeland Security Coordinator. This position will enhance disease



surveillance, training, and response capabilities. Global Positioning System/Geographic Information System training is ongoing, and GIS equipment is in place. This system will allow for technical integration of informational and spatial relationships that are essential to an efficiently coordinated disaster response. In particular, it can be used to map an event and monitor its progress and subsequent impact on the agricultural industry in Kentucky.

Funding has been obtained and plans have been finalized to request bids for the purchase of a mobile incident command post trailer. This will provide on-site communication and technical assistance for response teams and supporting agencies.

The KDA has gained experience and resources over the past year that have increased its ability to serve the Commonwealth if and when a disaster should occur.



## **National Guard**

The Kentucky National Guard (KYNG), through the Military Support Division, provided assistance to the Commonwealth of Kentucky in many areas ranging from disaster relief to Homeland Security. The Military Support Division is the KYNG's liaison with state and local agencies. The role of the division is to provide assistance in the restoration of services, protection to the quality of life and to provide assistance in the event of natural disasters or civil disturbance.

The Military Support Division completed many specific security actions during the past year. The KYNG's efforts were especially significant in four areas: protection of key National Guard installations, manning the military Emergency Operations Center (EOC), enhancing anti-terrorism measures and conducting physical security inspections. The Division expended \$1,136,716 to employ military personnel to enhance force protection by providing security forces to key sites, manning the military operations center on a 24-hour basis and conducting physical security and threat assessments at BNGC, WHFRTC, BGS and other major DMA installations. Soldiers were placed on federal active duty force protection orders to provide increased security at Boone National Guard Center in Frankfort and the Wendell H. Ford Regional Training Center near Greenville. The Military Support Division sustained 24-hour operations utilizing a specially formed EOC staff. The duties performed by the EOC staff included monitoring information regarding any potential threat to the Commonwealth and relaying pertinent information received from local, state, and federal agencies where the KYNG may be required to respond.

The Military Support Division conducted anti-terrorism classes to train unit level Anti-terrorism Officers who are assigned to units across the state. In addition to these courses, the KYNG conducted physical security inspections in armories throughout the Commonwealth; the division conducted 30 physical security inspections from September 2002 to September 2003. These inspections were conducted to ensure that proper security measures were established to protect KYNG facilities, equipment and weapons.

The 41<sup>st</sup> Weapons of Mass Destruction Civil Support Team (CST) completed Training and Readiness certification in August of 2003 by evaluators from the 1<sup>st</sup> U.S. Army. The mission of the 41<sup>st</sup> CST is to deploy to an area of operations to assess a suspected nuclear, biological, chemical or radiological event. They advise civilian responders regarding appropriate military support options and assist the incident commander's requests for assistance to expedite the arrival of additional state and federal assets to help save lives, prevent human suffering and mitigate property damage. The 41<sup>st</sup> CST successfully completed several scenario driven evaluations during the spring and summer of 2003. These exercises were conducted in Greenville, Richmond, Louisville and Lexington. These events test the CST's ability to react to a potential WMD incident.

The KYNG continued to assist local law enforcement agencies providing assistance with increased security measures at local community events. The Military Support Division coordinated with federal, state, and local law enforcement as well as other agencies in support of the Kentucky Derby, Thunder Over Louisville, and Riverfest events. For these

events, 635 personnel were ordered to duty to provide additional security. The total man-day expenditure for these events was 1,350 man-days. In addition, the KYNG supported 362 missions providing assistance to various community events in all areas of the state.

The Military Support Division provided support during the ice storm of February 2003. Over a 12-day period the KYNG provided 450 personnel totaling 2,290 man-days and completed 66 different missions. The KYNG assisted local agencies providing a multitude of services ranging from debris removal to providing transportation. Various types of military assets were utilized such as chain saws, dump trucks, and generators. The KYNG provided this assistance to 25 counties throughout central and northeastern Kentucky, affecting 1,000,000 Kentuckians.

During 2003, the KYNG greatly improved its communication assets. The improvements consisted of upgrades to armory radios and repeater sites throughout the state. The KYNG purchased over \$400,000 of communication equipment to enhance our ability to perform military support operations. The purchase included an Air-to-Ground video receiver, secure telephones and radios, and 16 digital radio repeater stations. The procurement of these items will greatly enhance the capability of the KYNG's military support mission and our ability to provide support during emergencies.



## **Governor's Office for Technology**

### **GOT Security Initiatives Implemented in Fiscal Year 2002/2003**

#### **Services to Secure Enterprise and Agency Resources**

The Governor's Office for Technology (GOT) provides firewall services for state agencies and local governments that want to protect their applications, systems, and/or locations from external threats and vulnerabilities. GOT also provides Intrusion Detection System (IDS) services which aid in detecting intruders into the state's networks, as well as Internet and Intranet-based Virtual Private Network (VPN) services that provide encrypted network solutions for users and locations. Many state agencies take advantage of these services to enhance the security of their information technology infrastructure. GOT also works with state agencies to enhance the security rules for the firewalls that are managed on their behalf.

In July 2003, GOT began blocking certain network traffic (HTTP, Netbios, WINS, DNS & SMTP) from entering the state agency network (Intranet). These changes are the first of several measures to be implemented in order to improve the security of the enterprise network architecture. The recent network blocks are in accordance with the enterprise policies, [Enterprise Network Security Architecture \(CIO-074\)](#) and [Firewall and Virtual Private Network Administration Policy \(CIO-076\)](#).

In January 2002, GOT implemented a pilot project to provide email encryption services to approximately 100 users. The pilot was a success and GOT plans to expand these services to all shared service customers. Beginning in June 2003, GOT offered encrypted email service to all state agencies.

GOT is also working with the contractor, Kizan, to create the framework & documentation necessary for the Commonwealth to act as its own Certificate of Authority (CA) which will allow the State to issue digital certificates to its internal customers. GOT is seeking the implementation of PKI services in order to meet the security demands of state agencies, which includes the need to digitally encrypt or sign email messages. In order to provide this service and ensure that the PKI system is secure, GOT will develop policies and practices that will be utilized and maintained to ensure that the infrastructure behind this service is managed, organized, and secure.

#### **Security Alerts**

GOT distributes daily and weekly alerts to its staff and customers on viruses, application and hardware vulnerabilities, and other security-related issues. GOT has issued approximately 1,300 security alerts since January 1, 2003.

#### **Incident Handling and Investigation**

GOT has a formal security incident reporting and handling policy in place that is utilized to review and investigate security-related incidents that are reported by state agencies and/or generated by GOT's automated security systems. Approximately 6,800 events/incidents have been investigated since January 2003.

### **Access Controls to Major Systems**

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GOT has reduced the number of inactive user accounts placed on the Commonwealth's mainframe based systems to minimize security vulnerabilities. GOT has also implemented several measures to improve mainframe security, including instructing users on how to use the "Erase on Scratch" RACF utility to prevent sensitive/confidential data from being recovered from a deleted dataset. In addition, GOT has reduced the number of users with "Operation" attributes. This is significant since this attribute may allow users to bypass dataset security unless they have been specifically restricted to a certain access level for specific datasets.

### **Security Related Contracts**

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A Security Services contract was awarded in November 2002 to AMS and Kizan to provide security-related services that include policy and procedure creation, penetration testing, vulnerability assessment, and other types of security solutions.

### **Disaster Recovery Planning**

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The Disaster Recovery Plan (DRP) has been completed for critical systems. The DRP is a living document that will be continually updated and published on the GOT Intranet and eventually on the Internet for access during a disaster. Hot site testing and team structured walk-through have been completed, while server restoration tests have been started and will continue. These tests are being used to prove the accuracy of the plan, update the guidelines and procedures, and provide recovery experience to the team members.

### **Physical Security**

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In June 2003, construction began to increase security at the Commonwealth Data Center (CDC), which houses a majority of the state's computing resources. Construction is scheduled to be completed by October 2003.

### **Enterprise Security Policies**

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**GOT has implemented the following enterprise policies:**

*[Enterprise Network Security Architecture Policy](#): Creates a secure Intranet and secure resource domains for state applications, services, and systems. The policy was approved in April 2002 and has an effective date of January 2003.*

*[Anti-Virus Policy](#): Details how agencies must protect their computing resources.*

*[UserID and Password Policy](#): Creates a standard for the creation, use and changing of UserID's and passwords for all state government applications, systems, and services.*

[Firewall and Virtual Private Network Administration Policy](#): Provides guidelines for the administration of firewalls and VPNs to protect the integrity of the Commonwealth's networks.

[Sanitization of Information Technology Equipment and Electronic Media Policy](#): Outlines comprehensive guidelines for ensuring that all confidential/sensitive data are removed from state-owned computers before disposal or surplus.

[Intranet Wireless LAN Policy](#). Establishes criteria necessary for wireless LAN implementation in the Commonwealth.

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## **Security Training**

GOT facilitated two SANS training sessions with over 100 staff in attendance: SANS Security Essentials and SANS Securing Windows. Note: SANS is an industry leader in information security training.

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## **Future Security Initiatives**

### **Content Security Management project**

GOT, in concert with a workgroup of the CIO Advisory Council, has submitted a Request for Proposal for an enterprise Content Security Management (CSM) solution. CSM will provide the Commonwealth with an integrated approach to blocking unsolicited e-mail (SPAM), restricting employee access to inappropriate web sites, and protecting Commonwealth networks and systems from virus infection, as well as reducing other vulnerabilities. GOT anticipates implementation of CSM early in 2004.

### **Disaster Recovery Implementation**

GOT acquired third-party disaster recovery planning services during the FY2002/2003. The planning project created detailed plans to be implemented by GOT and state agencies in the event of a disaster. The plans were tested in March 2003. GOT has already scheduled a test for 2004 with our current hot site provider to continue testing recovery plans.

### **Hot Site and Alternative Site Plans**

GOT has created a Request For Proposal (RFP) for hot site disaster recovery services. The RFP should be issued prior to December, 2003 and be awarded by July, 2004. In addition, GOT planning staff has created alternate site criteria and suggested locations in Frankfort for review and consideration. The alternative site would be used in the event the Commonwealth Data Center is unavailable due to a disaster.

### **Commonwealth Data Center (CDC) Security Enhancements**

The Finance Cabinet began changes to the physical security of the CDC. Once completed, the building will be surrounded by bollards to protect access to the building, the parking area will be access controlled, the entrance to the building will have additional safeguards and there will be interior doors added on the first floor to reduce access to the computing facility. Other changes will be included an updated camera and recording system and improved external lighting.



## **Division of Emergency Management**

### **State Homeland Security (Office of Domestic Preparedness - ODP) Grant Program**

The Division of Emergency Management continues to support the development of skills, equipment and planning for state and local governments through the administration of the Department of Homeland Security, State Homeland Security Grant Program. This program has brought to the Commonwealth over \$40M in Equipment, Training, Planning, Administration, Exercise and Critical Infrastructure Funds through a series of Grants starting in 1999. As the state agency charged with distribution and accounting for these funds, the Division of Emergency Management has followed and expanded on the 2001 State Domestic Preparedness Three Year Plan. This Plan provides the Commonwealth with a two-tiered process for development of local capability in conjunction with a well equipped and trained volunteer regional response force.

The first part has involved the creation and equipping of 14 all volunteer Hazardous Material/Weapons of Mass Destruction multi-disciplined Regional Response Teams (RRT's). Each one of these teams is made up of local volunteers from Law Enforcement, Fire Services, Public Works, and various local businesses and volunteers' organizations and primarily serves one of the 14 State Emergency Management Areas. The Regional Response Team is a locally owned and operated volunteer organization that is built from the local community up to the regional level. The equipment purchased by these teams is stored, maintained and used by the same First Responders who make up the local community support agencies. This dual use of local first responders is very similar to our "Citizen Soldiers" in the Armed Forces reserve and State National Guard. In fact many of these First Responders are members of those very same organizations. As of this report thirteen teams have signed initial Memorandums of Agreement with the Department of Military Affairs, Division of Emergency Management and have started receiving equipment and training to support their eventual activation as an on-call Regional Response Team.

The Second part of the program has involved the direct granting of equipment funds to counties for the purpose of purchasing equipment that is needed locally, but not necessarily needed for regional response. Each county has been requested to form a emergency coordination group, which includes city, county, business, and first responders to make adequate plans for the disbursal of these funds. Current equipment purchases have provided local first responders with personal protective equipment, communications gear and detection devices for biological, chemical, and radiological threats.

As an addition to the Regional Response concept, the Division of Emergency Management has worked with the Kentucky State Police Hazardous Devices Unit to identify those cities with certified Bomb Squads and has started to provide these Squads with funds to improve their technical abilities to respond to explosive device calls within the Commonwealth. To improve the availability of these teams to regional response DEM has used funds allocated to the State to build one entirely new bomb squad along the Ohio River and has provided \$65,000.00 in additional equipment funds to the other three certified City Bomb Squads.



This new Squad along with the already existing KSP and other city squads will greatly improve the response posture of the Commonwealth's Hazardous Devices Units.

## **FEMA FY 2002 SUPPLEMENTAL GRANT**

The Commonwealth and its local jurisdictions face the difficult challenge of ensuring that citizens, emergency responders, essential government services, and private property are protected from the consequences of a terrorist attack. To enhance state and local planning the Division of Emergency Management received funding in a fiscal year 2002 supplemental appropriation for state and local governments to update and enhance existing emergency operations plans (EOP). The funds have been made available to support activities in the areas of Emergency Planning, Citizen Corps, Community Emergency Response Team (CERT) and local / community Emergency Operations Centers (EOC).

### **Planning**

The FY 2002 Supplemental Planning Grant provides funding to all 120 counties to upgrade their emergency operations plans (EOPs) for all hazards with special emphasis on WMD terrorism preparedness. The funding is made available through a Memorandum of Agreement (MOA) with the State and provides reimbursement to local governments when they complete the following requirements in support of their own local / community EOPs:

- Continuity of Government planning requirements;
- Weapons of Mass Destruction (WMD) Terrorism planning requirements;
- Update the local Emergency Operations Center/ Standard Operating Procedure (EOC/SOP);
- Update the local plan's Emergency Resource Inventory List (ERIL);
- Complete the FEMA Planning Initiative Capability Assessment Survey and the Local Capability Assessment for Readiness (L-CAR) for the jurisdiction;
- Attend one of four WMD Regional Planning Workshops held in Somerset, May 30 and 31; Ft. Mitchell, June 13 and 14; Paducah, June 20 and 21 and Paintsville, June 26 and 27.

To assist the counties in updating their plans three planning positions were added for the duration of the grant performance period.

The following table outlines the grant amounts allocated in the MOA for each county.

County	Not To Exceed
Adair	7,500.10
Allen	7,559.65
Anderson	7,677.05
Ballard	6,789.12
Barren	9,158.33
Bath	7,038.00
Bell	8,479.58
Boone	13,198.38
Bourbon	7,670.35
Boyd	10,025.16
Boyle	8,303.56
Bracken	6,811.15
Breathitt	7,401.47
Breckinridge	7,623.10
Bullitt	11,061.78
Butler	7,176.25
Caldwell	7,158.11
Calloway	8,816.89
Campbell	13,032.80
Carlisle	6,570.13
Carroll	6,942.87
Carter	8,257.79
Casey	7,378.27
Christian	11,731.73
Clark	8,754.84
Clay	8,061.77
Clinton	6,902.62
Crittenden	6,876.54
Cumberland	6,713.61
Daviess	13,299.89
Edmonson	7,070.69
Elliott	6,681.61
Estill	7,353.43
Fayette	26,426.62
Fleming	7,254.80
Floyd	9,450.88
Franklin	9,907.07
Fulton	6,760.00
Gallatin	6,773.78
Garrard	7,341.99

County	Not To Exceed
Grant	7,962.98
Graves	9,026.61
Grayson	8,038.18
Green	7,059.17
Greenup	9,020.62
Hancock	6,810.60
Hardin	13,555.00
Harlan	8,698.33
Harrison	7,559.03
Hart	7,507.26
Henderson	9,644.33
Henry	7,335.61
Hickman	6,556.51
Hopkins	9,760.48
Jackson	7,216.73
Jefferson	60,095.35
Jessamine	9,269.18
Johnson	7,981.20
Kenton	17,937.50
Knott	7,528.28
Knox	8,623.13
Larue	7,196.80
Laurel	10,333.75
Lawrence	7,377.96
Lee	6,769.42
Leslie	7,112.73
Letcher	8,101.63
Lewis	7,236.35
Lincoln	8,016.31
Livingston	6,914.53
Logan	8,223.69
Lyon	6,793.63
Madison	11,790.82
Magoffin	7,183.10
Marion	7,586.51
Marshall	8,513.44
Martin	7,134.60
Mason	7,465.30
McCracken	11,197.78
McCreary	7,481.88

County	Not To Exceed
McLean	6,928.54
Meade	8,256.54
Menifee	6,671.10
Mercer	7,780.82
Metcalfe	6,941.78
Monroe	7,068.36
Montgomery	7,947.80
Morgan	7,256.98
Muhlenberg	8,630.60
Nelson	9,158.33
Nicholas	6,685.50
Ohio	7,947.33
Oldham	9,890.72
Owen	6,992.14
Owsley	6,532.07
Pendleton	7,291.47
Perry	8,433.33
Pike	11,438.87
Powell	7,188.94
Pulaski	10,573.75
Robertson	6,332.62
Rockcastle	7,448.56
Rowan	7,880.23
Russell	7,437.90
Scott	8,841.26
Shelby	8,810.19
Simpson	7,435.41
Spencer	7,169.09
Taylor	7,947.18
Todd	7,091.94
Trigg	7,152.67
Trimble	6,811.23
Union	7,359.74
Warren	13,411.92
Washington	7,012.85
Wayne	7,707.10
Webster	7,246.55
Whitley	8,992.82
Wolfe	6,695.31
Woodford	7,970.30
<b>Total</b>	<b>\$ 1,054,977.89</b>

## Citizen Corps

Citizen Corps is a network of volunteer organizations that utilize the skills and abilities of Kentuckians to prepare their communities for the threats of terrorism, crime and disasters.

Citizen Corps is administered by the Federal Emergency Management Agency (FEMA) and is a part of USA Freedom Corps, the President's initiative to encourage and assist all Americans to engage in service to their communities. Citizen Corps programs include the following: Community Emergency Response Team, Neighborhood Watch Program, Volunteers in Police Service, and Medical Reserve Corps. The primary objective for Citizen Corps implementation in Kentucky is to form local councils in 10 counties (Boone, Daviess, Fayette, Franklin, Jefferson, Knox, McCracken, Mercer, Pike and Warren) and a state level council. The county councils are intended to represent 1.4 million people, roughly 1/3 of the state's population. Each of the 10 counties received \$4,781 representing an equal share of the local Citizen Corps funding of \$47,812. The state share will be used to support the formation of the state council. In both cases, the federal funding is to be used to cover start-up costs.

### **Community Emergency Response Team (CERT)**

KyEM contracted with the office of State Fire/Rescue Training to provide train-the-trainer programs in Kentucky. The current projection is for 14 train-the-trainer courses, each with a capacity for 30 people.

Each of the 10 counties selected for the Citizen Corps program received funding for development of CERT training. The following table outlines the CERT funding available for each of the 10 counties (amounts are rounded to the closest dollar).

COUNTY	AMOUNT
Daviess	\$15,813.
Fayette	\$29,996.
Franklin	\$12,132.
Boone	\$16,034.
Jefferson	\$66,348.
Knox	\$9,610.
McCracken	\$13,628.
Mercer	\$9,876.
Pike	\$13,898.
Warren	\$15,895.

These funds are to be used by county citizen corps councils to purchase CERT training kits, printing student manuals and instructor guides, rental of training facilities and instructor costs. Counties may also use a percentage of CERTS funding for personnel costs of CERT implementation. CERT funds may be used to initially equip members of Community Emergency Response Teams with basic safety equipment.

### **Emergency Operations Centers (EOCs)**

The FY 2002 Supplemental Appropriation Act included funds for several important initiatives involving the funding and assessment of Kentucky's existing state and local

Emergency Operations Centers (EOC) and the procurement of secure communications equipment for the State EOC. The initial project funded by FEMA/Office of Homeland Security was the procurement of secure communications equipment for the State EOC. In order to accommodate the secure communications equipment and provide space for the operational component of this program, the Kentucky Division of Emergency Management has applied for a federal grant with the Department of Homeland Security. The project application is for physical modifications to the Kentucky State EOC totaling \$188,241. The federal assistance requested is for 75% of the total cost of developing a secure room in the existing EOC facility.

Phase one of the EOC program funds from the FY 2002 Supplemental Appropriation consisted of a \$50,000 allocation to conduct assessments of existing local EOCs. Kentucky conducted individual assessments of all 120 locally designated EOCs. This project provides a baseline assessment of the EOC capability of the Commonwealth and will be used to document deficiencies and determine priorities for possible future local EOC funding opportunities.

Phases two of the EOC program funds have been made available on a nationally competitive basis to address the most immediate EOC deficiencies, according to a list of national priorities. A project application for the design, construction and equipping of an expansion to Kentucky's EOC was submitted during FY 2003. The 26,800 square foot addition will be designed to support government's response to Homeland Security missions and emergencies and all disasters both man made and natural the cost for the expansion is estimated at \$13,170,800.

### **2003 HOMELAND SECURITY GRANT (Part 1)**

This grant has been approved, final budgets have been submitted, and the funds are now available to local communities, (August 2003). Essentially the Grant covered several defined areas for funding, developed by the Office of Domestic Preparedness, (ODP). These included the following categories.

1. Equipment, 80% to Local Jurisdictions, 20% to the State.	\$6,316,000
2. Exercise Program State Wide	\$1,579,000
3. Training Program State Wide	\$474,000
4. Planning Functions	<u>\$632,000</u>
<b>Total</b>	<b>\$9,001,000</b>

Equipment funding is for WMD / First Responder requirements. The 14 Regional WMD/HAZMAT Teams received additional funding from this grant, as they have since that program began in 1999. The remaining funds to local jurisdictions are calculated based on the existing Emergency Management System of a base amount plus population. This has proven to be the most acceptable and fair method of ensuring every citizen gets some of this funding, wherever they reside in the Commonwealth.

## 2003 HOMELAND SECURITY GRANT (Part 2)

The 2003 Part 2 Grant has had the funding approved, but final budgets are still under review at this time. (August 2003) The grant total of \$23,838,00 is broken down as follows.

1. Critical Infrastructure Protection	\$3,178,000
2. First Responder Funds – Local Jurisdictions (80%)	\$16,528,000
3. First Responder Funds – State allocation (20%)	<u>\$4,132,000</u>
<b>Total</b>	<b>\$23,838,000</b>

Primarily, the infrastructure dollars are to re-pay law enforcement and other state and local agencies that spent money on security during the Iraq War, and other heightened levels across the Commonwealth, although at this point no claims have been made against these funds. The remaining funds go to local jurisdictions for support the continued growth of the WMD Hazmat Teams, a large portion of the allocation will be put aside to support high threat, vulnerable facilities identified by the FEMA threat Assessment Survey due to be completed by December 2003. The remaining local funding will again be divided on the base amount and population percentage method all other grants have been awarded on.

### Training Program

During the past year, Kentucky's Division of Emergency Management continued to build partnerships with five U.S. Office of Domestic Preparedness training agencies:

- Center for Domestic Preparedness (Anniston, AL)
- Louisiana State University Academy of Counter-Terrorist Education
- New Mexico Institute of Mining & Technology
- Texas A&M University's National Emergency Response and Rescue Training Center
- U.S. Department of Energy Nevada Test Site

KyEM has developed a training webpage to feature courses offered by these organizations. A record number (250) of Kentucky responders applied through KyEM to attend courses (at no charge to the student) in Alabama, Nevada, and New Mexico.

(<http://kyem.dma.state.ky.us/WMD/training.html>)

KyEM designated one third of a full time position to efficiently manage the out-of-state registration process. KyEM designated another position to manage in-state WMD training programs, with emphasis on instruction for 14 regional hazardous materials/WMD response teams.

KyEM continues to aggressively promote the out-of-state training programs, with a recruiting booth staffed at the annual Eastern Kentucky University Rescue School, the annual Kentucky State Fire School and the Kentucky-Indiana Hazardous Materials School. Three KyEM training staff attended orientation training at the Center for Domestic Preparedness campus in Alabama.

Additionally, on-line WMD awareness training—provided free of charge by Texas A&M WMD training program—has been heavily promoted by KyEM.

Over 30 in-state WMD courses were conducted, with 955 students participating. Included were courses instructed by:

- Texas A&M  
Unified Command System  
Threat and Risk Assessment  
WMD Preparedness for Emergency Medical Services  
WMD Preparedness for Public Works
- U.S. Department of Energy  
Disaster Exercise Design  
Radiological Awareness Train-the-Trainer

Within the Commonwealth, ODP-sponsored WMD courses were delivered in Ashland, Bardstown, Florence, Frankfort, Ft. Mitchell, Hazard, Lexington, Louisville, Murray, Owensboro, Paducah, Paintsville, Princeton, Somerset, Stanton, Walton and Winchester; some communities hosted more than one WMD course.

KyEM also established partnerships with the Kentucky Department of Public Health and the Kentucky State Police training academy to co-sponsor various WMD preparedness courses.

KyEM sponsored a WMD awareness and operations train-the-trainer course for 10 instructors at the KENA/APCO/KEMA conference in Lexington; these trainers have already begun to instruct at the local level. KyEM taught an “Introduction to Terrorism” course for 180 students in Mt. Vernon. KyEM-sponsored WMD planning workshops (two days each) were offered in four locations across the state; 248 students representing 118 counties participated.

Kentucky Emergency Response Commission member Tommy Preston, an internationally recognized WMD preparedness specialist, provided training to KyEM personnel who staff the state’s Emergency Operations Center.

## **Exercise Program**

In February of 2003, KyEM started to develop and manage the state’s WMD exercise program. Initial emphasis was on the development of a statewide Hazardous Materials/WMD Exercise Program and to establish a multi-year WMD Exercise Planning Schedule, in cooperation with ODP contractors. NOTE: The program proposal was approved by KyEM leadership in July 2003 and is being reviewed by the U.S. Department of Homeland Security for official approval. Both of these tasks are in the final stages and the program will be open for bidding by contractors by the end of 2003.

The program primarily features exercise support for Kentucky's fourteen (14) regional hazardous materials/WMD response teams (RRT's). Local Emergency Planning Committees in the Hopkinsville area combined to conduct a large scale WMD/hazardous materials exercise in November, 2002; over 300 emergency management, emergency services and elected officials participated.

#### **Kentucky's WMD Exercise Program has/is:**

- Assisted with the development and delivery of a multi-agency agricultural WMD exercise for 120 state agency representatives, hosted by the state's Dept. of Agriculture;
- Supported a Frankfort/Franklin County WMD working group exercise, with 30 participants;
- Aided the smallpox WMD tabletop exercise conducted for 40 public health officials in Madisonville;
- Participated in a National Metropolitan Response System WMD exercise that was held for 250 local, state and federal emergency services, emergency medical and public health officials in Lexington.
- The CSEPP Exercise Training Officer and the WMD Exercise Program Coordinator participated in a CSX Railroad functional emergency operations center exercise in Louisville.
- Assisting with the design of a U.S. Center for Disease Control exercise in cooperation with state health officials in Paducah;
- Supporting the development of a hazardous materials/WMD exercise in Florence, Kentucky;
- Finalizing the state's Hazardous Materials/WMD Exercise Plan and schedule.

#### **Proposed Uranium Hexafluoride / Radiological Shipments**

The U.S. Department of Energy (DOE) has developed, in coordination with Kentucky, a transportation plan that provides details on proposed plans to ship approximately 2,800 Uranium Hexafluoride cylinders from the DOE's East Tennessee Technology Park to Portsmouth, Ohio.

The plan provides information on the shipments, including details on emergency preparedness, and training activities being performed in coordination with the States of Tennessee, Kentucky, and Ohio. DOE proposes shipments begin this year with completion

in 2005. Under the proposed plan, the remaining cylinders located at the East Tennessee Technology Park (ETTP) will be shipped after 2005 under a separate transportation plan to be developed.

Kentucky Emergency Management's Plan is to have response personnel fully trained as radiological first responders in twelve counties and four state agencies to respond to an accident or release of depleted Uranium Hexafluoride (UDF6) along the shipping corridor. Over 400 local and state officials have been trained as first responders and 40 State Staff have been trained as Train-the-trainers. State and local officials have been integrated into the planning and implementation of all emergency response activities for the shipment of UDF6 along the proposed shipping corridor.

The proposed shipping corridor is I-75 to Lexington, I-64 to Winchester, I-64, and Highway 9 on to Portsmouth, Ohio. All shipments will be escorted by Kentucky State Police and tracked through the Commonwealth utilizing TRANSCOM and monitored by Kentucky Division of Emergency Management at the State Emergency Communications Center (ECC) in Frankfort.

### **Chemical Stockpile Emergency Preparedness Program (CSEPP)**

The purpose of the Chemical Stockpile Emergency Preparedness Program (CSEPP) is to provide maximum protection to the public through emergency planning, public education, and alerting for as long as the chemical weapons stockpile exists at the Bluegrass Army Depot (BGAD) Richmond, KY. While the risk of an accident or incident involving the stored chemical weapons is small, risk increases with age. BGAD stores approximately 2 percent of the nation's chemical weapons. Ky CSEPP involves the counties of Clark, Estill, Fayette, Garrard, Jackson, Laurel, Madison, Powell, and Rockcastle. There is a proposal to include Jessamine County in the near future. Kentucky Division of Emergency Management (KyEM) in Frankfort also plays a major role in the implementation of CSEPP.

The program provides readiness to protect the community in response to terrorist activities. The Army conducts necessary threat assessments and provides the necessary physical and operational protective measures. The local community through funding of CSEPP is prepared to respond to the consequences of a release in the event of terrorism. Plans account for various release scenarios. These plans include release notification, public warning, and public information. Evacuation routes have been established, and mass care facilities have been identified. A redundant communication network to include dedicated data lines and microwave voice capability ensure connectivity between critical direction and control nodes. Emergency Operation Center (EOC) staffs are trained and exercised. HazMat, decontamination, and medical training are provided throughout the year by response agencies. Key facilities such as schools have received additional enhancements to protect the occupants. This program was funded in FY03 at \$6.8 million.





## **Transportation Cabinet**

### **ACCOMPLISHMENTS FOR 2003**

The cabinet has the roadway incident response plan, which identifies detour routes for all interstates and parkways in the Commonwealth.

- The Cabinet is a member of EMAC, Emergency Management Assistance Compact along with some 20 other states with the mission of assisting each other during any disaster, natural or man-made. The compact calls for states to assist with manpower and equipment as requested.
- We have developed awareness training for field engineers, bridge inspectors, county superintendents, traffic personnel and maintenance employees. This training includes HAZ-MAT awareness, weapons of mass destruction, incident management, and first responder training.
- The Cabinet is in the early stages of developing a statewide transportation emergency response plan to assist the 12 transportation districts across the state with personnel, equipment and material as needed. This plan will insure the best and most efficient use of the Cabinet's resources in responding to any disaster or terrorist attack. This process will take considerable time to develop and implement. (Estimated 6 months)
  - The Cabinet has in place the STOC, State Transportation Operations Center, which monitors the highways of Kentucky for accidents, weather related events, pavement conditions. The STOC is a 24/7 operation staffed with Kentucky Vehicle Enforcement communicators and will serve as a center for coordinating response efforts during an event.
  - We are also participating in national workshops (TRB) Transportation Research Board and (AASHTO) American Association of State Highways and Transportation Officials along with other DOT's to identify needs to strengthen our highway security.
  - We have trained 1,053 field personnel in Awareness, HazMat, Weapons of Mass Destruction, Incident Management, and First Responder Training. In the following year we will train an additional 1500 to 1800 Transportation personnel.
  - We have completed the first revision of the Transportation Emergency Response Plan. The Cabinet has been careful not to reinvent the wheel. We have taken the business continuity plans of the 12 transportation districts and combined them into a statewide transportation response plan identifying needs and filling the gaps. We will have the revised document in the near future.

- The Cabinet has appointed Cash Centers as executive director for the Transportation Operation Center. Mr. Centers came on board July 16, 2003 and has had a very positive impact on the day to day operations. The Operations Center has made great strides with travel information as well as being the center for coordinating transportation emergency response efforts.

The Cabinet has secured funding through Division of Emergency Management to purchase satellite phones for our twelve districts and central office. These phones will help to insure we will have communication between our districts and central office should conventional communication be lost due to a disaster, natural or man made.

## **DRIVERS LICENSING**

### **PURPOSE**

- To strengthen security and residency requirements in the driver's license and commercial driver's license issuance process.
- Enhance commercial driver's license school curriculum requirements and testing, which will improve public safety on Kentucky's highways.

### **MAIN REQUIREMENTS OF HB 188, 189, 190**

- Demonstrate proof of residency (i.e. deed, property tax bill, utility agreement).
- INS documents reviewed by KYTC to verify legal presence and tie expiration of the INS document to the expiration of the driver's license.
- Permanent residents, like U.S. citizens, may directly go to the circuit clerks office to obtain a license.

### **FEDERAL LEGISLATION REGARDING DRIVER'S LICENSE CONSIDERED**

- Creating national minimum standards for every state's driver's license.
- Tying expiration of INS document to expiration of driver's license.
- Governor Patton, NGA President, will establish a homeland security task force. One of that group's areas of concentration will be on driver's licenses.

### **HB 189**

- State and national criminal history background check required to obtain a commercial driver's license (CDL).

- Establish an arms-length relationship between the 3rd party tester and any owner, officer, or employee of any program offering commercial truck driving.
- Provisional CDL is valid for up to 180 days in KY to out-of-state resident.

#### HB 190

- All CDL driver training schools must offer a minimum 160 hours of instruction.
- Curriculum must be approved by State Board for Proprietary Education in consultation with KSP and KCTCS.
- Each CDL student must undergo a drug test at the time the person applies to enroll.
- CDL school operator and instructors must undergo a national criminal history background check.

#### STATUS OF IMPLEMENTATION

- Computer Programming changes.
- Working with KSP - KSP will perform criminal history background checks for a CDL.

#### FUTURE

- Application for a federal grant to verify (SSOV) for CDL and operator's licenses.
- Exploring use of INS Save System.
- Implementation of the federal Patriot Act.
- More funding required.

#### ACCOMPLISHMENTS

- Digitized license with multiple security features including first state to place a photo in a 2D bar code.
- Digitized license procedure where the previous image appears to the issuing office.
- Web retrieval of images and signatures of driver licenses and ID Cards.

- Centralized clearinghouse of image/signature requests at KSP intelligence.
- ID cards in the KDLIS.
- Facial Recognition.
- Establishment of a fraud point of contact in licensing matters.
- Established working relationships with state and federal agencies.
- Vital Statistic Information System access in which to verify KY DOB/name.
- "Fraud net" meeting Oct. 14, 2003 - Leading a multi- agency network to fight fraud.
- Established non US Citizen procedure applying at DDL field offices.
- KDLIS capturing INS "A number".
- Expiring the driver license or ID Card to expire with the legal presence in U.S.
- Provided INS and SSN document training for DDL field offices.
- Provided INS and SSN document training for circuit clerk offices.
- Received federal grant to verify name and SSN through SSA.

#### **KVE & SENSITIVE SHIPMENTS**

- Kentucky Vehicle Enforcement (KVE) continues to escort an increasing number of Highway Route Controlled/Safeguards radioactive materials shipments. This material travels under updated US Nuclear Regulatory Commission Guidelines, per 10 CFR Part 73.
- KVE is participating in the 'Highway Watch' program developed by the American Trucking Association and FMCSA.
- KVE currently has 3 officers trained in enhanced North American Safety Vehicle Inspection Procedures relating to radioactive materials transportation by highway. These shipments are escorted by KSP, KVE and Radiation Health and Toxic Agents Branch, with support from the Kentucky Division of Emergency Management.

- KVE feels that the current approach to escorting radioactive shipments is pro-active rather than reactive.
- KVE has performed 7,018 HazMat inspections from January thru August, 2002.
- KVE conducted 16,547 HazMat inspections from 1/1/03 to 7/1/03.
- Kve continues to interact with the U.S. Department of Energy, Kentucky State Police, and Kentucky Division of Emergency Management and the Radiation, Health and Toxic Agents Branch of the Kentucky Department of Public Health in reference to the safe, secure transportation of DUF6 and spent nuclear fuel shipments throughout the Commonwealth.
- KVE continues to meet with the U.S. Attorney's Anti-Terrorism Task Force on a regular basis.

## **Annexes**

**Annex A - State Homeland Security Assessment and Strategy Process 'Overview' – Department of Military Affairs**

**Annex B - State Wireless Committee – Governor's Office for Technology**

**Annex C - State Facilities Emergency Preparedness Initiatives - Finance & Administration Cabinet**

**Annex D - Local Issues and Concerns – Department for Local Government**

**Annex E - Federal Grants Report – Office for Security Coordination**



## **Annex A - State Homeland Security Assessment and Strategy Process 'Overview' – Department of Military Affairs**

### **Program Components:**

- Updated State and Local Assessments
- State/Local Registration and user profiles
- Threat Assessment
- Vulnerability Assessment
- Capabilities Assessment
- Needs Assessments
- Optional Agriculture Assessment
- Updated State Strategy
- State Assistance Plan (SAP)
- List of ODP resources available to states
- Funding
- Equipment, exercises, training, administrative

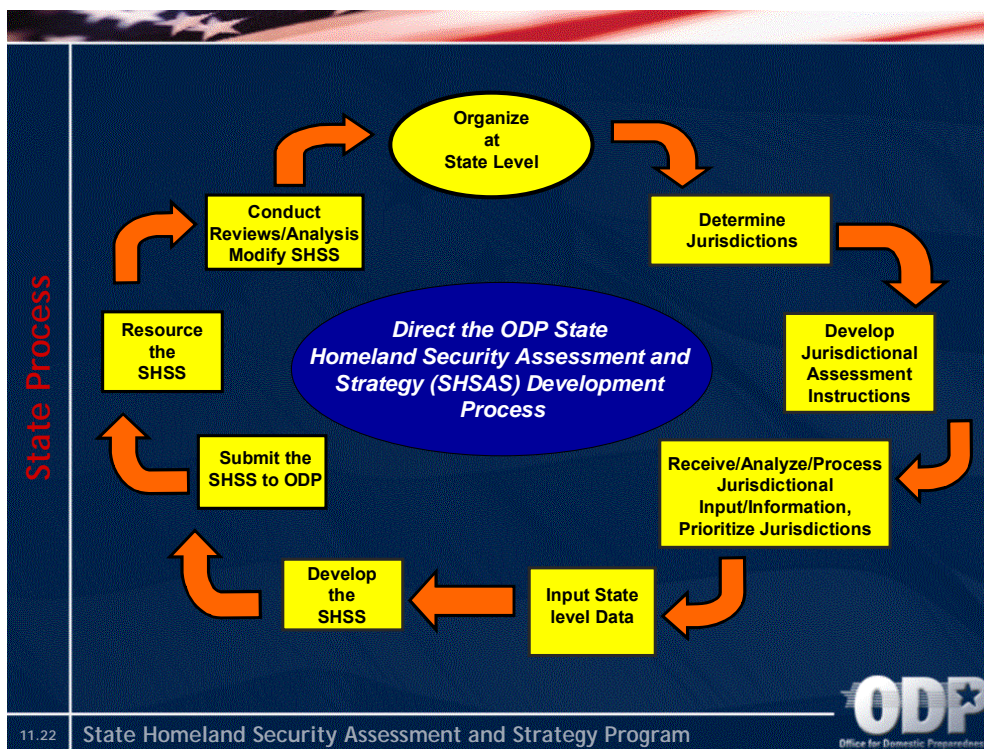
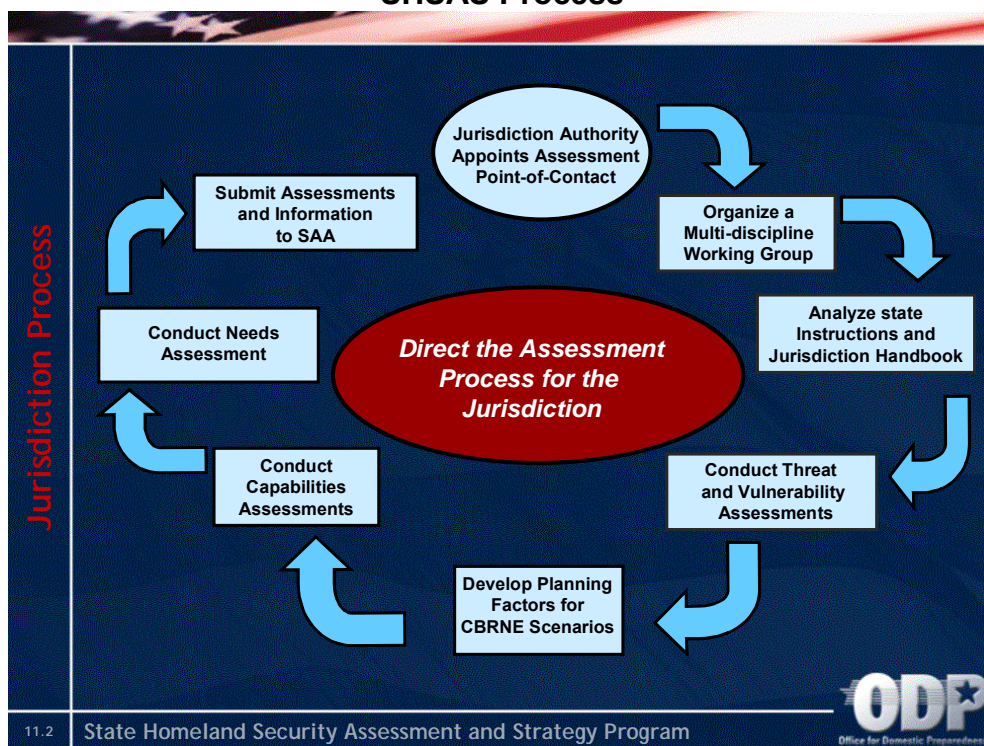
### **Overall ODP Program Manager Responsibilities:**

- Primary ODP point of contact
- Coordinate ODP resources
- Equipment
- Training
- Exercises
- Coordinate and approve Technical Assistance scheduling
- Manage funding resources
- Assist with strategic planning

### **State responsibilities for the SHSAS:**

- Organize State Working Group
- Register on-line
- Coordinate completion of state/local assessments
- Complete state strategy
- Allocate resources
- Review and analysis of developed strategy

## SHSAS Process







## **Annex B - State Wireless Committee – Governor's Office for Technology**

House Bill 309 passed the 2003 General Assembly creating the Kentucky Wireless Interoperability Executive Committee. The charge of this committee is to address communications interoperability, a homeland security issue critical to the ability of public safety first responders to communicate with each other by radio and to advise and make recommendations to the chief information officer of the Commonwealth regarding strategic wireless initiatives to achieve public safety voice and data communications interoperability. The Kentucky Wireless Interoperability Executive Committee has a nationally recognized name and structure as recommended by the Federal Communications Commission. (SIEC - State Interoperability Executive Committee) It also allows for more involvement from interested agencies with the addition of local representatives from municipal and county government, police, fire, sheriff, EMS, and 911 dispatch. The legislation includes a reporting mechanism whereby the chief information officer shall report by September 15 annually to the Interim Joint Committee on Seniors, Veterans, Military Affairs, and Public Protection as well as the Interim Joint Committee on State Government on progress and activity by agencies of the Commonwealth to comply with standards to achieve public safety communications interoperability.



## **Annex C - State Facilities Emergency Preparedness Initiatives - Finance & Administration Cabinet**

### **OVERVIEW**

The Finance & Administration Cabinet, through its Department for Facilities Management (DFM), has responsibility for the emergency preparedness for 43 buildings it owns/operates. The Cabinet also supports other agencies that own or lease facilities.

The Cabinet, through DFM, directly supports 11,300+ Commonwealth employees and approximately 1,700+ visitors on a daily basis. Facilities supported vary in: [1] critical need to the Commonwealth, [2] size, [3] type of construction, [4] function, [5] historical significance, and [6] vulnerability. These facilities are sited in Franklin County as well as sites in Jackson, Lexington (Spindletop), London, Louisville (L&N Building), Madisonville, Owensboro and Richmond. Through Memoranda of Agreement the Cabinet also operates the KHEAA Building and will soon operate the new Transportation Cabinet Office Building.

The Cabinet also supports other Cabinets in leasing of facilities for their needs to include providing some standard emergency response protocols. In Franklin County there are seven (7) major leased facilities housing 3,600+ Commonwealth employees and receive 1,200+ visitors per day.

The Cabinet, through DFM, has initiated security and emergency preparedness that can be detailed into the main areas of Access Control, Building Hardening and Emergency Preparedness.

The Cabinet will also provide a discussion on major issues of concern.

### **ACCESS CONTROL**

Access Control is one of the components of physical security for Commonwealth facilities. The F&A Cabinet has been a leader in access controls for Commonwealth facilities by applying electronic access, metal detectors and key & lock control to its facilities:

- ***Electronic Access Control***

The Cabinet, through DFM, has installed Hirsch Access Control Systems in 17 Finance facilities state-wide. Currently, 13 of 32 Finance facilities, in Franklin County, have electronic access systems installed.

There are three (3) generations of Hirsch Access Control Systems currently used by Commonwealth agencies: the 1<sup>st</sup> generation Hirsch SAMST<sup>TM</sup>, the 2<sup>nd</sup> generation MOMENTUM<sup>TM</sup>, and the 3<sup>rd</sup> generation VELOCITY<sup>TM</sup>. SAMST<sup>TM</sup> access control is utilized in the Human Resource Complex (275 East Main St.), GOT (Cold Harbor Dr.) and the Frankfort State Office Building. KHEAA and the new TCOB utilize the new VELOCITY<sup>TM</sup> access. KSP, through its Facilities Security Division, operates the Hirsch SAMST<sup>TM</sup> access system at the Human Resources Complex. GOT, KHEAA and KYTC operate or will operate their own Hirsch access control systems.

The Central Laboratory Building, as part of an entire facility security upgrade, is having its outmoded Simplex electronic access system upgraded to the newest Hirsch VELOCITY™ access control system this fall.

- ***Metal Detectors***

The Cabinet has installed metal detectors at visitors' entrances to the Capitol Annex. During the annual Legislative Sessions, the metal detectors are relocated to the perimeters of both Capitol and Capitol Annex to allow full coverage of visitors entrances the complex.

- ***Key & Lock Control***

An initiative by the Cabinet, through its Division of Material and Procurement Services, has provided Commonwealth agencies with a Master Agreement with Best Access for locks, lock cores and keys. This integrated lock system provides a copyrighted 7-pin great-grand-master coring system with keys that are virtually impossible to obtain by non-users and are also extremely difficult to duplicate. The Cabinet has this lock system fully on 27 of 44 facilities. Additionally, the Justice Cabinet, the Division of Parks and KYTC also use this system to varying degrees.

- ***Access Control - Future Plans***

The Cabinet's future plans for access control include the following:

- ◇ *Continued Use of Hirsch Electronic Access Control Systems*

The Cabinet proposes to continue installing Hirsch electronic Access systems and to eventually bring all building access systems to the 3<sup>rd</sup> generation Hirsch VELOCITY™ system. The Cabinet recommends the Hirsch VELOCITY™ access control system be the minimum standard Commonwealth-wide for facility access control.

- ◇ *Determining Future Electronic Access Control Requirements*

The Cabinet also recommends funding of professional services to determine future access requirements Commonwealth-wide above the level the Hirsch VELOCITY™ system can provide. Such access systems could include retina scanning, voice recognition, fingerprint recognition, facial mapping recognition, infrared scanning, etc.. Initial applications are envisioned to be in high threat – highly critical facilities or complexes.

- ◇ *Continued Use of Metal Detectors in Selected Facilities*

The Cabinet envisions permanent installation of metal detectors in the Capitol and Capitol Annex complex. Other high threat – high critical buildings should be considered (i.e. GOT, KyEM's EOC, Central Laboratory, etc.) for permanent metal detectors based on a detailed threat and vulnerability assessments. Metal detectors do require human monitoring, so any additional metal detector installation must be balanced against the need for additional personnel to operate and control these devices/entrances.

- ◇ *Gradual Minimizing General Use of Key & Lock Control*

With the greater utilization of the Hirsch access systems, the

Cabinet envisions minimizing the issuing of keys and the associated requirement to change locks/cores locks. The Best Access keys would be used for areas requiring enhanced physical security in addition to electronic access control. In the future, all Commonwealth employees should be issued an ID/electronic access card that will allow them entry to all areas required to perform their jobs. Keys would only be issued to selected personnel needing access to areas requiring enhanced physical security. The Cabinet recommends the Best Access lock/core/key system be the minimum standard Commonwealth-wide for facility lock/core/key application, other than high security applications.

## **BUILDING HARDENING**

Building hardening is the upgrade of building systems for the specific requirements of: [1] enhanced access control and [2] physical enhancement of buildings/grounds for resistance to weapons of mass destruction (WMD). Specific access control systems were discussed previously, this segment will concentrate on the enhanced resistance to WMD in Finance facilities. Currently, there are three DFM projects for enhanced resistance to WMD: the Human Resources Complex, GOT and the Central Laboratory. All projects are funded from the Cabinet's Facilities Management Maintenance Pool.

- ***The Human Resources Complex (275 East Main Street)***

The Human Resources Complex was hardened by applying several retrofits to the facilities (CHR & HSB buildings/grounds). These retrofits included employee access control, loading dock access control, relocating visitor reception to a single point-of-entry, modification of vehicular traffic flow and parking assignments. Additionally, there is an upgrade to the parking light lighting for enhanced safety of those exterior areas.

- ***The GOT Building (101 Cold Harbor Drive)***

The GOT Building is currently undergoing a hardening project. The project includes modifications for perimeter protections from vehicles, vehicular traffic flow and some interior building systems upgrades.

- ***The Central Laboratory Building (100 Sower Boulevard)***

The Central Laboratory Building is in the final design for hardened by applying several retrofits to the building & grounds. These retrofits included a new employee access control, enhanced loading dock access control, relocating visitor reception to a single point-of-entry, relocating visitor parking to a single area, modification of vehicular traffic flow and parking assignments. Traffic control devices in the new visitors parking area were installed in August with traffic signage to be installed by the end of September. The project is now in the bidding stage.

- ***Building Hardening - Future Plans***

The Cabinet's future plans for building hardening include the following:

- ◇ ***Hardening of Additional Facilities***

The Cabinet envisions hardening additional facilities based on the

nature of the threat and the vulnerability of the facility. Buildings being considered are: the Capitol, the Capitol Annex, the Capital Plaza Tower and the L&N Building (Louisville).

◇ *Enhanced Hardening at the Hardened Facilities*

The Cabinet envisions applying additional hardening to the facilities already hardened or of recent construction. The two areas of hardening being considered are: [1] Enhanced protection for HVAC in-take into the facilities and [2] Applying a blast/bullet resistant film to windows.

◇ *Obtaining Funding for Building Hardening from Other Than Maintenance Pool Funds*

The Cabinet believes funding of building hardening projects should be obtained from various sources to include federal grants. With the projected limited maintenance pools funds and the varied nature of individual building vulnerability, funding using only maintenance pools funds will require the Cabinet to sometimes unfairly choose between necessary building repairs/upgrades and building hardening. Adequate staff for researching/applying for varied grants and other funds is essential to continuing with the building hardening program.

## **EMERGENCY PREPAREDNESS**

The Cabinet has supported the Commonwealth's emergency management responsibilities by reducing risk through emergency preparedness. Emergency preparedness activities by the Cabinet include [1] identifying facilities, [2] identifying facility vulnerabilities, [3] identifying resources, [4] establishing protective plans, [5] training and [6] undertaking mitigation actions. The Cabinet's emergency preparedness activities are:

- ***Facilities Management Database***

While not a mandate for emergency preparedness, the Cabinet has been tasked by LRC to establish a facilities management database of all Commonwealth facilities, owned or leased. The database, called ARCHIBUS, is in its infancy, but has great potential to be a useful tool in emergency preparedness. The Cabinet envisions designated Emergency Preparedness Planners, First Responders, Law Enforcement and Homeland Security personnel having access to the portions of ARCHIBUS database germane to their emergency preparedness/mitigation/response and Homeland Security requirements. Data such as GPS location, floor plans, utility control locations, fire panel/device locations, building design, etc. make ARCHIBUS an extremely valuable software package for the Commonwealth's emergency preparedness.

- ***Electronic Response Plans***

Electronic response plans are one of the Cabinet's tools for mitigation of emergency situations by individual employees in distinct facilities. Electronic response plans are available for all major buildings in the Franklin County area and a few facilities outside of Franklin County. These electronic response plans detail: emergency telephone numbers, evacuation routes, areas for sheltering-in-place, exterior safe assembly areas, receiving/documenting bomb threats, work place

violence response/documentation, etc.. The Cabinet is currently behind schedule on preparing, updating and training occupants on electronic response plans due to a shortage of trained staff. Additional buildings are very slowly being added as the current staff obtains detailed floor plans, makes site visits, etc.. Cabinet electronic response plans, by building, may be reviewed at:  
<http://kygovnet.state.ky.us/finance/emergency/>

• ***Building Vulnerability Assessments***

Another portion of emergency preparedness-mitigation is the assessment each facility to determine its vulnerability to any threat. The Cabinet, through DFM, has performed a basic individual vulnerability assessment on all its buildings. These assessments are based on the following criteria: [1] Building Visibility (knowledge of location by potential threat elements); [2] Criticality of the Site (usefulness to the Commonwealth); [3] Value of the Site to the potential threat element; [4] Access to the Site by the potential threat element; [5] Threat of Hazard by the Site ((i.e. does it have hazardous chemicals, materials, explosives, etc.); [6] Site Population Capacity and [7] Potential for Causing Collateral Mass Casualties.

These Individual Building Vulnerability Assessments are being forwarded to the respective KyEM Area Managers for inclusion in each KyEM Area's Vulnerability Assessment Summary, which will included in the next iteration of the Commonwealth-wide Vulnerability Assessment Summary.

The Individual Building Vulnerability Assessments are also being used as background by the Cabinet as it prepares requests for federal homeland Security grants.

The Cabinet is currently far behind schedule on performing enhanced individual vulnerability assessments on all its buildings due to a shortage of trained staff.

• ***Upgrading of Fire Alarm Systems***

As part of emergency preparedness the Cabinet, through DFM, performed assessment of the fire alarm systems in all Finance-owned buildings. Assessments considered several factors: [1] compliance with the newest NFPA 72 code, [2] capability for upgrade to the newest intelligent and addressable criteria and [3] potential for connectivity to the Internet for monitoring. The assessment found many fire alarms to be non-compliant and in need of replacement or major upgrade, ranging from a 1960's model Spurling to a 2000 Simplex. A strategic plan was devised to bring all Finance building fire alarms into compliance with latest NFPA 72 code and to have Internet connectivity by December 2005. The system found to provide the best opportunity to meet the targeted requirement and completion date is the Simplex 4100u.

The 4100u also provides the capability of designating specific alarm sounds for specific situations. For example, there will be different alarm sounds for evacuation, shelter-in-place, Code Adam (lost child in the building), intruder-in-the building, etc. These alarm code sounds will be the same in every facility with a 4100u system,

thus simplifying the fire alarm training requirement for Commonwealth employees that may happen to visit/occupy different Finance-owned buildings.

Currently the Cabinet has 10 of the Simplex 4100u systems totally installed, with 3 already being connected to the Internet for DFM staff monitoring. 2 other buildings have specifications ready and funding requests submitted. Another 3 building are currently being evaluated for specifications and project estimates.

• ***Fire Alarm & Access Control Monitoring***

The Cabinet, through DFM, monitors all fire alarm systems and many access control systems in Cabinet-owned buildings. By code, the fire alarm systems are monitored by a contracted UL®-listed central monitoring station on a 24/7 basis. The access systems are monitored randomly and upon incident by DFM's Division of Mechanical Maintenance & Operations. The Cabinet believes a Commonwealth-operated UL®-listed central monitoring station (for fire and access control) that would monitor all Commonwealth buildings owned & leased would be of great benefit. Preliminary discussions were held with KyEM on Commonwealth-wide central monitoring, with no decisions were made.

• ***Emergency Preparedness Planning & Exercises***

The Cabinet, through DFM, made a great start to emergency preparedness with its 1999 planning and exercises in response to possible Y2K situations in facilities. DFM has updated many of those Y2K plans to meet the situations posed by the post-9/11/01 threat environment.

Emergency preparedness planning has consisted of identifying vulnerabilities, some preparation mitigation & prevention plans, identifying Cabinet resources that may assist in mitigation/response/recovery, preparing grant requests, identifying training needs of DFM staff, enhancing/modifying current preparedness plans as threat situations change and exercising the plans to ensure they work. Currently, the Director of Building Services serves as the Cabinet's Emergency Preparedness Manager as an additional duty.

Due to inadequate staffing, the Cabinet still not close to the preferred level of facilities emergency preparedness planning & exercising which is to have all-occupant preparedness competency in all Finance-owned buildings. Once DFM's emergency preparedness planning, training & exercising is at an acceptable level, DFM plans to share its facilities emergency preparedness planning & exercising with other agencies, especially agencies leasing facilities to give most Commonwealth facilities a baseline document to prepare emergency preparedness planning for their buildings.

• ***Emergency Preparedness Training***

Emergency preparedness training is a key component in the Cabinet's requirement to ensure a safe environment of occupants and visitors. DFM has identified managers of all levels to be trained, to varying degrees, in emergency preparedness, limited response and emergency management. The DFM Division of Building Services has identified 52 employees (Director thru selected Maintenance

Worker II) that need training in emergency preparedness for facilities. Training subjects identified includes basic fire alarm, fire extinguisher use, general facility emergency planning, First Aid, CPR, Automated Electronic Defibrillators, Emergency Management, WMD risk assessment, public works response to WMD, facility damage assessment, disaster recovery and urban search/rescue.

DFM is preparing to provide in-house training on basic fire alarm, fire extinguisher use, general facility emergency planning, First Aid, CPR and Automated Electronic Defibrillators. Much of this training will also be offered to selected occupants/monitors in the Cabinet-owned facilities.

KyEM has trained all first level DFM building managers on the basics of Emergency Management and continues working closely with DFM on providing additional training on Emergency Management, Unified Incident Command, WMD risk assessment, public works response to WMD, facility damage assessment, facility disaster recovery and urban search/rescue.

As part of emergency preparedness training, the Division of Building Services also instituted a "building manager rotation" to ensure each facility would have more than one person with great familiarity with specific building systems, emergency plans and occupants. The rotation was instituted on January 1, 2003 with good success.

To the detriment of the Cabinet, current staff does not have proper professional training or expertise in Emergency Preparedness, Mitigation, Training, Response and Emergency Management to properly support the Cabinet's and leased facilities in Emergency Preparedness.

• ***Emergency Preparedness - Future Plans***

The Cabinet's future plans for emergency preparedness include the following:

◇ *Continued implementation of ARCHIBUS Facilities Management Software*  
As mentioned earlier, ARCHIBUS will be the standard facilities management database of the Commonwealth. Specific information that will be contained in ARCHIBUS will be of great benefit to designated Emergency Preparedness Planners, First Responders, Law Enforcement and Homeland Security personnel who need facility information germane to their emergency preparedness/mitigation/response and Homeland Security requirements. The Cabinet must find the correct connectivity to allow this important data to be shared.

◇ *Continue Preparing & Enhancing Electronic Response Plans*  
Electronic response plans have been a great asset in facilities having them. Occupants can "pull up" the plans on their individual computer screens at any time for reference/refresher. The strategic plan is to have electronic response plans available in all facilities owned or leased. However, the Cabinet will need additional staff to prepare these electronic response plans for the rest of the Cabinet-owned facilities and leased buildings.



◇ *Continue Performing Building Vulnerability Assessments*

Threats and building preparedness change, so Building Vulnerability Assessments will have to be modified accordingly.

In the future additional facilities will also be leased, purchased or built, so these will need initial and follow-up assessments. Once again, the Cabinet will need additional trained staff to prepare these building vulnerability assessments for owned and leased buildings.

◇ *Continue Installation of Enhanced Fire Alarm Systems*

The Cabinet proposes to continue installation of fire alarm systems with the following features: intelligent (can learn), addressable (knows its component locations), multi-alarm sound capability and having Internet-connectivity.

◇ *Continue Discussion on Commonwealth-provided Central Monitoring*

The Cabinet believes it is in the best interest of the Commonwealth for it to provide its own UL®-listed central monitoring for fire alarm and access control systems in all owned and leased buildings. The Cabinet will continue discussion with KyEM, to potentially have the KyEM-Emergency Operations Center be that UL®-listed central monitoring station.

◇ *Continue Emergency Preparedness Planning & Exercises*

The Cabinet continues to work on improving Emergency Preparedness Planning & Exercises in owned and leased facilities but will continue to lag behind required time frames due to a lack of adequate, trained staff.

◇ *Continue Emergency Preparedness Training*

The Cabinet, through DFM, proposes to aggressively continue training selected staff and occupants on emergency preparedness subjects, to include exercises. DFM will also continue to work with KyEM on obtaining the correct training and exercises at minimal cost to the Commonwealth.

## **MAJOR ISSUES OF CONCERN**

The Cabinet has two major issues of concern that impact its ability to properly function as the “emergency preparedness broker” for all owned and leased facilities. The issues are:

- **Staffing**

Currently, the Cabinet’s emergency preparedness staffing is limited to the Director of Building Services who serves as the Cabinet’s Emergency Preparedness Manager as an additional duty. Staff from the Division of Building Services function as the Cabinet’s Emergency Preparedness support staff, which is a significant detriment to their primary duties. The current staff does not have proper professional training or expertise in Emergency Preparedness, Mitigation, Training, Response, Emergency Management and Grant Preparation to properly support the Commonwealth’s owned and leased facilities in Emergency Preparedness.

To provide proper emergency preparedness support and oversight the

Cabinet's Department for Facilities Management has identified the need to establish an Emergency Preparedness Branch in the Division of Building Services. This Emergency Preparedness Branch would be the focal point for all emergency preparedness activities in the Cabinet and leasing agencies, ranging from conducting assessments, establishing plans, coordinating training, conducting exercises, providing coordination information to other agencies to grant proposal preparation.

The Department for Facilities Management envisions the Emergency Preparedness Branch being staffed by six (6) employees: one Branch Manager (KyEM trained), three Safety Administrators, one Fire Alarm Technical Specialist and one Administrative Specialist.

Funding for these Emergency Preparedness Branch positions is key to providing proper emergency preparedness support and oversight to owned and leased buildings state-wide.

• **Establishment of an UL®-listed Central Monitoring Station**

As mentioned earlier, the Cabinet emphatically believes it is in the best interest of the Commonwealth for it to provide its own UL®-listed central monitoring for fire alarm and access control systems in all owned and leased buildings. The KyEM-EOC has the capability, with adequate staffing and some investment in additional computers and telephone lines to become a UL®-listed central monitoring station. It currently has staff trained/certified in emergency dispatch/E911 protocols as well as access to all assets of the Commonwealth to coordinate/utilize in a real threat situation.

The Cabinet also believes the KyEM-Emergency Operations Center should be that UL®-listed central monitoring station for the Commonwealth and the KyEM-EOC should be staffed, trained and funded to reflect the significance of Commonwealth-wide central monitoring support for emergency preparedness.

## **SUMMARY**

The Cabinet, through DFM, has made progress in Emergency Preparedness to meet the varying situations posed by the post-9/11/01 threat environment. Major areas being addressed and that will continue being addressed are:

**A. Access Control, thru**

1. *Electronic Access Controls*
2. *Metal Detectors*
3. *Key & Lock Control*
4. *Potential Future Access Control Systems*

**B. Building Hardening of Selected Buildings**

1. *The Human Resources Complex (275 East Main Street)*
2. *The Got Building (101 Cold Harbor Drive)*
3. *The Central Laboratory Building (100 Sower Boulevard)*

#### *4. Evaluation of Additional Facilities*

##### **C. Emergency Preparedness, thru**

- 1. A. Facilities Management Database (ARCHIBUS)*
- 2. Electronic Response Plans*
- 3. Building Vulnerability Assessments*
- 4. Upgrading Fire Alarm Systems*
- 5. Fire Alarm & Access Control Monitoring*
- 6. Emergency Preparedness Planning & Exercises*
- 7. Emergency Preparedness Training*

The Cabinet's emergency preparedness staffing is severely limited and cannot provide support as required. The Director of Building Services serves as the Cabinet's Emergency Preparedness Manager as an additional duty. Staff members from the Division of Building Services function as the Cabinet's Emergency Preparedness support staff, which, as mentioned above, is a significant detriment to their primary duties. Current staff does not have proper professional training or expertise in Emergency Preparedness, Mitigation, Training, Response, Emergency Management and Grant Preparation to properly support the Commonwealth's owned and leased facilities in Emergency Preparedness. Funding for an Emergency Preparedness Branch in the Cabinet is essential for adequate future preparation for emergency preparedness, mitigation, response and recovery for the various threats conceivable in the post-9/11 threat environment in support of all Commonwealth-owned and leased buildings state-wide.

The Cabinet, also believes it is in the best interest of the Commonwealth to provide its own UL®-listed central monitoring for fire alarm and access control systems in all owned and leased buildings. The Cabinet also believes the KyEM-Emergency Operations Center should be that UL®-listed central monitoring station for the Commonwealth and the KyEM-EOC should be staffed, trained and funded to reflect the significance of Commonwealth-wide central monitoring support for emergency preparedness of buildings state wide.

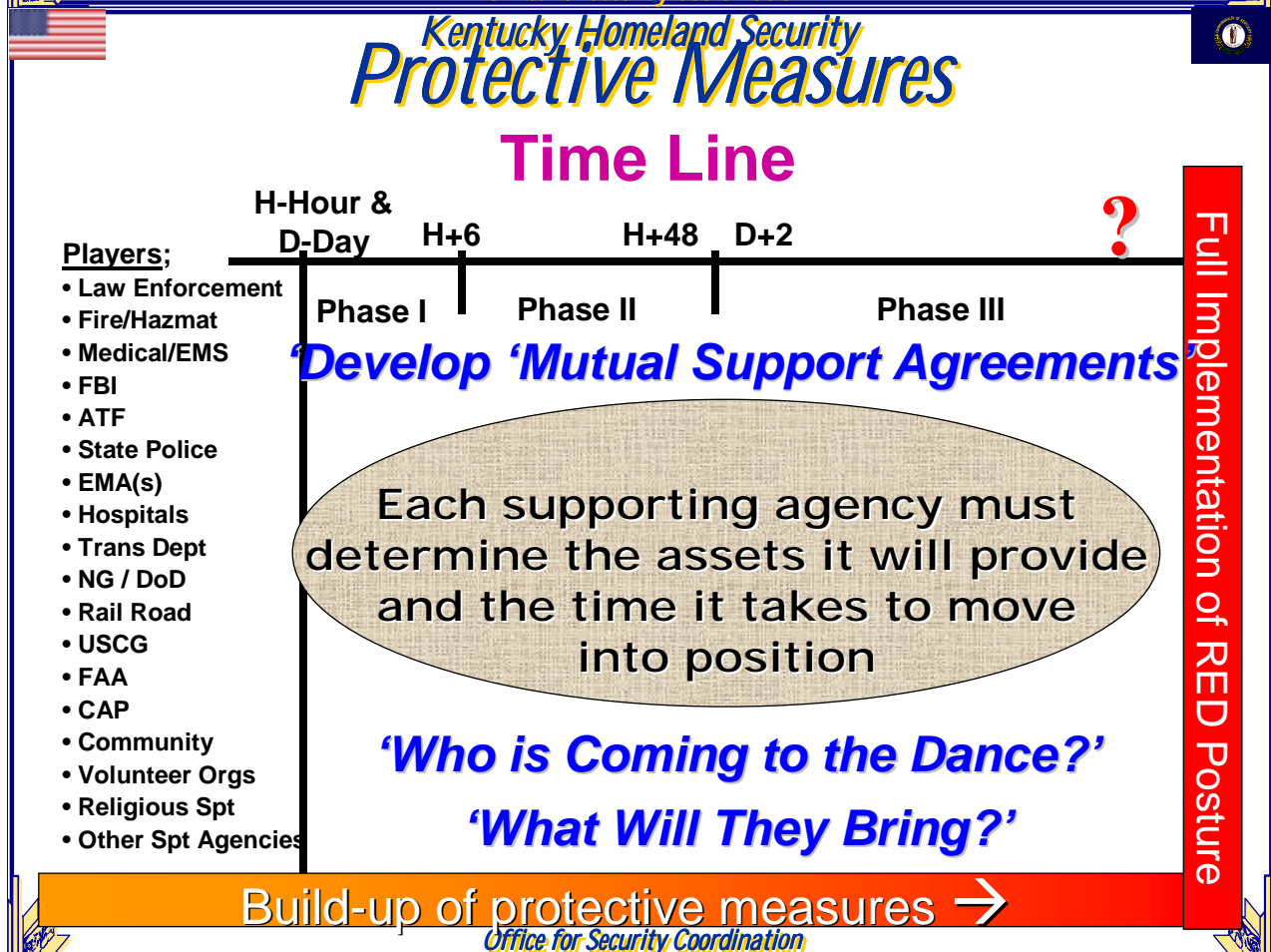
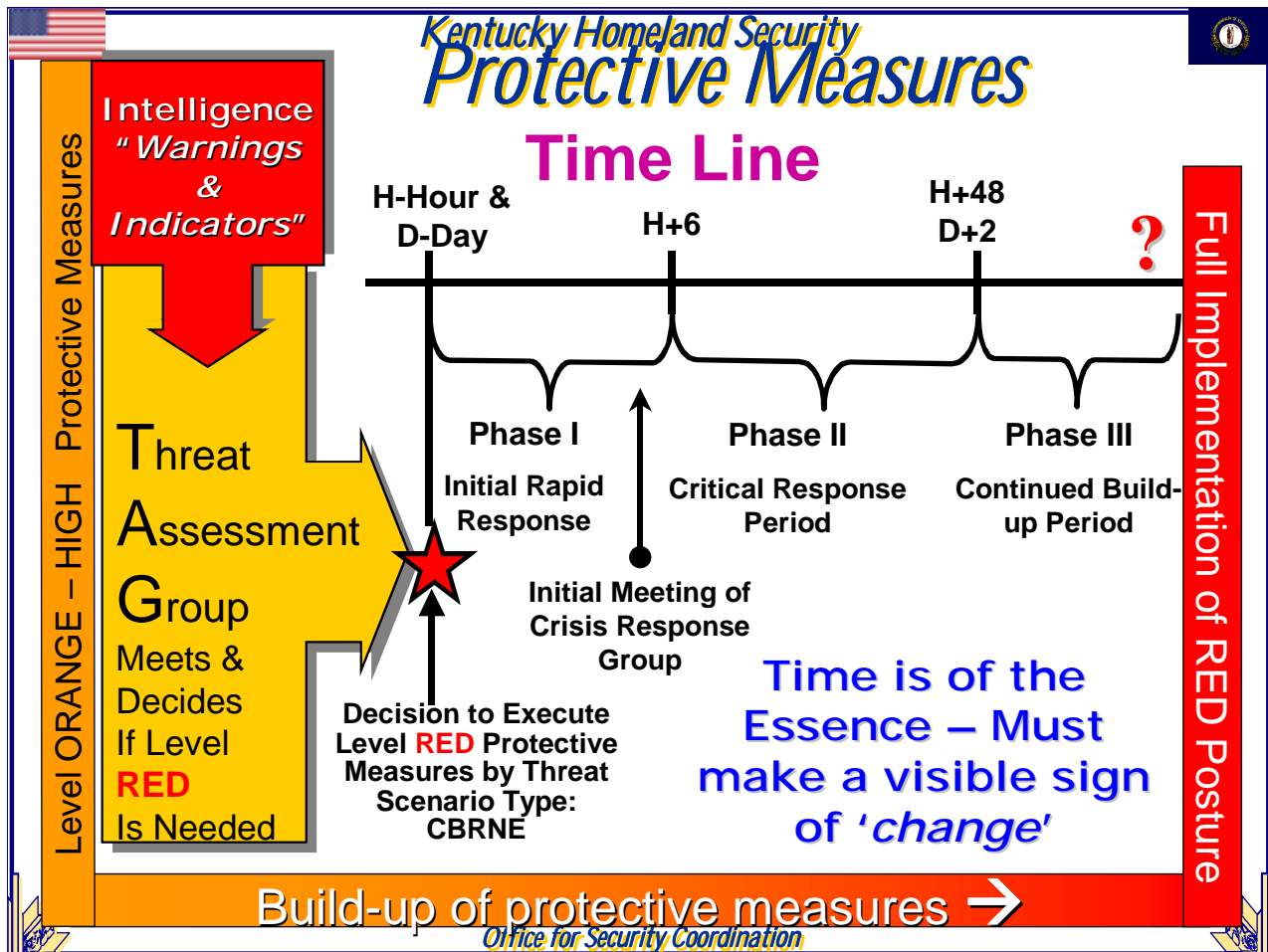
## **Annex D - Local Issues and Concerns – Department for Local Government**

The issues and concerns of the Department for Local Government is the lack of information we were able to obtain for the fiscal year 2003. We could only report the information that we receive. Our Department along with the ADDs contacted the Counties, Cities and Special Districts to obtain any Homeland Security Grant information. There was uncertainty if they had received any such grant and what the definition of Homeland Security was. The Department for Local Government was unable to track if they had in fact received a grant. We will have better reporting and tracking of information in 2004 because of added reporting procedures.



## **Annex E - Federal Grants Report – Office for Security Coordination**

***The Final Report is submitted at a separate enclosure to this report***





# Kentucky Homeland Security HS Advisory System



**SEVERE**  
Severe Risk of Attack

**HIGH**  
High Risk of Attack

**ELEVATED**  
Significant Risk of Attack

**GUARDED**  
General Risk of Attack

**LOW**  
Low Risk of Attack

Existence   History   Capability   Intentions   Targeting

Attack is **IMMINENT** – Target Specific

Attack is **PROBABLE** – Intentions Identified

Attack is **LIKELY** – Capabilities Identified

Attack is **POSSIBLE** – History of Attacks

Attack is **UNLIKELY**

Threat Assessed by: Credibility, Corroboration, Specificity, Imminence and Gravity

Office for Security Coordination



# Kentucky Homeland Security HS Advisory System



Prevention & Preemption

Response,  
Recovery

**L** **G** **E** **H** **S**

Reduce Vulnerabilities to Attack

Minimize the Effects of a Successful Attack

Reduce Dependencies & Single Point Failures

&  
Restoration

✓ **Detect**

✓ **Deter**

✓ **Deny**

✓ **Defend**

**Defeat**

Lessons  
Learned

Office for Security Coordination



# Kentucky Homeland Security HS Protective Measures

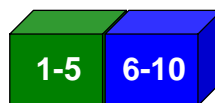
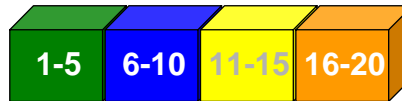


## Assessment & Security

Add and/or Modify tasks as you climb the matrix

### Categories

- Physical Security
- Operational Security
- IT & Cyber Security
- Personnel Assurance
- Personnel Protection
- Information Operations
- Emergency Response Preparedness



Cyber  
Chemical  
Biological  
Radiological  
Agriculture  
Nuclear  
Explosive

Combinations  
for an attack

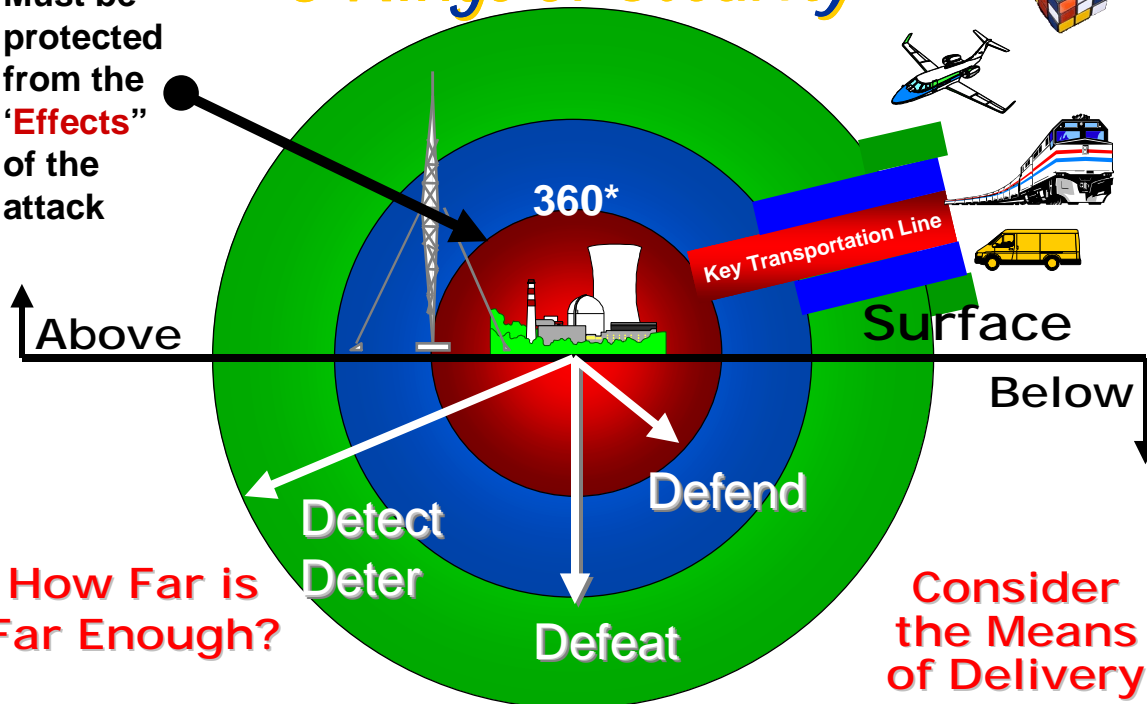
**Threat Assessed by:** Credibility, Corroboration, Specificity, Imminence and Gravity

Office for Security Coordination



## Kentucky Homeland Security 3 Rings of Security

Must be  
protected  
from the  
'Effects'  
of the  
attack



C-B-R-N-E-C

## Security-in-Depth

Office for Security Coordination





## **State Contacts**

### **The Adjutant General of Kentucky**

- Office (502) 607-1558
- <http://www.military.state.ky.us/>

### **KY Department of Agriculture**

- KY Agro-terrorism Coordinator (502) 564-3956 x226
- <http://www.kyagr.com>

### **KY Division of Emergency Management**

- 24 hour Emergency : 1-800-255-2587
- <http://kyem.dma.state.ky.us/>

### **KY Finance and Administration**

- <http://www.state.ky.us/agencies/finance>

### **KY Department of Fish & Wildlife**

- <http://fw.ky.gov>

### **KY Governor's Office for Technology**

- General Information (502) 564-7680
- <http://ky.gov/got/security>

### **KY Homeland Security**

- General Information (502) 607-1826
- <http://homeland.ky.gov>

### **KY Labor Cabinet**

- <http://www.labor.ky.gov>

### **KY Department of Local Government**

- <http://www.dlg.ky.gov>

### **KY Natural Resources & Environmental Protection**

- 24 hour Environmental Emergency 1-800-928-3280 or 502-564-2380
- <http://www.kyenvironment.org>

### **KY Personnel Cabinet**

- <http://www.personnel.ky.gov>

### **KY Department of Public Health**

- Manager, Public Health Preparedness Branch (502) 564-4830 x3749
- Reportable Diseases & Conditions: 1-888-9-REPORT
- <http://chs.state.ky.us/publichealth/>

### **KY Public Service Commission**

- <http://www.psc.state.ky.us>

### **Kentucky State Police**

- Emergency 911 or 1-800-222-5555 to report suspicious activity
- Counter-terrorism Coordinator (502) 695-6369
- <http://www.kentuckystatepolice.org/terror.htm>

### **KY Transportation Cabinet**

- <http://www.kytc.state.ky.us>

## **Federal Contacts**

### **US Dept. of Homeland Security**

- <http://www.dhs.gov>

### **Bureau of Citizenship & Immigration Services**

- Officer in Charge
- <http://www.immigration.gov>

### **Bureau of Customs & Border Protection**

- Louisville Port Coordinator (502) 582-5183

### **Bureau of Immigration & Customs Enforcement**

- Cincinnati BICE Agent in Charge (859) 578-4600
- <http://www.bice.immigration.gov>

### **Federal Emergency Management**

- 1-800-621-FEMA (3362)
- <http://www.fema.gov>

### **Transportation Security Administration**

- Louisville (502) 359-6409
- Lexington (859) 252-0120
- Paducah, Owensboro (812) 434-4672
- Northern KY, Cincinnati (859) 372-9700
- <http://www.tsa.gov>

### **US Coast Guard**

- 24 hour Emergency 1-800-345-6789 or Group Ohio Valley (502) 582-6439 x222
- <http://www.uscg.mil/USCG.shtm>

### **United States Secret Service**

- Louisville (502) 582-5171
- <http://www.secretservice.gov>

### **Bureau of Alcohol, Tobacco, Firearms & Explosives**

- Louisville Field Division (502) 753-3400
- <http://www.atf.treas.gov>

### **Federal Bureau of Investigation**

- Louisville Field Office (502) 583-3941
- <http://www.fbi.gov>

### **Centers for Disease Control & Prevention**

- Public Response Hotline 1-888-246-2675
- <http://www.bt.cdc.gov/>

## **Other**

### **American Red Cross**

- <http://www.redcross.org/services/disaster>

### **America's Promise**

- [www.americaspromise.org](http://www.americaspromise.org)
- Volunteering to benefit America's youth
- Contact KY Commission on Community Volunteerism & Services below for details

### **Citizen Corps**

- [www.citizencorps.gov](http://www.citizencorps.gov)
- Community based volunteer organization
- Contact Local Citizen Corps Council

### **Community Emergency Response Teams**

- <http://training.fema.gov/emiweb/CERT>
- Helping develop community preparedness
- Contact KY Division of Emergency Management for details

### **Corporation for National and Community Service**

- [www.cns.gov](http://www.cns.gov) or [www.nationalservice.org](http://www.nationalservice.org)
- Contact for Senior Corps, Americorps, Learn & Serve

### **KY Commission on Community Volunteerism & Service (KCVS)**

- [www.volunteerky.state.ky.us](http://www.volunteerky.state.ky.us)
- Executive Director, Office: (502) 574-7420
- Program Manager 1-800-239-7404

#### **Medical Reserve Corps**

- [www.medicalreservecorps.gov](http://www.medicalreservecorps.gov)
- For Health Care Workers

#### **Neighborhood Watch**

- [www.usaonwatch.org](http://www.usaonwatch.org)
- Contact Local Sheriff for details

#### **Peace Corps**

- [www.peacecorps.gov](http://www.peacecorps.gov)
- International service opportunities

#### **Points of Light**

- [www.points of light.org](http://www.points of light.org)
- Promoting and facilitating volunteerism
- Call 1-800-VOLUNTEER for details

#### **Red Cross**

- [www.redcross.org/services/volunteer](http://www.redcross.org/services/volunteer)
- Contact local chapter for details & training

#### **SERVEnet**

- [www.servenet.org](http://www.servenet.org)
- Matching volunteers with opportunities

#### **USA Freedom Corps**

- [www.usafreedomcorps.gov](http://www.usafreedomcorps.gov)
- White-House-based volunteer initiative
- [www.networkforgood.org](http://www.networkforgood.org) - Opportunity database

#### **Volunteer Match**

- [www.volunteermatch.org](http://www.volunteermatch.org)
- Matching volunteers with opportunities

#### **Volunteers in Police Service (VIPS)**

- [www.policevolunteers.org](http://www.policevolunteers.org)
- Contact local Law Enforcement for details

## **To Report Suspicious Activity or in case of an Emergency:**

- 1<sup>st</sup> – contact your local law enforcement agency or dial 9-1-1  
- if unavailable –
- 2<sup>nd</sup> – contact Kentucky State Police nearest Post HQs or (502) 695-6369  
- if unavailable –
- 3<sup>rd</sup> – contact the Louisville FBI office (502) 583-3941

### **Homeland Security Advisory System**

#### **SEVERE**

**SEVERE**

**Attack imminent, target specific**

#### **HIGH**

**HIGH**

**Attack probable, intentions identified**

#### **ELEVATED**

**ELEVATED**

**Attack likely, capabilities identified**

#### **GUARDED**

**GUARDED**

**Attack possible, history of attacks**

#### **LOW**

**LOW**

**Attack unlikely**

## **Personal Protective Measures**

### **Home**

- Know your environment and practice situational awareness.
- Report all suspicious and unusual activity to local law enforcement. Do not investigate individually.
- Practice privacy with strangers. Do not give out personal information to those with whom you are not familiar. Avoid the use of names on the outside of the residence and in answering machine messages.
- Have a family emergency plan including a duress word and an emergency preparedness kit. Rehearse emergency procedures.
- Vary routines. Choose different routes to common destinations. Alert family as to destination and arrival times.
- Always use peephole viewers when opening doors. Do not open doors before a visitor has been identified.

### **Office**

- Know your environment and practice situational awareness.
- Report all suspicious and unusual activity to the appropriate authorities. Do not investigate individually.
- Practice privacy with strangers. Do not give out sensitive business information to those with whom you are not familiar. Refuse to meet with strangers outside of the workplace.
- Be very familiar with and follow security and emergency procedures. Be prepared to handle suspicious mail and packages.
- Vary routines. Choose different routes to common destinations. Alternate parking places.
- Keep building and vehicle doors and windows locked.

### **Travel**

- Do not give out travel plan information.

- Designate safe locations for refuge or assistance in the case of an emergency.
- Make and carry duplicates of all important travel documents.

### **What is Suspicious Activity?**

- Unusual surveillance including the unauthorized taking of photographs and/or video.
- Unusual requests for sensitive information.
- Unusual vehicle activity.
  - Note vehicle: make/model, color, year, license number, number of occupants, unusual loads, special features, direction and speed of travel.
- Unusual phone calls, messages, e-mails, or disease conditions.
- Unusual contacts with strangers or unauthorized personnel.
  - Note individual's: gender, race, age, height, weight, hair color/length/style, eye color, glasses, complexion, identifying marks, appearance (hat, tie, coat, shirt/blouse, trousers/skirt, footwear, weapon, accessories, direction and method of travel.)
- Unusual aerial activity.

### **Handling Suspicious Mail**

- Avoid opening or processing mail in close proximity to others.
- Check mail and packages for:
  - unusual odors (shoe polish or almond smell)
  - too much wrapping
  - bulges, bumps or odd shapes
  - lack of or unfamiliar return address
  - differing return address and postmark
  - incorrect spelling or poor typing
  - items sent "registered" or marked "personal"
  - protruding wires or strings
  - unusually light or heavy packages
  - excessive amount of postage
  - oily stains on the package
  - appearance of foreign style writing
- Upon identifying suspicious mail, clear the area immediately and notify appropriate authorities, including local law enforcement.



## **Annex E - Federal Grants Report – Office for Security Coordination**

In accordance with KRS 36.224, the Office for Security Coordination has compiled the following report of homeland security federal awards received in Kentucky. Since the Statute does not define “homeland security federal funds” and the U.S. Department for Homeland Security was not able to provide a list of funding transferred to the Department in March 2003, OSC relied on the U.S. General Accounting Office, (GAO) and the Catalog for Federal Domestic Assistance (CFDA) for guidance in identifying DHS funds.

Within the past six weeks, funding previously assigned to the Federal Emergency Management Assistance (FEMA) and the Department of Justice have been catalogued under the U.S. Department for Homeland Security under the new agency number 97. Further, numerous new initiatives have been added to the catalogue. For a complete list of federal homeland security funding programs (updated weekly) visit our website at <http://homeland.ky.us>.

During the development of this report, several CFDA numbers changed as funding transferred to DHS. The new CFDA numbers are included in the report below previous numbers. Several new homeland security initiatives were introduced and funded during FY 2003 that are not included in this report since they were not available at the time tracking began.

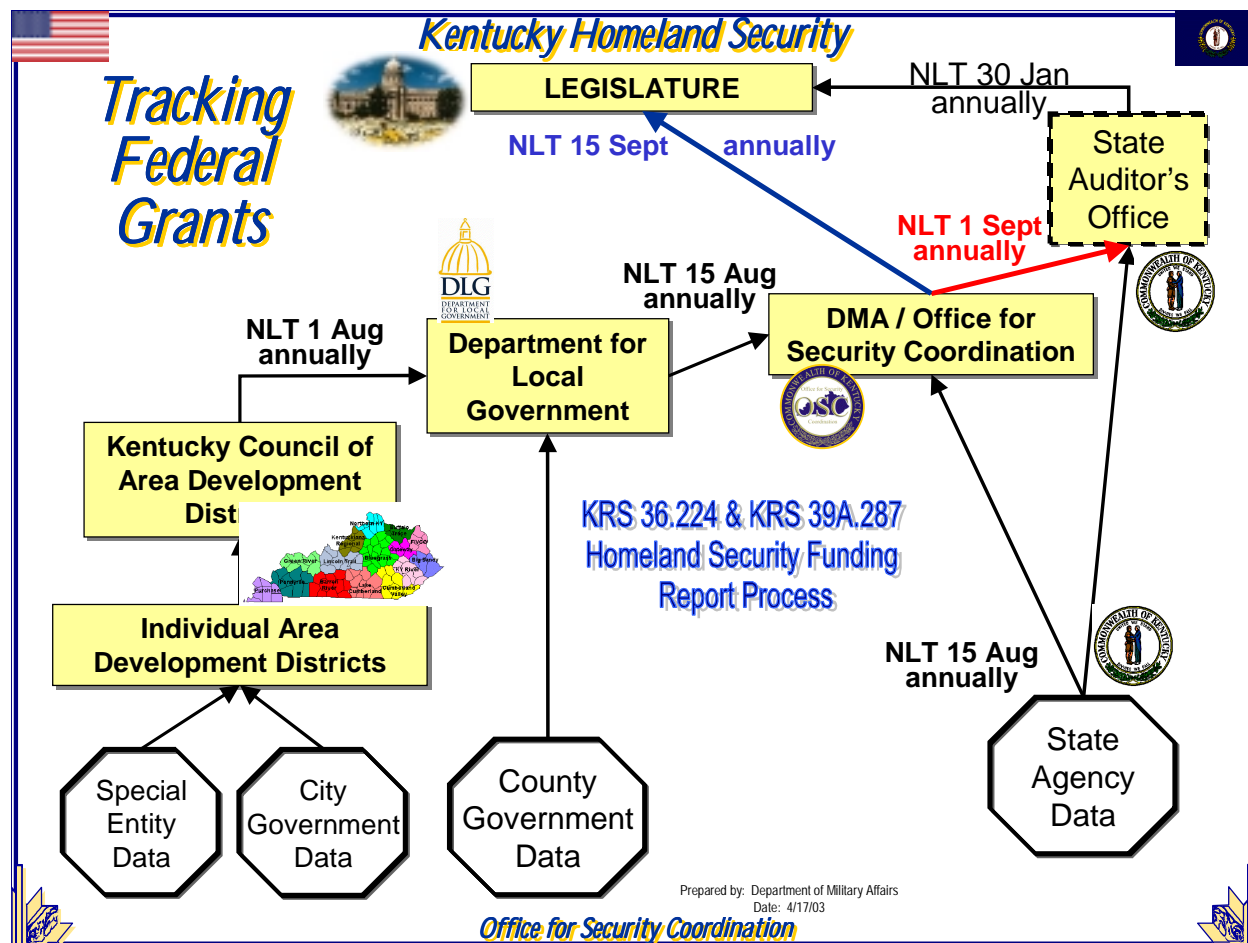
Use caution when reviewing this report for “NEW” federal funding. Most of the programs listed in this report were in existence prior to the establishment of the new Department for Homeland Security. However, in many instances, large sums of federal dollars have been added to existing programs to implement homeland security initiatives. For example, the U.S. Department of Health and Human Services funds Bio-terrorism Hospital Preparedness Programs through the Public Health and Social Services Emergency Fund; and the Office of Community Oriented Policing Services (COPS) has provided overtime pay for security personnel during Operation Liberty Shield.

This report includes funds being expended in FY 2003 that were awarded in previous years. The “Grant Period” column must be taken into account when viewing the “Total Adjusted Award” amounts.

The U.S. Department for Homeland Security is working toward having “a single point of contact” for terrorism and emergency preparedness efforts – a single access point to obtain critical grant funding in 2004. In a September DHS Press Release, Secretary Ridge announced “he will be sending a plan to Congress shortly detailing the Department’s plan to centralize these programs within a single office. The transfer will put all of the federal government’s major terrorism preparedness grants in one location for state and local partners. One central point of contact coupled with the federal governments new Data Universal Numbering System (DUNS), that will be used for tracking purposes, will provide the information we need to deliver a more user friendly homeland security funding report in FY 2004.

The figure shown below, delineates the reporting process was used to develop this report. OSC will work with the Legislative Research Commission on minor adjustments to timelines, to allow the process to align with existing reporting programs; thereby avoiding duplication in reporting efforts, and more accurate reporting.

Figure 1.



**OFFICE FOR SECURITY COORDINATION**  
**FY 2003 HOMELAND SECURITY FEDERAL FUNDING REPORT**

RECIPIENT	PROGRAM TITLE	FEDERAL GRANTOR	GRANT NAME	GRANT PERIOD	TOTAL ADJUSTED AWARD AS OF 6/30/03	UNSPENT AMOUNT	CFDA #	INTENDED USE OF FUNDS	ACTUAL USE OF FUNDS
Kentucky State Police	Byrne Formula Grant Program	U. S. Department of Justice	Unified CJ System	10/99-9/00	\$ 490,821.00	\$ -	16.579	To support 29 purpose areas specified in the authorization legislation. Generally, funds may be used to provide additional personnel, equipment, facilities (including upgraded and additional law enforcement crime laboratories), personnel training and equipment.	Equipment
Kentucky State Police	Byrne Formula Grant Program	U. S. Department of Justice	Live Scan Expansion	3/00-3/01	\$ 327,000.00	\$ -	16.579	To support 29 purpose areas specified in the authorization legislation. Generally, funds may be used to provide additional personnel, equipment, facilities (including upgraded and additional law enforcement crime laboratories), personnel training and equipment.	Equipment
Kentucky State Police	Byrne Formula Grant Program	U. S. Department of Justice	DNA Robotics	10/00-9/01	\$ 135,108.00	\$ 0.00	16.579	To support 29 purpose areas specified in the authorization legislation. Generally, funds may be used to provide additional personnel, equipment, facilities (including upgraded and additional law enforcement crime laboratories), personnel training and equipment.	Overtime, Travel, Op. Ex., Equip.
Kentucky State Police	Byrne Formula Grant Program	U. S. Department of Justice	Methamphetamine Response	7/01-6/02	\$ 196,618.00	\$ (0.00)	16.579	To support 29 purpose areas specified in the authorization legislation. Generally, funds may be used to provide additional personnel, equipment, facilities (including upgraded and additional law enforcement crime laboratories), personnel training and equipment.	Overtime, Services, Travel, Op. Ex., Equip.
Kentucky State Police	Byrne Formula Grant Program	U. S. Department of Justice	Marijuana Suppression	7/01-6/02	\$ 292,771.00	\$ 0.00	16.579	To support 29 purpose areas specified in the authorization legislation. Generally, funds may be used to provide additional personnel, equipment, facilities (including upgraded and additional law enforcement crime laboratories), personnel training and equipment.	Overtime, Travel, Op. Ex., Equip.

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RECIPIENT	PROGRAM TITLE	FEDERAL GRANTOR	GRANT NAME	GRANT PERIOD	TOTAL ADJUSTED AWARD AS OF 6/30/03	UNSPENT AMOUNT	CFDA #	INTENDED USE OF FUNDS	ACTUAL USE OF FUNDS
Kentucky State Police	Byrne Formula Grant Program	U. S. Department of Justice	Rural Drug Suppression	7/01-6/02	\$ 414,555.00	\$ 0.00	16.579	To support 29 purpose areas specified in the authorization legislation. Generally, funds may be used to provide additional personnel, equipment, facilities (including upgraded and additional law enforcement crime laboratories), personnel training and equipment.	Confidential Funds, Overtime, Travel, Equip.
Kentucky State Police	Byrne Formula Grant Program	U. S. Department of Justice	SWG Guidelines & ASCLD Accred.	7/01-6/02	\$ 463,192.00	\$ 0.00	16.579	To support 29 purpose areas specified in the authorization legislation. Generally, funds may be used to provide additional personnel, equipment, facilities (including upgraded and additional law enforcement crime laboratories), personnel training and equipment.	Overtime, Travel, Equip.
Kentucky State Police	Byrne Formula Grant Program	U. S. Department of Justice	Mobile Data Computers	7/02-6/03	\$ 20,250.00	\$ (20,241.67)	16.579	To support 29 purpose areas specified in the authorization legislation. Generally, funds may be used to provide additional personnel, equipment, facilities (including upgraded and additional law enforcement crime laboratories), personnel training and equipment.	Equipment
Kentucky State Police	Byrne Formula Grant Program	U. S. Department of Justice	Methamphetamine Response	7/02-6/03	\$ 206,449.00	\$ (106,098.60)	16.579	To support 29 purpose areas specified in the authorization legislation. Generally, funds may be used to provide additional personnel, equipment, facilities (including upgraded and additional law enforcement crime laboratories), personnel training and equipment.	Overtime, Services, Travel, Op. Ex., Equip.
Kentucky State Police	Byrne Formula Grant Program	U. S. Department of Justice	Marijuana Suppression	7/02-6/03	\$ 288,680.00	\$ (234,395.11)	16.579	To support 29 purpose areas specified in the authorization legislation. Generally, funds may be used to provide additional personnel, equipment, facilities (including upgraded and additional law enforcement crime laboratories), personnel training and equipment.	Overtime, Travel, Op. Ex., Equip.

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RECIPIENT	PROGRAM TITLE	FEDERAL GRANTOR	GRANT NAME	GRANT PERIOD	TOTAL ADJUSTED AWARD AS OF 6/30/03	UNSPENT AMOUNT	CFDA #	INTENDED USE OF FUNDS	ACTUAL USE OF FUNDS
Kentucky State Police	Byrne Formula Grant Program	U. S. Department of Justice	Forensic Photography System	7/02-6/03	\$ 160,869.00	\$ (16,137.13)	16.579	To support 29 purpose areas specified in the authorization legislation. Generally, funds may be used to provide additional personnel, equipment, facilities (including upgraded and additional law enforcement crime laboratories), personnel training and equipment.	Services, Travel, Op. Ex., Equip.
Kentucky State Police	Byrne Formula Grant Program	U. S. Department of Justice	Rural Drug Suppression	7/02-6/03	\$ 423,568.00	\$ (380,018.81)	16.579	To support 29 purpose areas specified in the authorization legislation. Generally, funds may be used to provide additional personnel, equipment, facilities (including upgraded and additional law enforcement crime laboratories), personnel training and equipment.	Confid. Funds, Overtime, Travel, Equip., Supp.
Kentucky State Police	Byrne Formula Grant Program	U. S. Department of Justice	SWG Guidelines & ASCLD Accred.	7/02-9/03	\$ 77,194.00	\$ -	16.579	To support 29 purpose areas specified in the authorization legislation. Generally, funds may be used to provide additional personnel, equipment, facilities (including upgraded and additional law enforcement crime laboratories), personnel training and equipment.	Overtime
Kentucky State Police	Byrne Formula Grant Program	U. S. Department of Justice	SWG Guidelines & ASCLD Accred.	7/02-9/03	\$ 486,352.00	\$ -	16.579	To support 29 purpose areas specified in the authorization legislation. Generally, funds may be used to provide additional personnel, equipment, facilities (including upgraded and additional law enforcement crime laboratories), personnel training and equipment.	Overtime, Equipment
Kentucky State Police	Local Law Enforcement Block Grants Program	U. S. Department of Justice	Lab Equip/OT, Range, Laptops	11/00-5/01	\$ 409,251.00	\$ 0.00	16.592	To support 29 purpose areas specified in the authorization legislation. Generally, funds may be used to provide additional personnel, equipment, facilities (including upgraded and additional law enforcement crime laboratories), personnel training and equipment.	Overtime and Equipment



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RECIPIENT	PROGRAM TITLE	FEDERAL GRANTOR	GRANT NAME	GRANT PERIOD	TOTAL ADJUSTED AWARD AS OF 6/30/03	UNSPENT AMOUNT	CFDA #	INTENDED USE OF FUNDS	ACTUAL USE OF FUNDS
Kentucky State Police	Local Law Enforcement Block Grants Program	U. S. Department of Justice	Lab/Electronic Crimes/MDCs	7/01-9/03	\$ 417,215.00	\$ 92,389.68	16.592	To support 29 purpose areas specified in the authorization legislation. Generally, funds may be used to provide additional personnel, equipment, facilities (including upgraded and additional law enforcement crime laboratories), personnel training and equipment.	Overtime, Services, Op. Ex., Equipment
Kentucky State Police	Local Law Enforcement Block Grants Program	U. S. Department of Justice	Local Law Enforcement Block Grant	0/02-8/04	\$ 329,085.00	\$ 225,194.55	16.592	To support 29 purpose areas specified in the authorization legislation. Generally, funds may be used to provide additional personnel, equipment, facilities (including upgraded and additional law enforcement crime laboratories), personnel training and equipment.	Overtime and Equipment
Kentucky State Police	Public Safety Partnership and Community Policing Grants	U. S. Department of Justice	Cops 2000 Technology	01/01-03/02	\$ 1,599,000.00	\$ -	16.710	To increase police presence and improve cooperative efforts between law enforcement agencies and members of the community; to expand community policing efforts through the use of technology and other innovative strategies; to increase security and reduce violence in our nation's schools; to address crime and disorder problems; and to otherwise enhance public safety.	Travel, Equipment, Op. Ex.
Kentucky State Police	Public Safety Partnership and Community Policing Grants	U. S. Department of Justice	COPS MORE	9/01-8/02	\$ 624,750.00	\$ (0.45)	16.710	To increase police presence and improve cooperative efforts between law enforcement agencies and members of the community; to expand community policing efforts through the use of technology and other innovative strategies; to increase security and reduce violence in our nation's schools; to address crime and disorder problems; and to otherwise enhance public safety.	Equipment

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RECIPIENT	PROGRAM TITLE	FEDERAL GRANTOR	GRANT NAME	GRANT PERIOD	TOTAL ADJUSTED AWARD AS OF 6/30/03	UNSPENT AMOUNT	CFDA #	INTENDED USE OF FUNDS	ACTUAL USE OF FUNDS
Cabinet For Health Services	CHEMICAL STOCKPILE EMERGENCY PREPAREDNESS	FEDERAL EMERGENCY MANAGEMENT AGENCY		10/01/00-09/30/01	\$ 214,235.00	\$ 286,633.00	83.549 NEW CFDA # 97.040	To assist States and local communities in efforts to improve their capacity to plan for and respond to accidents associated with the storage of chemical warfare materials.	Conduct Chemical Stockpile Preparedness Program medical training and planning. Administer training for chemical accident training at Blue Grass Army Depot.
Cabinet For Health Services	CHEMICAL STOCKPILE EMERGENCY PREPAREDNESS	FEDERAL EMERGENCY MANAGEMENT AGENCY		7/1/01-6/30/02	\$ 214,235.00	\$ 253,547.00	83.549 NEW CFDA # 97.040	To assist States and local communities in efforts to improve their capacity to plan for and respond to accidents associated with the storage of chemical warfare materials.	Conduct Chemical Stockpile Preparedness Program medical training and planning. Administer training for chemical accident training at Blue Grass Army Depot.
Cabinet For Health Services	CHEMICAL STOCKPILE EMERGENCY PREPAREDNESS	FEDERAL EMERGENCY MANAGEMENT AGENCY		7/1/02-6/30/03	\$ -	\$ (1,251.00)	83.549 NEW CFDA # 97.040	To assist States and local communities in efforts to improve their capacity to plan for and respond to accidents associated with the storage of chemical warfare materials.	Conduct Chemical Stockpile Preparedness Program medical training and planning. Administer training for chemical accident training at Blue Grass Army Depot.

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RECIPIENT	PROGRAM TITLE	FEDERAL GRANTOR	GRANT NAME	GRANT PERIOD	TOTAL ADJUSTED AWARD AS OF 6/30/03	UNSPENT AMOUNT	CFDA #	INTENDED USE OF FUNDS	ACTUAL USE OF FUNDS
Cabinet For Health Services	BIOTERRORISM HOSPITAL PREPAREDNESS PROGRAM	PUBLIC HEALTH AND SOCIAL SERVICES EMERGENCY FUND		4/1/02-3/31/03	\$ 1,815,805.00	\$ 76,341.00	93.003	To continue to prepare our nation's public health system and hospitals for possible mass casualty events, and to accelerate research into new treatments and diagnostic tools to cope with possible bioterrorism incidents..	Funding to allow hospitals across Kentucky to regionally plan and prepare to respond to Bioterrorism events.
Cabinet For Health Services	IMMUNIZATION PROGRAM	CHILDHOOD IMMUNIZATION GRANTS		1/1/01-12/31/01	\$ 3,107,095.00	\$ 5,379,922.00	93.268	To assist States and communities in establishing and maintaining preventive health service programs to immunize individuals against vaccine-preventable diseases.	Administer Kentucky's Immunization Program. Funds are used to provide vaccines to reduce or eliminate vaccine preventable diseases.
Cabinet For Health Services	IMMUNIZATION PROGRAM	CHILDHOOD IMMUNIZATION GRANTS		1/1/02-12/31/02	\$ 2,872,407.00	\$ 1,145,791.00	93.268	To assist States and communities in establishing and maintaining preventive health service programs to immunize individuals against vaccine-preventable diseases.	Administer Kentucky's Immunization Program. Funds are used to provide vaccines to reduce or eliminate vaccine preventable diseases.
Cabinet For Health Services	IMMUNIZATION PROGRAM	CHILDHOOD IMMUNIZATION GRANTS		1/1/03-12/31/03	\$ 2,602,834.00	\$ (984,830.00)	93.268	To assist States and communities in establishing and maintaining preventive health service programs to immunize individuals against vaccine-preventable diseases.	Administer Kentucky's Immunization Program. Funds are used to provide vaccines to reduce or eliminate vaccine preventable diseases.

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RECIPIENT	PROGRAM TITLE	FEDERAL GRANTOR	GRANT NAME	GRANT PERIOD	TOTAL ADJUSTED AWARD AS OF 6/30/03	UNSPENT AMOUNT	CFDA #	INTENDED USE OF FUNDS	ACTUAL USE OF FUNDS
Cabinet For Health Services	IMMUNIZATION PROGRAM	CHILDHOOD IMMUNIZATION GRANTS		1/1/99-12/31/00	\$ 4,763,137.00	\$ 9,526,274.00	93.268	To assist States and communities in establishing and maintaining preventive health service programs to immunize individuals against vaccine-preventable diseases.	Administer Kentucky's Immunization Program. Funds are used to provide vaccines to reduce or eliminate vaccine preventable diseases.
Cabinet For Health Services	PUBLIC HEALTH PREPAREDNESS & RESPONSE TO BIOTERRORISM	CENTERS FOR DISEASE CONTROL AND PREVENTION - INVESTIGATIONS AND TECHNICAL ASSISTANCE		8/31/01-8/30/03	\$ 484,874.00	\$ 478,470.00	93.283	To assist State and local health authorities and other health related organizations in controlling communicable diseases, chronic diseases and disorders, and other preventable health conditions. Investigations and evaluation of all methods of controlling or preventing disease and disability are carried out by providing epidemic aid, surveillance, technical assistance, consultation, and program support; and by providing leadership and coordination of joint national, State, and local efforts.	To prepare public health to respond to Bioterrorism, other infectious disease outbreaks and all public health emergencies.
Cabinet For Health Services	PUBLIC HEALTH PREPAREDNESS & RESPONSE TO BIOTERRORISM	CENTERS FOR DISEASE CONTROL AND PREVENTION - INVESTIGATIONS AND TECHNICAL ASSISTANCE		8/31/01-8/30/03	\$ 161,600.00	\$ (24,724.00)	93.283	To assist State and local health authorities and other health related organizations in controlling communicable diseases, chronic diseases and disorders, and other preventable health conditions. Investigations and evaluation of all methods of controlling or preventing disease and disability are carried out by providing epidemic aid, surveillance, technical assistance, consultation, and program support; and by providing leadership and coordination of joint national, State, and local efforts.	To prepare public health to respond to Bioterrorism, other infectious disease outbreaks and all public health emergencies.

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RECIPIENT	PROGRAM TITLE	FEDERAL GRANTOR	GRANT NAME	GRANT PERIOD	TOTAL ADJUSTED AWARD AS OF 6/30/03	UNSPENT AMOUNT	CFDA #	INTENDED USE OF FUNDS	ACTUAL USE OF FUNDS
Cabinet For Health Services	STATE EPIDEMIOLOGY & LABORATORY SURVEILLANCE & RESPONSE	CENTERS FOR DISEASE CONTROL AND PREVENTION - INVESTIGATIONS AND TECHNICAL ASSISTANCE		7/1/01-6/30/02	\$ 460,670.00	\$ 860,821.00	93.283	To assist State and local health authorities and other health related organizations in controlling communicable diseases, chronic diseases and disorders, and other preventable health conditions. Investigations and evaluation of all methods of controlling or preventing disease and disability are carried out by providing epidemic aid, surveillance, technical assistance, consultation, and program support; and by providing leadership and coordination of joint national, State, and local efforts.	Basic surveillance for reportable diseases, West Nile Virus and SARS. Laboratory capacity for testing Arboviruses, influenza and Electrophoresis (DNA Fingerprinting).
Cabinet For Health Services	STATE EPIDEMIOLOGY & LABORATORY SURVEILLANCE & RESPONSE	CENTERS FOR DISEASE CONTROL AND PREVENTION - INVESTIGATIONS AND TECHNICAL ASSISTANCE		7/1/02-6/30/03	\$ 873,170.00	\$ (741,902.00)	93.283	To assist State and local health authorities and other health related organizations in controlling communicable diseases, chronic diseases and disorders, and other preventable health conditions. Investigations and evaluation of all methods of controlling or preventing disease and disability are carried out by providing epidemic aid, surveillance, technical assistance, consultation, and program support; and by providing leadership and coordination of joint national, State, and local efforts.	Basic surveillance for reportable diseases, West Nile Virus and SARS. Laboratory capacity for testing Arboviruses, influenza and Electrophoresis (DNA Fingerprinting).

**OFFICE FOR SECURITY COORDINATION**  
**FY 2003 HOMELAND SECURITY FEDERAL FUNDING REPORT**

RECIPIENT	PROGRAM TITLE	FEDERAL GRANTOR	GRANT NAME	GRANT PERIOD	TOTAL ADJUSTED AWARD AS OF 6/30/03	UNSPENT AMOUNT	CFDA #	INTENDED USE OF FUNDS	ACTUAL USE OF FUNDS
Cabinet For Health Services	CORE CAPACITY BUILDING FOR TOBACCO PREVENTION AND CONTROL PROGRAMS	CENTERS FOR DISEASE CONTROL AND PREVENTION - INVESTIGATIONS AND TECHNICAL ASSISTANCE		6/1/01-5/31/02	\$ 1,354,963.00	\$ 2,356,937.00	93.283	To assist State and local health authorities and other health related organizations in controlling communicable diseases, chronic diseases and disorders, and other preventable health conditions. Investigations and evaluation of all methods of controlling or preventing disease and disability are carried out by providing epidemic aid, surveillance, technical assistance, consultation, and program support; and by providing leadership and coordination of joint national, State, and local efforts.	To provide education and support for the prevention and cessation of tobacco usage.
Cabinet For Health Services	CORE CAPACITY BUILDING FOR TOBACCO PREVENTION AND CONTROL PROGRAMS	CENTERS FOR DISEASE CONTROL AND PREVENTION - INVESTIGATIONS AND TECHNICAL ASSISTANCE		6/1/02-6/29/03	\$ 1,060,636.00	\$(1,000,135.00)	93.283	To assist State and local health authorities and other health related organizations in controlling communicable diseases, chronic diseases and disorders, and other preventable health conditions. Investigations and evaluation of all methods of controlling or preventing disease and disability are carried out by providing epidemic aid, surveillance, technical assistance, consultation, and program support; and by providing leadership and coordination of joint national, State, and local efforts.	To provide education and support for the prevention and cessation of tobacco usage.

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**FY 2003 HOMELAND SECURITY FEDERAL FUNDING REPORT**

RECIPIENT	PROGRAM TITLE	FEDERAL GRANTOR	GRANT NAME	GRANT PERIOD	TOTAL ADJUSTED AWARD AS OF 6/30/03	UNSPENT AMOUNT	CFDA #	INTENDED USE OF FUNDS	ACTUAL USE OF FUNDS
Cabinet For Health Services	FATALITY ASSESSMENT AND CONTROL EVALUATION MODEL	CENTERS FOR DISEASE CONTROL AND PREVENTION - INVESTIGATIONS AND TECHNICAL ASSISTANCE		7/1/01-6/30/02	\$ 107,396.00	\$ 159,568.00	93.283	To assist State and local health authorities and other health related organizations in controlling communicable diseases, chronic diseases and disorders, and other preventable health conditions. Investigations and evaluation of all methods of controlling or preventing disease and disability are carried out by providing epidemic aid, surveillance, technical assistance, consultation, and program support; and by providing leadership and coordination of joint national, State, and local efforts.	Work with Kentucky State Coroners Association to develop a state-level surveillance system for identification of all traumatic occupational fatalities.
Cabinet For Health Services	FATALITY ASSESSMENT AND CONTROL EVALUATION MODEL	CENTERS FOR DISEASE CONTROL AND PREVENTION - INVESTIGATIONS AND TECHNICAL ASSISTANCE		9/1/02-8/31/03	\$ 148,728.00	\$ (115,293.00)	93.283	To assist State and local health authorities and other health related organizations in controlling communicable diseases, chronic diseases and disorders, and other preventable health conditions. Investigations and evaluation of all methods of controlling or preventing disease and disability are carried out by providing epidemic aid, surveillance, technical assistance, consultation, and program support; and by providing leadership and coordination of joint national, State, and local efforts.	Work with Kentucky State Coroners Association to develop a state-level surveillance system for identification of all traumatic occupational fatalities.

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Cabinet For Health Services	PUBLIC HEALTH PREPAREDNESS & RESPONSE TO BIOTERRORISM	CENTERS FOR DISEASE CONTROL AND PREVENTION - INVESTIGATIONS AND TECHNICAL ASSISTANCE		8/31/01-8/30/03	\$ 13,998,067.00	\$(3,817,747.00)	93.283	To assist State and local health authorities and other health related organizations in controlling communicable diseases, chronic diseases and disorders, and other preventable health conditions. Investigations and evaluation of all methods of controlling or preventing disease and disability are carried out by providing epidemic aid, surveillance, technical assistance, consultation, and program support; and by providing leadership and coordination of joint national, State, and local efforts.	To prepare public health to respond to Bioterrorism, other infectious disease outbreaks and all public health emergencies.
Cabinet For Health Services	PUBLIC HEALTH PREPAREDNESS & RESPONSE TO BIOTERRORISM	CENTERS FOR DISEASE CONTROL AND PREVENTION - INVESTIGATIONS AND TECHNICAL ASSISTANCE		8/31/01-8/30/03	\$ 2,074,250.00	\$ (419,429.00)	93.283	To assist State and local health authorities and other health related organizations in controlling communicable diseases, chronic diseases and disorders, and other preventable health conditions. Investigations and evaluation of all methods of controlling or preventing disease and disability are carried out by providing epidemic aid, surveillance, technical assistance, consultation, and program support; and by providing leadership and coordination of joint national, State, and local efforts.	To prepare public health to respond to Bioterrorism, other infectious disease outbreaks and all public health emergencies.
Kentucky Department of Parks	US DEPT OF JUSTICE	LOCAL LAW ENFORCEMENT BLOCK GRANT	Local Law Enforcement Block Grant	11/01-12/03	\$ 13,625.00	\$ -	16.592	To provide funds to units of local government for the purposes of reducing crime and improving public safety. Funds may be used for one or more of seven program purpose areas.	REPLACEMENT WEAPONS



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Department of Public Advocacy	BYRNE GRANTS	US DEPARTMENT OF JUSTICE	CTS Post-Trial Continuation	09/99-06/01	\$ 6,280.00	\$ 4,710.00	16.579	To support 29 purpose areas specified in the authorization legislation. Generally, funds may be used to provide additional personnel, equipment, facilities (including upgraded and additional law enforcement crime laboratories), personnel training and equipment.	Programming
Department of Public Advocacy	BYRNE GRANTS	US DEPARTMENT OF JUSTICE	Wide Area Network	07/00-06/01	\$ 24,110.00	\$ 22,896.00	16.579	To support 29 purpose areas specified in the authorization legislation. Generally, funds may be used to provide additional personnel, equipment, facilities (including upgraded and additional law enforcement crime laboratories), personnel training and equipment.	Phone Lines
Department of Public Advocacy	BYRNE GRANTS	US DEPARTMENT OF JUSTICE	Consultation/Education	10/01-09/02	\$ 17,326.00	\$ (2,323.56)	16.579	To support 29 purpose areas specified in the authorization legislation. Generally, funds may be used to provide additional personnel, equipment, facilities (including upgraded and additional law enforcement crime laboratories), personnel training and equipment.	Education and Consultation
Department of Public Advocacy	BYRNE GRANTS	US DEPARTMENT OF JUSTICE	Cross-training	7/02-6/30	\$ 8,627.00	\$ (5.16)	16.579	To support 29 purpose areas specified in the authorization legislation. Generally, funds may be used to provide additional personnel, equipment, facilities (including upgraded and additional law enforcement crime laboratories), personnel training and equipment.	Education
Department of Public Advocacy	BYRNE GRANTS	US DEPARTMENT OF JUSTICE	IT Upgrade	7/02-6/30	\$ 144,375.00	\$ (61,806.76)	16.579	To support 29 purpose areas specified in the authorization legislation. Generally, funds may be used to provide additional personnel, equipment, facilities (including upgraded and additional law enforcement crime laboratories), personnel training and equipment.	Computer/software

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Department of Public Advocacy	BYRNE GRANTS	US DEPARTMENT OF JUSTICE	CTS Upgrade	7/02-6/30	\$ 11,250.00	\$ (2,574.31)	16.579	To support 29 purpose areas specified in the authorization legislation. Generally, funds may be used to provide additional personnel, equipment, facilities (including upgraded and additional law enforcement crime laboratories), personnel training and equipment.	Programming
Unified Prosecutorial System - AG	Byrne Formula Grant Program	U.S. Department of Justice/Bureau of Justice Assistance	Thirtieth JC Forensic Interviewing Project	02/02-09/02	\$ 73,687.00	\$ (0.38)	16.579	To support 29 purpose areas specified in the authorization legislation. Generally, funds may be used to provide additional personnel, equipment, facilities (including upgraded and additional law enforcement crime laboratories), personnel training and equipment.	Staff for Cease Fire Project in Office of Commonwealth's Atty 22nd Judicial Circuit
Unified Prosecutorial System - AG	Byrne Formula Grant Program	U.S. Department of Justice/Bureau of Justice Assistance	Multidisciplinary Team Assessment Project	02/02-09/02	\$ 12,192.00	\$ (0.08)	16.579	To support 29 purpose areas specified in the authorization legislation. Generally, funds may be used to provide additional personnel, equipment, facilities (including upgraded and additional law enforcement crime laboratories), personnel training and equipment.	Staff for Cease Fire Project in Office of Commonwealth's Atty 22nd Judicial Circuit
Unified Prosecutorial System - AG	Byrne Formula Grant Program	U.S. Department of Justice/Bureau of Justice Assistance	22ND JC Cease Fire Grant	10/02 - 9/03	\$ 17,028.00	\$ -	16.579	To support 29 purpose areas specified in the authorization legislation. Generally, funds may be used to provide additional personnel, equipment, facilities (including upgraded and additional law enforcement crime laboratories), personnel training and equipment.	Staff for Child Sex Abuse Forensic Interviewing Program through the Office of the Commonwealth's Attorney 30th Judicial Circuit
Unified Prosecutorial System - AG	Byrne Formula Grant Program		22ND JC Cease Fire Grant	10/01 - 9/02	\$ 22,932.00	\$ (1,640.00)	16.579	To support 29 purpose areas specified in the authorization legislation. Generally, funds may be used to provide additional personnel, equipment, facilities (including upgraded and additional law enforcement crime laboratories), personnel training and equipment.	Staff for MultiDisciplinary Team on Child Sexual Abuse Assessment and Assistance Project.

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Unified Prosecutorial System - AG	E. Byrne Memorial State & Local Law Enforcement Assistance Discretionary Grants Program	U.S. Department of Justice/Bureau of Justice Assistance	PAC Case Management Pilot Project	10/02 - 1/03	\$ 164,000.00	\$ -	16.580	To improve the functioning of the criminal justice system, with emphasis on violent crime and serious offenders.	Conduct a pilot project to identify features needed in a Case Management application for prosecutors.
Department of Military Affairs	State Domestic Preparedness Equipment Support Program	U.S. Department of Justice	State Domestic Preparedness Equip. Sup. Prog	09/00-06/03	\$ 845,000.00	\$ -	16.007 NEW CFDA # 97.004	To provide for the purchase of specialized equipment to enhance the capability of state and local agencies to prevent and respond to incidents of terrorism involving the use of chemical, biological, radiological, nuclear or explosive (CBRNE) weapons; for the protection of critical infrastructure and prevention of terrorist incidents.	Equipment Acquisition
Department of Military Affairs	State Domestic Preparedness Equipment Support Program	U.S. Department of Justice	State Domestic Preparedness Equip. Sup. Prog	0701/02-07/31/05	\$ 2,220,000.00	\$ -	16.007 NEW CFDA # 97.004	To provide for the purchase of specialized equipment to enhance the capability of state and local agencies to prevent and respond to incidents of terrorism involving the use of chemical, biological, radiological, nuclear or explosive (CBRNE) weapons; for the protection of critical infrastructure and prevention of terrorist incidents.	Equipment Acquisition
Department of Military Affairs	State Domestic Preparedness Equipment Support Program	U.S. Department of Justice	State Domestic Preparedness Equip. Sup. Prog	9/13/20027/31/04	\$ 5,048,000.00	\$ -	16.007 NEW CFDA # 97.004	To provide for the purchase of specialized equipment to enhance the capability of state and local agencies to prevent and respond to incidents of terrorism involving the use of chemical, biological, radiological, nuclear or explosive (CBRNE) weapons; for the protection of critical infrastructure and prevention of terrorist incidents.	Equipment Acquisition, Exercise Training and Administrative Support

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Department of Military Affairs	State Domestic Preparedness Equipment Support Program	U.S. Department of Justice	State Domestic Preparedness Equip. Sup. Prog	4/1/03-3/31/05	\$ 9,001,000.00	\$ -	16.007 <b>NEW CFDA # 97.004</b>	To provide for the purchase of specialized equipment to enhance the capability of state and local agencies to prevent and respond to incidents of terrorism involving the use of chemical, biological, radiological, nuclear or explosive (CBRNE) weapons; for the protection of critical infrastructure and prevention of terrorist incidents.	Equipment Acquisition, Exercise Training and Administrative Support
Department of Military Affairs	State Domestic Preparedness Equipment Support Program	U.S. Department of Justice	State Domestic Preparedness Equip. Sup. Prog	5/1/03-4/30/05	\$ 23,838,000.00	\$ -	16.007 <b>NEW CFDA # 97.004</b>	To provide for the purchase of specialized equipment to enhance the capability of state and local agencies to prevent and respond to incidents of terrorism involving the use of chemical, biological, radiological, nuclear or explosive (CBRNE) weapons; for the protection of critical infrastructure and prevention of terrorist incidents.	Equipment Acquisition, Exercise Training and Administrative Support, Critical Infrastructure Reimbursement
Department of Military Affairs	Interagency Hazardous Materials Public Sector Training and Planning Grants	U.S. Department of Transportation	Hazardous Materials Emergency Preparedness Training and Planning Grants	10/01-9/30/02	\$ 182,148.00	\$ -	20.703	To increase state, local, territorial, and Native American tribal effectiveness to safely and efficiently handle HazMat accidents and incidents; enhance implementation of the Emergency Planning and Community Right-to-Know Act of 1986; and encourage a comprehensive approach to emergency planning and training by incorporating response to transportation standards.	Planning and Training for Hazardous Materials Emergency Preparedness (HEMP) Grant.

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Department of Military Affairs	Interagency Hazardous Materials Public Sector Training and Planning Grants		Hazardous Materials Emergency Preparedness Training and Planning Grants	101/02-9/30/03	\$ 182,148.00	\$ (4,872.00)	20.703	To increase state, local, territorial, and Native American tribal effectiveness to safely and efficiently handle HazMat accidents and incidents; enhance implementation of the Emergency Planning and Community Right-to-Know Act of 1986; and encourage a comprehensive approach to emergency planning and training by incorporating response to transportation standards.	Planning and Training for Hazardous Materials Emergency Preparedness (HEMP) Grant.
Department of Military Affairs	Chemical Stockpile Emergency Preparedness Program	U. S. Federal Emergency Management Agency	CCAEMA97P A9704	10/96-9/02	\$ 3,397,564.00	\$ 2,344.00	83.549 <b>NEW CFDA # 97.040</b>	To assist States and local communities in efforts to improve their capacity to plan for and respond to accidents associated with the storage of chemical warfare materials.	Salaries, Fringe, Travel, Equipment, Supplies, Training, to support state and local governments in compliance with their CSEPP Cooperative Agreement.
Department of Military Affairs	Chemical Stockpile Emergency Preparedness Program	U. S. Federal Emergency Management Agency	CCAEMA97P A9704	10/96-9/02	\$ 567,215.00	\$ (1,853.00)	83.549 <b>NEW CFDA # 97.040</b>	To assist States and local communities in efforts to improve their capacity to plan for and respond to accidents associated with the storage of chemical warfare materials.	Salaries, Fringe, Travel, Equipment, Supplies, Training, to support state and local governments in compliance with their CSEPP Cooperative Agreement.

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Department of Military Affairs	Chemical Stockpile Emergency Preparedness Program	U. S. Federal Emergency Management Agency	CCAEMA98P A9814	10/97-12/02	\$ 2,250,823.00	\$ -	83.549 <b>NEW CFDA # 97.040</b>	To assist States and local communities in efforts to improve their capacity to plan for and respond to accidents associated with the storage of chemical warfare materials.	Salaries, Fringe, Travel, Equipment, Supplies, Training, to support state and local governments in compliance with their CSEPP Cooperative Agreement.
Department of Military Affairs	Chemical Stockpile Emergency Preparedness Program	U. S. Federal Emergency Management Agency	CCAEMA98P A9814	10/97-12/02	\$ 3,079,304.00	\$ (1.00)	83.549 <b>NEW CFDA # 97.040</b>	To assist States and local communities in efforts to improve their capacity to plan for and respond to accidents associated with the storage of chemical warfare materials.	Salaries, Fringe, Travel, Equipment, Supplies, Training, to support state and local governments in compliance with their CSEPP Cooperative Agreement.
Department of Military Affairs	Chemical Stockpile Emergency Preparedness Program	U. S. Federal Emergency Management Agency	CCAEMA99P A9924	10/98-12/02	\$ 2,052,733.00	\$ 7.00	83.549 <b>NEW CFDA # 97.040</b>	To assist States and local communities in efforts to improve their capacity to plan for and respond to accidents associated with the storage of chemical warfare materials.	Salaries, Fringe, Travel, Equipment, Supplies, Training, to support state and local governments in compliance with their CSEPP Cooperative Agreement.

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Department of Military Affairs	Chemical Stockpile Emergency Preparedness Program	U. S. Federal Emergency Management Agency	CCAEMA99P A9924	10/98-12/02	\$ 84,922.00	\$ 2,798.00	83.549 <b>NEW CFDA # 97.040</b>	To assist States and local communities in efforts to improve their capacity to plan for and respond to accidents associated with the storage of chemical warfare materials.	Salaries, Fringe, Travel, Equipment, Supplies, Training, to support state and local governments in compliance with their CSEPP Cooperative Agreement.
Department of Military Affairs	Chemical Stockpile Emergency Preparedness Program	U. S. Federal Emergency Management Agency	EMA2000GR0 072	10/99-12/02	\$ 1,867,136.00	\$ -	83.549 <b>NEW CFDA # 97.040</b>	To assist States and local communities in efforts to improve their capacity to plan for and respond to accidents associated with the storage of chemical warfare materials.	Salaries, Fringe, Travel, Equipment, Supplies, Training, to support state and local governments in compliance with their CSEPP Cooperative Agreement.
Department of Military Affairs	Chemical Stockpile Emergency Preparedness Program	U. S. Federal Emergency Management Agency	EMA2000GR0 072	10/99-12/02	\$ 585,982.00	\$ 1.00	83.549 <b>NEW CFDA # 97.040</b>	To assist States and local communities in efforts to improve their capacity to plan for and respond to accidents associated with the storage of chemical warfare materials.	Salaries, Fringe, Travel, Equipment, Supplies, Training, to support state and local governments in compliance with their CSEPP Cooperative Agreement.

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Department of Military Affairs	Chemical Stockpile Emergency Preparedness Program	U. S. Federal Emergency Management Agency	EMA2001GR0043	10/00-12/02	\$ 2,728,804.00	\$ 16,357.00	83.549 <b>NEW CFDA # 97.040</b>	To assist States and local communities in efforts to improve their capacity to plan for and respond to accidents associated with the storage of chemical warfare materials.	Salaries, Fringe, Travel, Equipment, Supplies, Training, to support state and local governments in compliance with their CSEPP Cooperative Agreement.
Department of Military Affairs	Chemical Stockpile Emergency Preparedness Program	U. S. Federal Emergency Management Agency	EMA2002CA5030	10/01-12/02	\$ 2,557,053.00	\$ (1.00)	83.549 <b>NEW CFDA # 97.040</b>	To assist States and local communities in efforts to improve their capacity to plan for and respond to accidents associated with the storage of chemical warfare materials.	Salaries, Fringe, Travel, Equipment, Supplies, Training, to support state and local governments in compliance with their CSEPP Cooperative Agreement.
Department of Military Affairs	Chemical Stockpile Emergency Preparedness Program	U. S. Federal Emergency Management Agency	EMA2003GR5326	10/02-12/03	\$ 6,300,587.00	\$ (1,073.00)	83.549 <b>NEW CFDA # 97.040</b>	To assist States and local communities in efforts to improve their capacity to plan for and respond to accidents associated with the storage of chemical warfare materials.	Salaries, Fringe, Travel, Equipment, Supplies, Training, to support state and local governments in compliance with their CSEPP Cooperative Agreement.
Department of Military Affairs	Emergency Management Performance Grant	U.S. Federal Emergency Management Agency	EMA-2001-GR-0029	10/00-12/02	\$ 1,863,855.00	\$ 183,338.00	83.552 <b>NEW CFDA # 97.042</b>	To assist the development, maintenance, and improvement of State and local emergency management capabilities.	



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Department of Military Affairs	Emergency Management Performance Grant	U.S. Federal Emergency Management Agency	EMA-2002-GR-0503	10/01-12/02	\$ 1,809,701.00	\$ 40.00	83.552 <b>NEW CFDA # 97.042</b>	To assist the development, maintenance, and improvement of State and local emergency management capabilities.	
Department of Military Affairs	Emergency Management Performance Grant	U.S. Federal Emergency Management Agency			\$ 217,165.00	\$ -	83.552 <b>NEW CFDA # 97.042</b>	To assist the development, maintenance, and improvement of State and local emergency management capabilities.	
Department of Military Affairs	Emergency Management Performance Grant	U.S. Federal Emergency Management Agency	EMA-2003-GR-5404	10/02-12/03	\$ 2,616,272.00	\$ (9,740.00)	83.552 <b>NEW CFDA # 97.042</b>	To assist the development, maintenance, and improvement of State and local emergency management capabilities.	
Department of Military Affairs	State and Local All Hazards Emergency Operations Planning	U. S. Federal Emergency Management Agency	EMA-2002-GR-5073	10/02-12/03	\$ 1,406,637.00	\$ (10,661.00)	83.562 <b>NEW CFDA # 97.051</b>	To supplement and assist State and local efforts to improve their capabilities to respond to emergencies or disasters including any that may be caused by terrorist attacks using conventional means or Weapons of Mass Destruction (WMD).	Salaries, Fringe, Travel, Equipment, Supplies, Other Supports printing for workshops and Contractual to provid fundind to local governments in return for completing planning products outlined in scope of work.
Department of Military Affairs	Emergency Operation Centers	U. S. Federal Emergency Management Agency	EMA-2002-GR05089	10/02-6/03	\$ 50,000.00	\$ -	83.563 <b>NEW CFDA # 97.052</b>	To provide funding assistance to States and local governments to update emergency operations plans for all hazards, with an emphasis on WMD preparedness.	Salaries, Fringe, Contractual to be used to contract for database assistance to electronically catalog the assessments and conduct analysis.

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Department of Military Affairs	Citizen Corps	U. S. Federal Emergency Management Agency	EMA-2002-GR05081	10/02-12/03	\$ 270,977.00	\$ (7,280.00)	83.564 <b>NEW CFDA # 97.053</b>	The purpose of Citizen Corps is to supplement and assist State and local efforts to expand Citizen Corps. This includes Community Emergency Response Team (CERT) training, establishing Citizen Corps Councils and supporting the oversight and outreach responsibilities of the councils. The program supports and promotes efforts to involve a wide range of volunteer groups in activities that enhance individual, community, and family preparedness and contribute to the strengthening of homeland security.	Salaries, Fringe, Contractual to be used to contract for database assistance to electronically catalog the assessments and conduct analysis.
Department of Military Affairs	Citizen Corps	U. S. Federal Emergency Management Agency		10/02-12/03	\$ 63,759.00	\$ -	83.564 <b>NEW CFDA # 97.053</b>	The purpose of Citizen Corps is to supplement and assist State and local efforts to expand Citizen Corps. This includes Community Emergency Response Team (CERT) training, establishing Citizen Corps Councils and supporting the oversight and outreach responsibilities of the councils. The program supports and promotes efforts to involve a wide range of volunteer groups in activities that enhance individual, community, and family preparedness and contribute to the strengthening of homeland security.	Salaries, Fringe, Contractual to be used to contract for database assistance to electronically catalog the assessments and conduct analysis.

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Department of Military Affairs	National Pharmaceutical Stockpile	U. S. Federal Emergency Management Agency	CDC Bioterr Subg	10/02-6/03	\$ 55,235.00	\$ -	93.283	To assist State and local health authorities and other health related organizations in controlling communicable diseases, chronic diseases and disorders, and other preventable health conditions. Investigations and evaluation of all methods of controlling or preventing disease and disability are carried out by providing epidemic aid, surveillance, technical assistance, consultation, and program support; and by providing leadership and coordination of joint national, State, and local efforts.	To upgrade the Dialogic Communicator as outlined by the Bioterrorism Preparedness and Response Grant.
Department of Military Affairs	National Pharmaceutical Stockpile	U. S. Federal Emergency Management Agency		10/02-08/03	\$ 200,000.00	\$ -	93.283	To assist State and local health authorities and other health related organizations in controlling communicable diseases, chronic diseases and disorders, and other preventable health conditions. Investigations and evaluation of all methods of controlling or preventing disease and disability are carried out by providing epidemic aid, surveillance, technical assistance, consultation, and program support; and by providing leadership and coordination of joint national, State, and local efforts.	Salaries, Travel, and Mental Health Training
Kentucky Board of Emergency Medical Services	Emergency Medical Services for Children	Maternal and Child Health Bureau	Emergency Medical Services for Children	03/01-02/04	\$ 212,455.00	\$ (1,032.00)	93.127C	To support demonstration projects for the expansion and improvement of emergency medical services for children who need treatment for trauma or critical care. It is expected that maximum distribution of projects among the States will be made and that priority will be given to projects targeted toward populations with special needs, including Native Americans, minorities, and the disabled.	Emergency Medical Services for Children Program - salaries, classroom supplies, training equipment, travel, contractual for training to KY Emergency Medical Services Academy

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Administrative Office of the Courts	BYRNE FORMULA GRANT PROGRAM	U.S. DEPARTMENT OF JUSTICE	STATEWIDE DRUG COURT PROGRAM	7/02-6/03	\$ 867,958.00	\$ (17,134.00)	16.579	To support 29 purpose areas specified in the authorization legislation. Generally, funds may be used to provide additional personnel, equipment, facilities (including upgraded and additional law enforcement crime laboratories), personnel training and equipment.	Paid for staff salaries, drug testing, treatment, travel, training, & office space/supplies for drug courts throughout the state.
Administrative Office of the Courts	BYRNE FORMULA GRANT PROGRAM	U.S. DEPARTMENT OF JUSTICE	KENTUCKY'S TEEN COURT PROGRAM	10/02-9/03	\$ 58,654.00	\$ -	16.579	To support 29 purpose areas specified in the authorization legislation. Generally, funds may be used to provide additional personnel, equipment, facilities (including upgraded and additional law enforcement crime laboratories), personnel training and equipment.	Paid staff salaries and held training conferences for the KY Teen Court program.
Administrative Office of the Courts	BYRNE FORMULA GRANT PROGRAM	U.S. DEPARTMENT OF JUSTICE	VIDEO CONFERENCING / EXPERT FORENSIC TESTIMONY	9/01-9/02	\$ 58,971.00	\$ -	16.579	To support 29 purpose areas specified in the authorization legislation. Generally, funds may be used to provide additional personnel, equipment, facilities (including upgraded and additional law enforcement crime laboratories), personnel training and equipment.	Purchased video & computer equipment for the Fayette County Courthouse.
Administrative Office of the Courts	BYRNE FORMULA GRANT PROGRAM	U.S. DEPARTMENT OF JUSTICE	KENTUCKY TEEN COURT PROGRAM	10/01-9/02	\$ 58,411.00	\$ -	16.579	To support 29 purpose areas specified in the authorization legislation. Generally, funds may be used to provide additional personnel, equipment, facilities (including upgraded and additional law enforcement crime laboratories), personnel training and equipment.	Paid staff salaries and held training conferences for the KY Teen Court program.

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Administrative Office of the Courts	BYRNE FORMULA GRANT PROGRAM	U.S. DEPARTMENT OF JUSTICE	STATEWIDE DRUG COURTS	7/01-6/02	\$ 842,876.00	\$ -	16.579	To support 29 purpose areas specified in the authorization legislation. Generally, funds may be used to provide additional personnel, equipment, facilities (including upgraded and additional law enforcement crime laboratories), personnel training and equipment.	Paid for staff salaries, drug testing, treatment, travel, training, & office space/supplies for drug courts throughout the state.
Administrative Office of the Courts	EDWARD BYRNE MEMORIAL STATE AND LOCAL LAW ENFORCEMENT ASSISTANCE DISCRETIONARY GRANTS PROGRAM	U.S. DEPARTMENT OF JUSTICE	ACCESS TO COURT ELECTRONIC DATA FOR CRIMINAL JUSTICE AGENCIES	7/00-9/03	\$ 4,363,096.00	\$ -	16.580	To improve the functioning of the criminal justice system, with emphasis on violent crime and serious offenders.	Paid for staff salaries, equipment, and phone lines to enable criminal justice agencies to access timely court data.
Administrative Office of the Courts	LOCAL LAW ENFORCEMENT BLOCK GRANTS PROGRAM	U.S. DEPARTMENT OF JUSTICE	OVERTIME	11/00-9/02	\$ 32,126.00	\$ -	16.592	To provide funds to units of local government for the purposes of reducing crime and improving public safety. Funds may be used for one or more of seven program purpose areas.	Purchased drug testing supplies for drug court pilot programs.
Natural Resources & Environmental Protection Cabinet	Wastewater Operator Training Grant Program (Technical Assistance)	U.S. Environmental Protection Agency	Wastewater Operator Training Program	10/00-12/02	\$ 94,400.00	\$ -	66.467	To substantially enhance the proficiency of personnel engaged in the operations and maintenance of treatment works and related activities by financing pilot programs. Grant funds are primarily for on-site technical assistance to address the plant's unique performance limiting conditions. Classroom training is also provided to improve the skills of wastewater treatment plant operator.	Personnel & Indirect Costs used to provide onsite training for wastewater operators

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Natural Resources & Environmental Protection Cabinet	Wastewater Operator Training Grant Program (Technical Assistance)	U.S. Environmental Protection Agency	Wastewater Operator Training Program	01/03-12/03	\$ 40,000.00	\$ -	66.467	To substantially enhance the proficiency of personnel engaged in the operations and maintenance of treatment works and related activities by financing pilot programs. Grant funds are primarily for on-site technical assistance to address the plant's unique performance limiting conditions. Classroom training is also provided to improve the skills of wastewater treatment plant operator.	4 Security Training Events, 3 Drinking Water Security Presentations by Julie Roney, Lodging, Travel, Personnel & Indirect Costs
Natural Resources & Environmental Protection Cabinet	Wastewater Operator Training Grant Program (Technical Assistance)	U.S. Environmental Protection Agency	Wastewater Operator Training Program	10/02-09/03	\$ 20,000.00	\$ -	66.467	To substantially enhance the proficiency of personnel engaged in the operations and maintenance of treatment works and related activities by financing pilot programs. Grant funds are primarily for on-site technical assistance to address the plant's unique performance limiting conditions. Classroom training is also provided to improve the skills of wastewater treatment plant operator.	Personnel & Indirect Costs used to provide onsite training for wastewater operators
Natural Resources & Environmental Protection Cabinet	Water Protection Grants to the States	U.S. Environmental Protection Agency	Water Protection Coordination Grants to States	09/02-05/04	\$ 219,300.00	\$ -	66.474	To provide grant assistance to the States, Tribes, Territories, and possessions of the United States to support technical assistance, training, and communication activities on critical water infrastructure protection efforts that include work with drinking water systems as well as with Federal, State, Tribal, and local agencies.	4 Security Training Events, 3 Drinking Water Security Presentations by Julie Roney, Lodging, Travel, Personnel & Indirect Costs
Kentucky Justice Cabinet, Department of Corrections	Public Safety Partnership & Community Policing Grants	U.S. Department of Justice, Bureau of Justice Assistance	COPS 2000 Technology Grant	09/01-3/31/02	\$ 101,000.00	\$ 0	16.710	To increase police presence and improve cooperative efforts between law enforcement agencies and members of the community; to expand community policing efforts through the use of technology and other innovative strategies; to increase security and reduce violence in our nation's schools; to address crime and disorder problems; and to otherwise enhance public safety.	Technology Eq.

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Kentucky Justice Cabinet Office of the Secretary	Byrne Formula Grant Program (NCAP)	U. S. Department of Justice	NCAP	10/98-9/03	\$ 7,526,000.00	\$ 34,110.00	16.579	To support 29 purpose areas specified in the authorization legislation. Generally, funds may be used to provide additional personnel, equipment, facilities (including upgraded and additional law enforcement crime laboratories), personnel training and equipment.	Overall improvement of the criminal justice system
Kentucky Justice Cabinet Office of the Secretary	Byrne Formula Grant Program (NCAP)	U. S. Department of Justice	NCAP	10/99-9/04	\$ 7,311,362.00	\$ (906.00)	16.579	To support 29 purpose areas specified in the authorization legislation. Generally, funds may be used to provide additional personnel, equipment, facilities (including upgraded and additional law enforcement crime laboratories), personnel training and equipment.	Overall improvement of the criminal justice system
Kentucky Justice Cabinet Office of the Secretary	Byrne Formula Grant Program (NCAP)	U. S. Department of Justice	NCAP	10/01-9/05	\$ 7,290,369.00	\$ -	16.579	To support 29 purpose areas specified in the authorization legislation. Generally, funds may be used to provide additional personnel, equipment, facilities (including upgraded and additional law enforcement crime laboratories), personnel training and equipment.	Overall improvement of the criminal justice system
Kentucky Justice Cabinet Office of the Secretary	Byrne Formula Grant Program (NCAP)	U. S. Department of Justice	NCAP	1/02-9/05	\$ 7,169,373.00	\$ (123,592.00)	16.579	To support 29 purpose areas specified in the authorization legislation. Generally, funds may be used to provide additional personnel, equipment, facilities (including upgraded and additional law enforcement crime laboratories), personnel training and equipment.	Overall improvement of the criminal justice system

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Kentucky Justice Cabinet Office of the Secretary	Edward Byrne Memorial State and Local Law Enforcement Assistance Discretionary Grants Program (CAC)	U. S. Department of Justice	CAC	4/01-12/02	\$ 2,499,489.00	\$ 2,238.00	16.580	To improve the functioning of the criminal justice system, with emphasis on violent crime and serious offenders.	Improve community response to children that have been sexually abused. Technology, forensic interviewing, multi-disciplinary teams.
Kentucky Justice Cabinet Office of the Secretary	Local Law Enforcement Block Grants Program (LLEB)	U. S. Department of Justice	LLEB	10/98-9/00	\$ 983,007.00	\$ -	16.592	To provide funds to units of local government for the purposes of reducing crime and improving public safety. Funds may be used for one or more of seven program purpose areas.	Overtime, Equipment, Personnel
Kentucky Justice Cabinet Office of the Secretary	Local Law Enforcement Block Grants Program (LLEB)	U. S. Department of Justice	LLEB	10/99-3/02	\$ 1,147,181.00	\$ -	16.592	To provide funds to units of local government for the purposes of reducing crime and improving public safety. Funds may be used for one or more of seven program purpose areas.	Overtime, Equipment, Personnel
Kentucky Justice Cabinet Office of the Secretary	Local Law Enforcement Block Grants Program (LLEB)	U. S. Department of Justice	LLEB	10/99-9/03	\$ 913,139.00	\$ 2,933.00	16.592	To provide funds to units of local government for the purposes of reducing crime and improving public safety. Funds may be used for one or more of seven program purpose areas.	Overtime, Equipment, Personnel
Kentucky Justice Cabinet Office of the Secretary	Local Law Enforcement Block Grants Program (LLEB)	U. S. Department of Justice	LLEB	10/99-9/03	\$ 917,325.00	\$ 6,335.00	16.592	To provide funds to units of local government for the purposes of reducing crime and improving public safety. Funds may be used for one or more of seven program purpose areas.	Overtime, Equipment, Personnel
Kentucky Justice Cabinet Office of the Secretary	Local Law Enforcement Block Grants Program (LLEB)	U. S. Department of Justice	LLEB	10/02-10/04	\$ 701,925.00	\$ 3,357.00	16.592	To provide funds to units of local government for the purposes of reducing crime and improving public safety. Funds may be used for one or more of seven program purpose areas.	Overtime, Equipment, Personnel



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Kentucky Justice Cabinet Office of the Secretary	Public Safety Partnership & Community Policing Grants (2000 COPS Technology Grant)	U. S. Department of Justice	COPS 2000	10/99-9/01	\$ 1,648,074.00	\$ -	16.710	To increase police presence and improve cooperative efforts between law enforcement agencies and members of the community; to expand community policing efforts through the use of technology and other innovative strategies; to increase security and reduce violence in our nation's schools; to address crime and disorder problems; and to otherwise enhance public safety.	Equipment, Supplies, Consultants
Commission for Children with Special Health Care Needs	Centers for Disease Control and Prevention: Investigation and Technical Assistance	U.S. Department of Health and Human Services	Prevention of the Complications of Hemophila	09/01-09/02	\$ 66,000.00	\$ (32,687.00)	93.283	To assist State and local health authorities and other health related organizations in controlling communicable diseases, chronic diseases and disorders, and other preventable health conditions. Investigations and evaluation of all methods of controlling or preventing disease and disability are carried out by providing epidemic aid, surveillance, technical assistance, consultation, and program support; and by providing leadership and coordination of joint national, State, and local efforts.	Salaries for hemophilia nurses & contracts with regional hemophilia centers.
Commission for Children with Special Health Care Needs	Centers for Disease Control and Prevention: Investigation and Technical Assistance	U.S. Department of Health and Human Services	HTCS	09/02-09/03	\$ 66,000.00	\$ (1,126.85)	93.283	To assist State and local health authorities and other health related organizations in controlling communicable diseases, chronic diseases and disorders, and other preventable health conditions. Investigations and evaluation of all methods of controlling or preventing disease and disability are carried out by providing epidemic aid, surveillance, technical assistance, consultation, and program support; and by providing leadership and coordination of joint national, State, and local efforts.	Upgrade communication lines to regional offices.

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University of Louisville	CDC- Prevention, Investigation and Technical Assistance	Centers for Disease Control	Center for the Deterrence of Biowarfare and Bioterrorism	08/01/2002	\$ 1,583,369.00	\$ -	93.283	To assist State and local health authorities and other health related organizations in controlling communicable diseases, chronic diseases and disorders, and other preventable health conditions. Investigations and evaluation of all methods of controlling or preventing disease and disability are carried out by providing epidemic aid, surveillance, technical assistance, consultation, and program support; and by providing leadership and coordination of joint national, State, and local efforts.	Training
University of Louisville	Microbiology and Infectious Diseases Research	National Institutes of Health	Mycobacterium Tuberculosis in Vivo Gene Expression	09/03/2002	\$ 649,699.00	\$ -	93.856	To assist public and private nonprofit institutions and individuals to establish, expand and improve biomedical research and research training in infectious diseases and related areas; to assist public, private and commercial institutions to conduct developmental research; to produce and test research materials; and to provide research services as required by the agency for programs in infectious diseases.	Research
University of Louisville	Research and Technology Development	Department of Defense Defense Advanced Research Projects Agency	Bioinformatics and Databanking of Environmental Biothreat Agents	06/30/2000	\$ 1,641,914.00	\$ (106,896.98)	12.910	<a href="#">Information unavailable at CFDA website</a>	Research

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University of Louisville	Aerospace Education Services Program	NASA-AMES University Consortium	Cloning & Functional Characterization of Novel Genes Whose Expression are Microgravity-Dependent in Normal Human Fibroblasts	04/15/2000	\$ 185,250.00	\$ (12,912.43)	43.001	NASA's Aerospace Education Services Program (AESP) is a specialized group of educators using NASA's unique assets to support local, state, regional and national mathematics, science, and technology education systemic change efforts through collaboration of internal and external stakeholders in high-impact activities. This well-trained, well-informed and well-equipped workforce uses the NASA Mission and Vision to support increased instruction in mathematics, science, and technology content, enhance pedagogical skills, and address equity issues.	Research
University of Louisville	Research and Technology Development	Department of Defense, Defense Advanced Research Projects Agency	Generation of Advanced Diagnostics and Countermeasures for Individuals Most Vulnerable to Biothreats	04/02/2001	\$ 2,016,185.00	\$ (766,301.79)	12.910	<a href="#">Information unavailable at CFDA website</a>	Research
University of Louisville		UKRF/KY Department of Public Health	Needs Assessment & Curriculum Development of Training & Education for Bioterrorism Preparedness	08/01/2003	\$ -	\$ -	NA-State		
University of Louisville	Pollution Prevention Grants Program	Environmental Protection Agency	Pollution Prevention Incentives for States	09/19/2003	\$ -	\$ -	66.708	To support Regional, State, and tribal environmental assistance activities that address preventative approaches to the generation and management of pollutants across all environmental media: air, land, and water	

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University of Kentucky	Department of Health and Human Services	National Institutes of Health	Receptor-Mediateex Endocytosis of Legionella Pneumophila	7/15/00	\$ 1,025,895.00		93.856	To assist public and private nonprofit institutions and individuals to establish, expand and improve biomedical research and research training in infectious diseases and related areas; to assist public, private and commercial institutions to conduct developmental research; to produce and test research materials; and to provide research services as required by the agency for programs in infectious diseases.	
University of Kentucky	Department of Health and Human Services	National Institutes of Health	Age Associated Changes in B Lymphocyte Function	9/1/01	\$ 878,095.00		93.866	<a href="#">Information unavailable at CFDA website</a>	
University of Kentucky	Dept of Education	Dept of Education	Implementatio n of an International Program in Laboratory Sciences	9/1/03	\$ 45,902.00		84.116	<a href="#">Information unavailable at CFDA website</a>	
University of Kentucky	Dept of Defense	Dept of Defense	Phase I Study of a Cell-Cultured Smallpox Vaccine	10/4/01	\$ 1,538,455.00		contract		
University of Kentucky	Department of Health and Human Services	National Institutes of Health	Analysis of Borrella Burgdorferi ERP Proteins	3/1/00	\$ 1,188,784.00		93.856	To assist public and private nonprofit institutions and individuals to establish, expand and improve biomedical research and research training in infectious diseases and related areas; to assist public, private and commercial institutions to conduct developmental research; to produce and test research materials; and to provide research services as required by the agency for programs in infectious diseases.	

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University of Kentucky	Department of Health and Human Services	National Institutes of Health	Plasmid pCD-1 Encoded Virulence Determinants	1/1/00	\$ 1,563,469.00		93.856	To assist public and private nonprofit institutions and individuals to establish, expand and improve biomedical research and research training in infectious diseases and related areas; to assist public, private and commercial institutions to conduct developmental research; to produce and test research materials; and to provide research services as required by the agency for programs in infectious diseases.	
University of Kentucky	Department of Health and Human Services	National Institutes of Health	Mechanism of YopM in Plague	9/30/98	\$ 1,418,011.00		93.856	To assist public and private nonprofit institutions and individuals to establish, expand and improve biomedical research and research training in infectious diseases and related areas; to assist public, private and commercial institutions to conduct developmental research; to produce and test research materials; and to provide research services as required by the agency for programs in infectious diseases.	
University of Kentucky	Dept of Defense	Dept of Defense	Genetic Susceptibility and Biological Characterization of Chronic Wasting Disease	6/16/03	\$ 134,516.00		Contract		
University of Kentucky	Department of Health and Human Services	National Institutes of Health	US Based Collaboration in Emerging Viral and Prion Diseases	9/30/02	\$ 349,369.00		Contract		
University of Kentucky	Department of Health and Human Services	National Institutes of Health	Transgenic Studies of prion Disease In Cervids	8/1/00	\$ 1,619,167.00		93.854	<a href="#">Information unavailable at CFDA website</a>	

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University of Kentucky	Department of Health and Human Services	National Institutes of Health	Iron Transport and Regulation in Yersinia Pestis	4/1/03	\$ 324,605.00		93.856	To assist public and private nonprofit institutions and individuals to establish, expand and improve biomedical research and research training in infectious diseases and related areas; to assist public, private and commercial institutions to conduct developmental research; to produce and test research materials; and to provide research services as required by the agency for programs in infectious diseases.	
University of Kentucky	Department of Justice	Department of Justice	State Homeland Security Assessment and Strategy	8/25/03	\$ 144,500.00		16.710	To increase police presence and improve cooperative efforts between law enforcement agencies and members of the community; to expand community policing efforts through the use of technology and other innovative strategies; to increase security and reduce violence in our nation's schools; to address crime and disorder problems; and to otherwise enhance public safety.	
University of Kentucky	Department of Health and Human Services	National Institutes of Health	Identifying Virulence Factors in Pneumonic Plague	9/30/02	\$ 322,712.00		93.856	To assist public and private nonprofit institutions and individuals to establish, expand and improve biomedical research and research training in infectious diseases and related areas; to assist public, private and commercial institutions to conduct developmental research; to produce and test research materials; and to provide research services as required by the agency for programs in infectious diseases.	

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University of Kentucky	Department of Health and Human Services	National Institutes of Health	Blockade of Host Apoptosis by Toxoplasma Gondii	3/1/01	\$ 681,917.00		93.856	To assist public and private nonprofit institutions and individuals to establish, expand and improve biomedical research and research training in infectious diseases and related areas; to assist public, private and commercial institutions to conduct developmental research; to produce and test research materials; and to provide research services as required by the agency for programs in infectious diseases.	
University of Kentucky	Department of Health and Human Services	National Institutes of Health	Borrelia Burgdorferi LuxS-Medicated Quorum Sensing	6/15/03	\$ 165,375.00		93.856	To assist public and private nonprofit institutions and individuals to establish, expand and improve biomedical research and research training in infectious diseases and related areas; to assist public, private and commercial institutions to conduct developmental research; to produce and test research materials; and to provide research services as required by the agency for programs in infectious diseases.	
University of Kentucky	Department of Health and Human Services	National Institutes of Health	Early Events in Pneumonic Plague	9/25/00	\$ 760,492.00		93.856	To assist public and private nonprofit institutions and individuals to establish, expand and improve biomedical research and research training in infectious diseases and related areas; to assist public, private and commercial institutions to conduct developmental research; to produce and test research materials; and to provide research services as required by the agency for programs in infectious diseases.	

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University of Kentucky	Dept of Defense	Dept of Defense	Electrophysiological, Behavioral and Genetic Indicators of Cognitive Performance Deficits Associated with Sleep-Deprivation	4/1/02	\$ 439,223.00		12.000	<a href="#">Information unavailable at CFDA website</a>	
University of Kentucky	Dept of Agriculture	Dept of Agriculture	Southern Region Plant Diagnostic Laboratory Network	7/1/02	\$ 44,000.00		10.304	To provide funding to protect the food supply and agricultural production, protect USDA facilities and other agricultural infrastructure and protect USDA staff and manage emergency preparedness.	
University of Kentucky	Department of Health and Human Services	National Institutes of Health	Pore-forming Toxin of Legionella Pneumophila	9/15/03	\$ 294,100.00		93.856	To assist public and private nonprofit institutions and individuals to establish, expand and improve biomedical research and research training in infectious diseases and related areas; to assist public, private and commercial institutions to conduct developmental research; to produce and test research materials; and to provide research services as required by the agency for programs in infectious diseases.	
Eastern Kentucky University	Department of Justice	Department of Justice	Police Integrity Training	1/16/01	\$ 400,000.00	\$ -	16.710	To increase police presence and improve cooperative efforts between law enforcement agencies and members of the community; to expand community policing efforts through the use of technology and other innovative strategies; to increase security and reduce violence in our nation's schools; to address crime and disorder problems; and to otherwise enhance public safety.	



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Eastern Kentucky University	Department of Justice	Department of Justice	Community Policing	6/1/02	\$ 500,000.00	\$ -	16.710	To increase police presence and improve cooperative efforts between law enforcement agencies and members of the community; to expand community policing efforts through the use of technology and other innovative strategies; to increase security and reduce violence in our nation's schools; to address crime and disorder problems; and to otherwise enhance public safety.	
<b>MURRY STATE UNIVERSITY (ATTACHED ON A SEPARATE SPREADSHEET)</b>									
Kentucky Community and Technical College System	First Responder Counter- Terrorism Training Assistance	Federal Emergency Management Agency (FEMA)	Terrorism Training	07/01/00 - 12/31/01	\$ 80,000.00	\$ -	83.547 <b>NEW CFDA # 97.038</b>	To enhance the capabilities of first responders in managing the consequences of terrorist acts.	Terrorism Training Classes
Kentucky Community and Technical College System	First Responder Counter- Terrorism Training Assistance	Federal Emergency Management Agency (FEMA)	Terrorism Training	10/01/01 - 09/30/02	\$ 110,000.00	\$ -	83.547 <b>NEW CFDA # 97.038</b>	To enhance the capabilities of first responders in managing the consequences of terrorist acts.	Terrorism Training Classes
Kentucky Community and Technical College System	First Responder Counter- Terrorism Training Assistance	Federal Emergency Management Agency (FEMA)	Terrorism Training	10/01/02 - 09/30/03	\$ 105,000.00	\$ (42,000.00)	83.547 <b>NEW CFDA # 97.038</b>	To enhance the capabilities of first responders in managing the consequences of terrorist acts.	Terrorism Training Classes
<b>FOLLOWING INFORMATION PROVIDED BY: DEPARTMENT OF LOCAL GOVERNMENT</b>									
<b>PENNYRILE AREA DEVELOPMENT DISTRICT (ATTACHED ON A SEPARATE SPREADSHEET)</b>									
									Cops Grant
									Cops Grant

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	Assistance to Firefighters Grant	FEMA	FEMA	11/2/03			83.554 <b>NEW CFDA # 97.044</b>	To provide direct assistance, on a competitive basis, to fire departments of a State or tribal nation for the purpose of protecting the health and safety of the public and firefighting personnel against fire and fire-related hazards.	Training Programs
	Assistance to Firefighters Grant	FEMA	FEMA				83.554 <b>NEW CFDA # 97.044</b>	To provide direct assistance, on a competitive basis, to fire departments of a State or tribal nation for the purpose of protecting the health and safety of the public and firefighting personnel against fire and fire-related hazards.	Turnout Gear/Rescue Equipment
	Assistance to Firefighters Grant	FEMA	FEMA				83.554 <b>NEW CFDA # 97.044</b>	To provide direct assistance, on a competitive basis, to fire departments of a State or tribal nation for the purpose of protecting the health and safety of the public and firefighting personnel against fire and fire-related hazards.	Rescue Equipment/Hydraulic Tools
	Assistance to Firefighters Grant	FEMA	FEMA	12/15/02			83.554 <b>NEW CFDA # 97.044</b>	To provide direct assistance, on a competitive basis, to fire departments of a State or tribal nation for the purpose of protecting the health and safety of the public and firefighting personnel against fire and fire-related hazards.	Rescue Equipment/Training
	Assistance to Firefighters Grant	FEMA	FEMA	12/11/02			83.554 <b>NEW CFDA # 97.044</b>	To provide direct assistance, on a competitive basis, to fire departments of a State or tribal nation for the purpose of protecting the health and safety of the public and firefighting personnel against fire and fire-related hazards.	Breathing Apparatus/Equip

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	Universal Hiring Program	DOJ	DOJ	7/1/02			16.710	To increase police presence and improve cooperative efforts between law enforcement agencies and members of the community; to expand community policing efforts through the use of technology and other innovative strategies; to increase security and reduce violence in our nation's schools; to address crime and disorder problems; and to otherwise enhance public safety.	Hiring a police officer
	Cops In Schools	DOJ	DOJ				16.710	To increase police presence and improve cooperative efforts between law enforcement agencies and members of the community; to expand community policing efforts through the use of technology and other innovative strategies; to increase security and reduce violence in our nation's schools; to address crime and disorder problems; and to otherwise enhance public safety.	School Officer
									Fire Fighting Vehicle
Big Sandy A.D.D.	Universal Hiring Program	DOJ	COPS	1/1/1999- Jan 03			16.710	To increase police presence and improve cooperative efforts between law enforcement agencies and members of the community; to expand community policing efforts through the use of technology and other innovative strategies; to increase security and reduce violence in our nation's schools; to address crime and disorder problems; and to otherwise enhance public safety.	Full & Part-time police officer, salary & insurance
			Local Law	10/02-09/04	\$ 10,558.00	\$ 8,658.00			
			Local Law	10/02-09/02	\$ 22,201.00	\$ -			
			Assistance to Firefighters	11/02-11/03	\$ 70,150.00	\$ -			
									Air Packs, SCBA, Masks

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									Equipment
									Technology and Accreditation
				1/11/00					Personnel protective equipment
									Air Packs
									Personnel protective equipment
									Personnel protective equipment
									Personnel protective equipment
									Personnel protective equipment
									Personnel protective and exercise
NKADD	COPS In Schools	DOJ	COPS in Schools	4/1/99	\$ 125,000.00	\$ -	16.710	To increase police presence and improve cooperative efforts between law enforcement agencies and members of the community; to expand community policing efforts through the use of technology and other innovative strategies; to increase security and reduce violence in our nation's schools; to address crime and disorder problems; and to otherwise enhance public safety.	School Resource Officer

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NKADD	Local Law Enforcement Block Grant	DOJ	Local Law Enf. Block Grant	10/30/02	\$ 12,542.00	\$ 12,542.00	16.592	To provide funds to units of local government for the purposes of reducing crime and improving public safety. Funds may be used for one or more of seven program purpose areas.	Training, Planning, Overtime Pay
NKADD	Assistance to Firefighters Grant	FEMA	Assistance to Firefighters	10/1/02	\$ 56,279.00	\$ 28,085.38	83.554 <b>NEW CFDA # 97.044</b>	To provide direct assistance, on a competitive basis, to fire departments of a State or tribal nation for the purpose of protecting the health and safety of the public and firefighting personnel against fire and fire-related hazards.	Fire Training Equipment
NKADD	Security Planning Grants for Large Drinking Water Utilities	EPA	Security Planning Grants	6/1/02	\$ 115,000.00	\$ -	66.476	To provide grant assistance to large (regularly serving 100,000 people or more) publicly-owned community drinking water systems, for up to \$115,000 to each eligible utility to develop/revise a vulnerability assessment (V/A), emergency response/operating plan (EOP), security enhancement plans and designs, or a combination of these efforts.	Security Improvements
NKADD	Assistance to Firefighters Grant	FEMA	Assistance to Firefighters	12/15/02	\$ 76,413.00	\$ -	83.554 <b>NEW CFDA # 97.044</b>	To provide direct assistance, on a competitive basis, to fire departments of a State or tribal nation for the purpose of protecting the health and safety of the public and firefighting personnel against fire and fire-related hazards.	Equipment
NKADD	E. Byrne Memorial State & Local Law Enforcement Assistance Grants	DOJ	Byrne Memorial Grant	7/1/02	\$ 14,937.00	\$ 112.67	16.580	<a href="#">Information unavailable at CFDA website</a>	Equipment, Training and Education

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NKADD	Assistance to Firefighters Grant	FEMA	Assistance to Firefighters	1/15/2003 - 1/14/04	\$ 169,470.00	\$ 152,491.00	83.554 <b>NEW CFDA # 97.044</b>	To provide direct assistance, on a competitive basis, to fire departments of a State or tribal nation for the purpose of protecting the health and safety of the public and firefighting personnel against fire and fire-related hazards.	Personal Protective Equipment
NKADD	COPS in Schools	DOJ	COPS in Schools	12/1/1999 - 11/30/03	\$ 125,000.00		16.710	To increase police presence and improve cooperative efforts between law enforcement agencies and members of the community; to expand community policing efforts through the use of technology and other innovative strategies; to increase security and reduce violence in our nation's schools; to address crime and disorder problems; and to otherwise enhance public safety.	School Resource Officer
NKADD	Local Law Enforcement Block Grant	DOJ	Local Law Enf. Block Grant	7/26/02	\$ 5,500.00	\$ 5,500.00	16.592	To provide funds to units of local government for the purposes of reducing crime and improving public safety. Funds may be used for one or more of seven program purpose areas.	
Maloneton Volunteer Fire Department	Assistance to Firefighters Grant Program	FEMA	Fire Operations &	1/15/2003 - 1/14/04	\$ 20,491.00	\$ -	83.554 <b>NEW CFDA # 97.044</b>	To provide direct assistance, on a competitive basis, to fire departments of a State or tribal nation for the purpose of protecting the health and safety of the public and firefighting personnel against fire and fire-related hazards.	Training Materials, Air-Bottles, self-contained breathing apparatus
			Firefighter Safety						
Grahn Volunteer Fire Department	Assistance to Firefighters Grant Program	FEMA	Fire Operations and Firefighter	9/1/2002 - 8/30/03	\$ 57,450.00		83.554 <b>NEW CFDA # 97.044</b>	To provide direct assistance, on a competitive basis, to fire departments of a State or tribal nation for the purpose of protecting the health and safety of the public and firefighting personnel against fire and fire-related hazards.	Nozzles, portable deluge gun, assorted tools, coats, pants, helmets, gloves,
Assistance to Fire Fighters				07/01/03-06/30/04	\$ 52,065.00	\$ -			

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Assistance to Fire Fighters				07/01/03-06/30/04	\$ 120,600.00	\$ -			
Assistance to Fire Fighters				01/01/03-12/31/03	\$ 86,731.00	\$ -			
Assistance to Fire Fighters				07/01/03-06/30/04	\$ 169,136.00	\$ -			
Assistance to Fire Fighters				07/01/03-06/30/04	\$ 101,250.00	\$ -			
Cops in Schools				3/1/02-2/28/05	\$ 168,494.00	\$ -			
Cops in Schools				3/1/02-2/28/05	\$ 105,581.00	\$ -			
Local Law Enforcement Block Grant				10/1/02-8/31/04	\$ 4,000.00	\$ -			
									Equipment for Bike Cops & radio
									Rescue Equipment
BRADD									Purchase of protective equipment.
BRADD									Purchase of protective equipment.
BRADD									Purchase of protective equipment.
BRADD	Assistance to Firefighters Grant	FEMA	FEMA	11/2/2003 - 9/3/03	\$ 15,614.00		83.554 <b>NEW CFDA # 97.044</b>	To provide direct assistance, on a competitive basis, to fire departments of a State or tribal nation for the purpose of protecting the health and safety of the public and firefighting personnel against fire and fire-related hazards.	Training Programs

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BRADD	Assistance to Firefighters Grant	FEMA	FEMA		\$ 50,574.00		83.554 <b>NEW CFDA # 97.044</b>	To provide direct assistance, on a competitive basis, to fire departments of a State or tribal nation for the purpose of protecting the health and safety of the public and firefighting personnel against fire and fire-related hazards.	Turnout Gear/Rescue Equipment
BRADD	Assistance to Firefighters Grant	FEMA	FEMA		\$ 31,500.00		83.554 <b>NEW CFDA # 97.044</b>	To provide direct assistance, on a competitive basis, to fire departments of a State or tribal nation for the purpose of protecting the health and safety of the public and firefighting personnel against fire and fire-related hazards.	Rescue Equipment/Hydraulic Tools
BRADD	Assistance to Firefighters Grant	FEMA	FEMA	12/15/2002 - 12-14-03	\$ 70,083.00		83.554 <b>NEW CFDA # 97.044</b>	To provide direct assistance, on a competitive basis, to fire departments of a State or tribal nation for the purpose of protecting the health and safety of the public and firefighting personnel against fire and fire-related hazards.	Rescue Equipment/Training
BRADD	Assistance to Firefighters Grant	FEMA	FEMA	12/11/2002 - 12/11/03	\$ 57,240.00		83.554 <b>NEW CFDA # 97.044</b>	To provide direct assistance, on a competitive basis, to fire departments of a State or tribal nation for the purpose of protecting the health and safety of the public and firefighting personnel against fire and fire-related hazards.	Breathing Apparatus/Equip
BRADD	Universal Hiring Program	DOJ	DOJ	7/1/2002 - 7/01/05	\$ 61,223.00		16.710	To increase police presence and improve cooperative efforts between law enforcement agencies and members of the community; to expand community policing efforts through the use of technology and other innovative strategies; to increase security and reduce violence in our nation's schools; to address crime and disorder problems; and to otherwise enhance public safety.	Hiring a police officer



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BRADD	Cops In Schools	DOJ	DOJ		\$ 88,293.00		16.710	To increase police presence and improve cooperative efforts between law enforcement agencies and members of the community; to expand community policing efforts through the use of technology and other innovative strategies; to increase security and reduce violence in our nation's schools; to address crime and disorder problems; and to otherwise enhance public safety.	School Officer
BRADD	Assistance to Firefighters Grant	FEMA	FEMA	Jan.-03 - Dec.- 03	\$ 201,600.00		83.554 <b>NEW CFDA # 97.044</b>	To provide direct assistance, on a competitive basis, to fire departments of a State or tribal nation for the purpose of protecting the health and safety of the public and firefighting personnel against fire and fire-related hazards.	Firefighting Equipment
BRADD									Purchase of protective equipment.
BRADD									Undisclosed
BRADD									Purchase of protective Equipment.
BRADD									Purchase fire vehicle and equipment.
BRADD									Police officer salary (3) for 3 years.
BRADD									Hire a Police Officer
GRADD									Safety House
GRADD									Purchasing Breathing Compressor

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GRADD									Hire a Police Officer
GRADD									Hire a Police Officer
CVADD									Hire 2 full time police officers
LTADD	Assistance to Firefighters Grant	FEMA	FEMA	11/2/2003 - 9/3/03			83.554 <b>NEW CFDA # 97.044</b>	To provide direct assistance, on a competitive basis, to fire departments of a State or tribal nation for the purpose of protecting the health and safety of the public and firefighting personnel against fire and fire-related hazards.	Training Programs
LTADD	Assistance to Firefighters Grant	FEMA	FEMA				83.554 <b>NEW CFDA # 97.044</b>	To provide direct assistance, on a competitive basis, to fire departments of a State or tribal nation for the purpose of protecting the health and safety of the public and firefighting personnel against fire and fire-related hazards.	Turnout Gear/Rescue Equipment
LTADD	Assistance to Firefighters Grant	FEMA	FEMA				83.554 <b>NEW CFDA # 97.044</b>	To provide direct assistance, on a competitive basis, to fire departments of a State or tribal nation for the purpose of protecting the health and safety of the public and firefighting personnel against fire and fire-related hazards.	Rescue Equipment/Hydraulic Tools
LTADD	Assistance to Firefighters Grant	FEMA	FEMA	12/15/2002 - 12/14/03			83.554 <b>NEW CFDA # 97.044</b>	To provide direct assistance, on a competitive basis, to fire departments of a State or tribal nation for the purpose of protecting the health and safety of the public and firefighting personnel against fire and fire-related hazards.	Rescue Equipment/Training
LTADD	Assistance to Firefighters Grant	FEMA	FEMA	12/11/2002 - 12/11/03			83.554 <b>NEW CFDA # 97.044</b>	To provide direct assistance, on a competitive basis, to fire departments of a State or tribal nation for the purpose of protecting the health and safety of the public and firefighting personnel against fire and fire-related hazards.	Breathing Apparatus/Equip

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LTADD	Universal Hiring Program	DOJ	DOJ	7/1/2002 - 7/1/03			16.710	To increase police presence and improve cooperative efforts between law enforcement agencies and members of the community; to expand community policing efforts through the use of technology and other innovative strategies; to increase security and reduce violence in our nation's schools; to address crime and disorder problems; and to otherwise enhance public safety.	Hiring a police officer
LTADD	Cops In Schools	DOJ	DOJ				16.710	To increase police presence and improve cooperative efforts between law enforcement agencies and members of the community; to expand community policing efforts through the use of technology and other innovative strategies; to increase security and reduce violence in our nation's schools; to address crime and disorder problems; and to otherwise enhance public safety.	School Officer
LTADD									Fire Fighting Vehicle
LTADD									Purchase of a vehicle, radio and radar
LTADD									Purchase of equipment and personnel overtime
PEADD									Purchase of equipment (SCBS).
PEADD									Purchase radios and turnout gear.
PUADD									Purchase radios and turnout gear.
PUADD									Fire department equipment
PUADD									Fire department equipment

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PUADD									Fire department equipment
PUADD									Fire department equipment
PUADD									Fire department equipment
PUADD									Fire department equipment
PUADD									Fire department equipment
PUADD									Fire department equipment
PUADD									Fire department equipment
PUADD									To place school resource officer
PUADD									Fire Operations & Firefighter Safety
PUADD									Fire Operations & Firefighter Safety
PUADD									Fire Operations & Firefighter Safety
PUADD									Fire Operations & Firefighter Safety
PUADD									Fire Vehicle
PUADD									Fire Operations & Firefighter Safety
PUADD									Fire Operations & Firefighter Safety
PUADD									Fire Operations & Firefighter Safety
PUADD									Fire Operations & Firefighter Safety
PUADD									Upgrade police radio system

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PUADD									Unmarked police car, communication equipment, women's hardgun course
BTADD									Equipment
BTADD									Equipment
BTADD									Comm. & Fire Fighter Equipment
BGADD Irvine Fire Dept.	Assistance to Firefighters Grant	FEMA	Assistance to Firefighters Grant	7/25/03	\$ 27,986.00	\$ -	83.554 <b>NEW CFDA # 97.044</b>	To provide direct assistance, on a competitive basis, to fire departments of a State or tribal nation for the purpose of protecting the health and safety of the public and firefighting personnel against fire and fire-related hazards.	Equipment
BGADD Anderson Co. Fire Prot. Dist	Assistance to Firefighters Grant	FEMA	Assistance to Firefighters Grant	FY 2002	\$ 143,303.00		83.554 <b>NEW CFDA # 97.044</b>	To provide direct assistance, on a competitive basis, to fire departments of a State or tribal nation for the purpose of protecting the health and safety of the public and firefighting personnel against fire and fire-related hazards.	Equipment

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BGADD Buckeye Fire Dept.	Assistance to Firefighters Grant	FEMA	Assistance to Firefighters Grant	FY 2002	\$ 74,136.00		83.554 <b>NEW CFDA # 97.044</b>	To provide direct assistance, on a competitive basis, to fire departments of a State or tribal nation for the purpose of protecting the health and safety of the public and firefighting personnel against fire and fire-related hazards.	Equipment
BGADD Burgin Fire Dept.	Assistance to Firefighters Grant	FEMA	Assistance to Firefighters Grant	FY 2002	\$ 91,733.00		83.554 <b>NEW CFDA # 97.044</b>	To provide direct assistance, on a competitive basis, to fire departments of a State or tribal nation for the purpose of protecting the health and safety of the public and firefighting personnel against fire and fire-related hazards.	Equipment
BGADD Cynthiana Fire Dept.	Assistance to Firefighters Grant	FEMA	Assistance to Firefighters Grant	FY 2002	\$ 13,887.00		83.554 <b>NEW CFDA # 97.044</b>	To provide direct assistance, on a competitive basis, to fire departments of a State or tribal nation for the purpose of protecting the health and safety of the public and firefighting personnel against fire and fire-related hazards.	Equipment
BGADD Georgetown Fire & Rescue	Assistance to Firefighters Grant	FEMA	Assistance to Firefighters Grant	FY 2002	\$ 98,950.00		83.554 <b>NEW CFDA # 97.044</b>	To provide direct assistance, on a competitive basis, to fire departments of a State or tribal nation for the purpose of protecting the health and safety of the public and firefighting personnel against fire and fire-related hazards.	Equipment
BGADD Irvine Fire Dept.	Assistance to Firefighters Grant	FEMA	Assistance to Firefighters Grant	9/31/2002	\$ 66,998.00		83.554 <b>NEW CFDA # 97.044</b>	To provide direct assistance, on a competitive basis, to fire departments of a State or tribal nation for the purpose of protecting the health and safety of the public and firefighting personnel against fire and fire-related hazards.	Equipment

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BGADD Millersburg Vol. Fire Dept.	Assistance to Firefighters Grant	FEMA	Assistance to Firefighters Grant	FY 2002	\$ 110,117.00		83.554 <b>NEW CFDA # 97.044</b>	To provide direct assistance, on a competitive basis, to fire departments of a State or tribal nation for the purpose of protecting the health and safety of the public and firefighting personnel against fire and fire-related hazards.	Equipment
BGADD Waco Vol. Fire Dept.	Assistance to Firefighters Grant	FEMA	Assistance to Firefighters Grant	FY 2002	\$ 117,540.00		83.554 <b>NEW CFDA # 97.044</b>	To provide direct assistance, on a competitive basis, to fire departments of a State or tribal nation for the purpose of protecting the health and safety of the public and firefighting personnel against fire and fire-related hazards.	Equipment
BGADD White Hall Vol. Fire Dept., Inc.	Assistance to Firefighters Grant	FEMA	Assistance to Firefighters Grant	FY 2002	\$ 81,832.00		83.554 <b>NEW CFDA # 97.044</b>	To provide direct assistance, on a competitive basis, to fire departments of a State or tribal nation for the purpose of protecting the health and safety of the public and firefighting personnel against fire and fire-related hazards.	Equipment
BGADD Nicolaville Fire Department	Assistance to Firefighters Grant	FEMA	Assistance to Firefighters Grant	FY 2003	\$ 67,680.00	\$ -	83.554 <b>NEW CFDA # 97.044</b>	To provide direct assistance, on a competitive basis, to fire departments of a State or tribal nation for the purpose of protecting the health and safety of the public and firefighting personnel against fire and fire-related hazards.	Equipment
BGADD Estill County Fiscal Court, on behalf of Estill County Fire Department	Assistance to Firefighters Grant	FEMA	Assistance to Firefighters Grant	6/27/03	\$ 90,954.00		83.554 <b>NEW CFDA # 97.044</b>	To provide direct assistance, on a competitive basis, to fire departments of a State or tribal nation for the purpose of protecting the health and safety of the public and firefighting personnel against fire and fire-related hazards.	Equipment

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BGADD Mercer County Central Fire Department	Assistance to Firefighters Grant	FEMA	Assistance to Firefighters Grant	7/18/03	\$ 27,900.00		83.554 <b>NEW CFDA # 97.004</b>	To provide direct assistance, on a competitive basis, to fire departments of a State or tribal nation for the purpose of protecting the health and safety of the public and firefighting personnel against fire and fire-related hazards.	Equipment
BGADD City of Versailles	Local Law Enforcement Block Grant	DOJ	Local Law Enforcement Block Grant	10/1/02	\$ 5,677.00	\$ -	16.592	To provide funds to units of local government for the purposes of reducing crime and improving public safety. Funds may be used for one or more of seven program purpose areas.	Equipment
BGADD City of Winchester	Local Law Enforcement Block Grant	DOJ	Local Law Enforcement Block Grant	FY 2003			16.592	To provide funds to units of local government for the purposes of reducing crime and improving public safety. Funds may be used for one or more of seven program purpose areas.	Equipment
BGADD City of Winchester	Bullet Proof Vest Program	DOJ	Bullet Proof Vest Program	FY 2002	\$ 9,939.00		16.607 <b>NEW CFDA # 97.004</b>	To protect the lives of law enforcement officers by helping State, local, and tribal law enforcement agencies provide officers with armored vests.	Equipment
BGADD City of Winchester	Universal Hiring Program	DOJ	Universal Hiring Program	FY 2003			16.710.	To increase police presence and improve cooperative efforts between law enforcement agencies and members of the community; to expand community policing efforts through the use of technology and other innovative strategies; to increase security and reduce violence in our nation's schools; to address crime and disorder problems; and to otherwise enhance public safety.	Salary



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BGADD City of Richmond	Universal Hiring Program	DOJ	Universal Hiring Program	FY 2003			16.710.	To increase police presence and improve cooperative efforts between law enforcement agencies and members of the community; to expand community policing efforts through the use of technology and other innovative strategies; to increase security and reduce violence in our nation's schools; to address crime and disorder problems; and to otherwise enhance public safety.	Salary
BGADD City of Richmond	Local Law Enforcement Block Grant	DOJ	Local Law Enforcement Block Grant	FY 2002	\$ 13,876.00		16.592	To provide funds to units of local government for the purposes of reducing crime and improving public safety. Funds may be used for one or more of seven program purpose areas.	Equipment
BGADD City of Richmond	COPS In Schools	DOJ	COPS In Schools	FY 2003			16.710.	To increase police presence and improve cooperative efforts between law enforcement agencies and members of the community; to expand community policing efforts through the use of technology and other innovative strategies; to increase security and reduce violence in our nation's schools; to address crime and disorder problems; and to otherwise enhance public safety.	Equipment
BGADD City of Richmond	Bullet Proof Vest Program	DOJ	Bullet Proof Vest Program	FY 2002	\$ 2,580.00		16.607 <b>NEW CFDA # 97.004</b>	To protect the lives of law enforcement officers by helping State, local, and tribal law enforcement agencies provide officers with armored vests.	Equipment
BGADD Nicholasville Police Department	Local Law Enforcement Block Grant	DOJ	Local Law Enforcement Block Grant	10/1/02	\$ 10,000.00	\$ -	16.592	To provide funds to units of local government for the purposes of reducing crime and improving public safety. Funds may be used for one or more of seven program purpose areas.	Equipment

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BGADD Estill County Sheriff's Department	Universal Hiring Program	DOJ	Universal Hiring Program	5/1/00	\$ 49,720.00		16.710.	To increase police presence and improve cooperative efforts between law enforcement agencies and members of the community; to expand community policing efforts through the use of technology and other innovative strategies; to increase security and reduce violence in our nation's schools; to address crime and disorder problems; and to otherwise enhance public safety.	Salary
BGADD Georgetown Police Department	Local Law Enforcement Block Grant	DOJ	Local Law Enforcement Block Grant	10/31/02	\$ 18,823.00	\$ -	16.592	To provide funds to units of local government for the purposes of reducing crime and improving public safety. Funds may be used for one or more of seven program purpose areas.	Equipment
BGADD City of Frankfort	Local Law Enforcement Block Grant	DOJ	Local Law Enforcement Block Grant	FY 2002	\$ 21,115.00		16.592	To provide funds to units of local government for the purposes of reducing crime and improving public safety. Funds may be used for one or more of seven program purpose areas.	K9 Drug & Search Dog and Officer Over Time
BGADD City of Georgetown	Bullet Proof Vests Program	DOJ	Bullet Proof Vests Program	FY 2002	\$ 3,995.00		16.607	To protect the lives of law enforcement officers by helping State, local, and tribal law enforcement agencies provide officers with armored vests.	Equipment
BGADD City of Georgetown	Local Law Enforcement Block Grant	DOJ	Local Law Enforcement Block Grant	FY 2002	\$ 18,823.00		16.592	To provide funds to units of local government for the purposes of reducing crime and improving public safety. Funds may be used for one or more of seven program purpose areas.	Equipment
BGADD Nicholasville Police Department	Bullet Proof Vests Program	DOJ	Bullet Proof Vests Program	FY 2002	\$ 3,275.00		16.607	To protect the lives of law enforcement officers by helping State, local, and tribal law enforcement agencies provide officers with armored vests.	Equipment

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BGADD City of Paris	Bullet Proof Vests Program	DOJ	Bullet Proof Vests Program	3/13/03	\$ 7,000.00	\$ -	16.607	To protect the lives of law enforcement officers by helping State, local, and tribal law enforcement agencies provide officers with armored vests.	Equipment
BGADD City of Stamping Ground	Bullet Proof Vests Program	DOJ	Bullet Proof Vests Program	FY 2002	\$ 508.00		16.607	To protect the lives of law enforcement officers by helping State, local, and tribal law enforcement agencies provide officers with armored vests.	Equipment
BGADD Lincoln County Fiscal Court	Universal Hiring Program	DOJ	Universal Hiring Program	FY 2002	\$ 150,000.00		16.710.	To increase police presence and improve cooperative efforts between law enforcement agencies and members of the community; to expand community policing efforts through the use of technology and other innovative strategies; to increase security and reduce violence in our nation's schools; to address crime and disorder problems; and to otherwise enhance public safety.	Salary
BGADD City of Versailles	Bullet Proof Vest Program	DOJ	Bullet Proof Vest Program	FY 2002	\$ 2,475.00		16.607	To protect the lives of law enforcement officers by helping State, local, and tribal law enforcement agencies provide officers with armored vests.	Equipment
BGADD City of Frankfort	Universal Hiring Program	DOJ	Universal Hiring Program	FY '03-04	\$ 300,000.00		16.710.	To increase police presence and improve cooperative efforts between law enforcement agencies and members of the community; to expand community policing efforts through the use of technology and other innovative strategies; to increase security and reduce violence in our nation's schools; to address crime and disorder problems; and to otherwise enhance public safety.	4 COPS Officers
					<b>\$188,848,574.00</b>	<b>\$12,260,118.21</b>			

**Murray State University**

RECIPIENT	PROGRAM TITLE	FEDERAL GRANTOR	GRANT NAME	GRANT PERIOD	TOTAL ADJUSTED AWARD AS OF 6/30/03	UNSPENT AMOUNT	CFDA #	INTENDED USE OF FUNDS	ACTUAL USE OF FUNDS
Murray State University	Anti-Terrorism Communication Funding	Department of Justice	Anti-Terrorism Communication Funding	37523.000	\$ 19,764.25	\$ -	16.007 <b>NEW CFDA # 97.004</b>	To provide for the purchase of specialized equipment to enhance the capability of state and local agencies to prevent and respond to incidents of terrorism involving the use of chemical, biological, radiological, nuclear or explosive (CBRNE) weapons; for the protection of critical infrastructure and prevention of terrorist incidents.	15 HT VHF 16 Channel Secure hand held radios, 15 Rolling voice scramblers, 2 battery charger banks, 10 extra battery NTN
Murray State University	Emergency Disease Diagnosis and Surveillance	United States Department of Agriculture	Emergency Disease Diagnosis and Surveillance	37466.000	\$ 30,000.00	\$ -	10.025	To protect U.S. agriculture from economically injurious plant and animal diseases and pests, ensure the safety and potency of veterinary biologic, and ensure the humane treatment of animals.	SC1000N2-1 Smart Cyclor
					<b>\$ 49,764.25</b>	<b>\$ -</b>			

**Pennyrile Area Development District**

RECIPIENT	PROGRAM TITLE	FEDERAL GRANTOR	GRANT NAME	GRANT PERIOD	TOTAL ADJUSTED AWARD AS OF 6/30/03	UNSPENT AMOUNT	CFDA #	INTENDED USE OF FUNDS	ACTUAL USE OF FUNDS
City of Oak Grove, Kentucky (PeADD)	Universal Hiring Program	<b>DOJ</b>	Universal Hiring Program	<b>4/30/2002 - 4/30/2005</b>	\$ 315,969.00	\$ 43,750.00	16.710	To increase police presence and improve cooperative efforts between law enforcement agencies and members of the community; to expand community policing efforts through the use of technology and other innovative strategies; to increase security and reduce violence in our nation's schools; to address crime and disorder problems; and to otherwise enhance public safety.	Police officer salary (3) for 3 years.
City of Hopkinsville, Kentucky (PeADD)	Local Law Enforcement Block Grant	<b>DOJ</b>	Local Law Enforcement Block Grant	<b>7/1/2002 - 6/30/03</b>	\$ 2,433.00		16.592	To provide funds to units of local government for the purposes of reducing crime and improving public safety. Funds may be used for one or more of seven program purpose areas.	Purchase of protective equipment.

City of Madisonville, Kentucky (PeADD)	Local Law Enforcement Block Grant	DOJ	Local Law Enforcement Block Grant	7/1/2002 - 6/30/03	\$ 6,294.00		16.592	To provide funds to units of local government for the purposes of reducing crime and improving public safety. Funds may be used for one or more of seven program purpose areas.	Purchase of protective equipment.
City of Central City, Kentucky-Volunteer Fire Department (PeADD)	Assistance to Firefighters (AFG)	FEMA	Assistance to Firefighters (AFG)	7/1/2002 - 6/30/03	\$ 5,310.00		83.554 NEW CFDA # 97.044	To provide direct assistance, on a competitive basis, to fire departments of a State or tribal nation for the purpose of protecting the health and safety of the public and firefighting personnel against fire and fire-related hazards.	Purchase of protective equipment. (SCBS)
City of Dawson Springs, Kentucky-Volunteer Fire Department (PeADD)	Assistance to Firefighters (AFG)	FEMA	Assistance to Firefighters (AFG)	7/1/2002 - 6/30/03	\$ 3,240.00		83.554 NEW CFDA # 97.044	To provide direct assistance, on a competitive basis, to fire departments of a State or tribal nation for the purpose of protecting the health and safety of the public and firefighting personnel against fire and fire-related hazards.	Purchase of protective equipment.
City of Hanson, Kentucky-Volunteer Fire Department (PeADD)	Assistance to Firefighters (AFG)	FEMA	Assistance to Firefighters (AFG)	7/1/2002 - 6/30/03	\$ 6,168.00		83.554 NEW CFDA # 97.044	To provide direct assistance, on a competitive basis, to fire departments of a State or tribal nation for the purpose of protecting the health and safety of the public and firefighting personnel against fire and fire-related hazards.	Purchase of protective equipment.
City of Nortonville, Kentucky-Fire Department (PeADD)	Assistance to Firefighters (AFG)	FEMA	Assistance to Firefighters (AFG)	7/1/2002 - 6/30/03	\$ 6,862.00		83.554 NEW CFDA # 97.044	To provide direct assistance, on a competitive basis, to fire departments of a State or tribal nation for the purpose of protecting the health and safety of the public and firefighting personnel against fire and fire-related hazards.	Purchase of protective equipment.
City of Earlington, Kentucky-Fire Department (PeADD)	Assistance to Firefighters (AFG)	FEMA	Assistance to Firefighters (AFG)	7/1/2002 - 6/30/03	\$ 7,987.00		83.554 NEW CFDA # 97.044	To provide direct assistance, on a competitive basis, to fire departments of a State or tribal nation for the purpose of protecting the health and safety of the public and firefighting personnel against fire and fire-related hazards.	Purchase of protective equipment.

Northwest (Crofton), Kentucky- Volunteer Fire Department (PeADD)	Assistance to Firefighters (AFG)	<b>FEMA</b>	Assistance to Firefighters (AFG)	7/1/2002 - 6/30/03	\$ 15,821.00		83.554 <b>NEW</b> <b>CFDA #</b> <b>97.044</b>	To provide direct assistance, on a competitive basis, to fire departments of a State or tribal nation for the purpose of protecting the health and safety of the public and firefighting personnel against fire and fire-related hazards.	Purchase of fire vehicle and equipment.
Cerulean, Kentucky- Volunteer Fire Department (PeADD)	Assistance to Firefighters (AFG)	<b>FEMA</b>	Assistance to Firefighters (AFG)	7/1/2002 - 6/30/03	\$ 3,784.00		83.554 <b>NEW</b> <b>CFDA #</b> <b>97.044</b>	To provide direct assistance, on a competitive basis, to fire departments of a State or tribal nation for the purpose of protecting the health and safety of the public and firefighting personnel against fire and fire-related hazards.	
Richland, Kentucky- Volunteer Fire Department (PeADD)	Assistance to Firefighters (AFG)	<b>FEMA</b>	Assistance to Firefighters (AFG)	7/1/2002 - 6/30/03	\$ 10,051.00		83.554 <b>NEW</b> <b>CFDA #</b> <b>97.044</b>	To provide direct assistance, on a competitive basis, to fire departments of a State or tribal nation for the purpose of protecting the health and safety of the public and firefighting personnel against fire and fire-related hazards.	
Charleston, Kentucky- Volunteer Fire Department (PeADD)	Assistance to Firefighters (AFG)	<b>FEMA</b>	Assistance to Firefighters (AFG)	7/1/2002 - 6/30/03	\$ 4,730.00		83.554 <b>NEW</b> <b>CFDA #</b> <b>97.044</b>	To provide direct assistance, on a competitive basis, to fire departments of a State or tribal nation for the purpose of protecting the health and safety of the public and firefighting personnel against fire and fire-related hazards.	
South Hopkins (Mortons Gap), Kentucky-Fire Department (PeADD)	Assistance to Firefighters (AFG)	<b>FEMA</b>	Assistance to Firefighters (AFG)	7/1/2002 - 6/30/03	\$ 10,013.00		83.554 <b>NEW</b> <b>CFDA #</b> <b>97.044</b>	To provide direct assistance, on a competitive basis, to fire departments of a State or tribal nation for the purpose of protecting the health and safety of the public and firefighting personnel against fire and fire-related hazards.	
Beechmont, Kentucky- Volunteer Fire Department (PeADD)	Assistance to Firefighters (AFG)	<b>FEMA</b>	Assistance to Firefighters (AFG)	7/1/2002 - 6/30/03	\$ 8,948.00		83.554 <b>NEW</b> <b>CFDA #</b> <b>97.044</b>	To provide direct assistance, on a competitive basis, to fire departments of a State or tribal nation for the purpose of protecting the health and safety of the public and firefighting personnel against fire and fire-related hazards.	
					<b>\$ 407,610.00</b>	<b>\$ 43,750.00</b>			

**NOTE: Bluegrass Area Development District**

<b>Cities that responded to our letter(s) and follow-up call(s) and said they did not receive any money(s).</b>	<b>County</b>
City of Stanton (No Grant Received/No Pass Through, or No Activity)	Powell
City of Berea (No Grant Received/No Pass Through, or No Activity)	Madison
City of Burgin (No Grant Received/No Pass Through, or No Activity)	Mercer
City of Berry (No Grant Received/No Pass Through, or No Activity)	Harrison
City of Carlisle (No Grant Received/No Pass Through, or No Activity)	Nicholas
City of Wilmore (No Grant Received/No Pass Through, or No Activity)	Jessamine
City of Stamping Ground (No Grant Received in FY 2003)	Scott
Madison County (No Grant Received/No Pass Through, or No Activity)	Madison
Boyle County (No Grant Received/No Pass Through, or No Activity)	Boyle
Bourbon County (No Grant Received/No Pass Through, or No Activity)	Bourbon
City of Junction City (No Grant Received/No Pass Through, or No Activity)	Boyle
Franklin County (No Grant Received/No Pass Through, or No Activity)	Franklin
Woodford County (No Grant Received/No Pass Through, or No Activity)	Woodford
City of Ravenna (No Grant Received/No Pass Through, or No Activity)	Estill

<b>Cities that Did Not respond to our letter(s) or call(s)</b>
City of Midway
City of Sadieville
City of Clay City
City of Harrodsburg
City of Eubank
City of Hustonville
City of Crab Orchard
City of Stanford
City of Cynthiana
City of Lancaster
City of Perryville
City of Danville
City of Lawrenceburg
City of North Middletown

THE FOLLOWING IS A LIST OF **STATE AGENCIES**  
REPORTING **NO** FEDERAL HOMELAND SECURITY  
FUNDING

1. Department for Local Government
2. Department of Veterans' Affairs
3. Economic Development Cabinet
4. Education, Arts and Humanities Cabinet, *Department of Education*
5. Education, Arts and Humanities Cabinet, *Kentucky Center for the Arts*
6. Education, Arts and Humanities Cabinet, *Kentucky Heritage Council*
7. Education, Arts and Humanities Cabinet, *Kentucky Historical Society*
8. Education, Arts and Humanities Cabinet, *Libraries and Archives*
9. Education Professional Standards Board
10. Finance and Administration Cabinet,
11. Finance and Administration Cabinet, *Kentucky Housing Corporation*
12. Finance and Administration Cabinet, *Office of Management and Budget*
13. Kentucky Commission on Human Rights
14. Kentucky Higher Education Assistance Authority (KHEAA)
15. Kentucky Higher Education Student Loan Corporation (KHESLC)
16. Kentucky Infrastructure Authority
17. Kentucky State University
18. Labor Cabinet
19. Northern Kentucky University
20. Public Protection and Regulation Cabinet, *Alcoholic Beverage Control*
21. Public Protection and Regulation Cabinet, *Crime Victims Compensation Board*
22. Public Protection and Regulation Cabinet, *Department of Mines and Minerals*
23. Public Protection and Regulation Cabinet, *Public Service Commission*
24. Tourism Development Cabinet, *Department of Fish and Wildlife Resources*
25. Tourism Development Cabinet, *Department of Travel*
26. Transportation Cabinet
27. Workforce Development Cabinet